



At: Gadeirydd ac Aelodau'r Pwyllgor  
Craffu Perfformiad

Dyddiad: 20 Ebrill 2023

Rhif Union: 01824 712554

ebost: [democrataidd@sirddinbych.gov.uk](mailto:democrataidd@sirddinbych.gov.uk)

Annwyl Gyngorydd

Fe'ch gwahoddir i fynychu cyfarfod y **PWYLLGOR CRAFFU PERFFORMIAD, DYDD IAU, 27 EBRILL 2023 am 10.00 am yn SIAMBR Y CYNGOR, NEUADD Y SIR, RHUTHUN A THRWY GYNHADLEDD FIDEO.**

**Yn dilyn y cyfarfod cynhelir sesiwn Gweithdy ar gyfer holl aelodau'r Pwyllgor ar Ddarpariaeth Band Eang a Chynlluniau Uwchraddio yn Sir Ddinbych**

Yn gywir iawn

G Williams  
Swyddog Monitro

## AGENDA

### RHAN 1 - GWAHODDIR Y WASG A'R CYHOEDD I FOD YN BRESENNOL YN Y RHAN HON O'R CYFARFOD

#### 1 YMDDIHEURIADAU

#### 2 DATGAN CYSYLLTIADAU (Tudalennau 5 - 6)

Aelodau i ddatgan unrhyw gysylltiad personol neu sy'n rhagfarnu mewn unrhyw fusnes a nodwyd i'w ystyried yn y cyfarfod hwn.

#### 3 MATERION BRYD FEL Y'U CYTUNWYD GAN Y CADEIRYDD

Hysbysiad o eitemau y dylid, ym marn y Cadeirydd, gael eu hystyried yn y cyfarfod fel materion brys yn unol ag Adran 100B(4) o Ddeddf Llywodraeth Leol 1972.

**4 COFNODION Y CYFARFOD DIWETHAF** (Tudalennau 7 - 18)

Derbyn cofnodion cyfarfod y Pwyllgor Craffu Perfformiad a gynhaliwyd ar 16 Mawrth 2023 (copi ynghlwm).

**10.05am – 10.10am**

**5 ADOLYGIAD Y GOFRESTR RISG GORFFORAETHOL - CHWEFROR 2023**  
(Tudalennau 19 - 138)

Ystyried adroddiad (copi ynghlwm) gan y Swyddog Cynllunio Strategol a Pherfformiad sy'n gofyn i'r Pwyllgor ystyried a darparu sylwadau ar y diwygiadau i'r Gofrestr Risg Gorfforaethol o ganlyniad i'r adolygiad diweddar o'r Gofrestr.

**10.10am – 10.40am**

**6 RHAGLEN WAITH CRAFFU** (Tudalennau 139 - 166)

Ystyried adroddiad gan y Cydlynnydd Craffu (copi ynghlwm) yn gofyn am adolygiad o raglen gwaith i'r dyfodol y pwyllgor a rhoi'r wybodaeth ddiweddaraf i'r aelodau am faterion perthnasol.

**10.40am – 10.55am**

**7 ADBORTH GAN GYNRYCHIOLWYR Y PWYLLGOR**

Derbyn y wybodaeth ddiweddaraf gan gynrychiolwyr y Pwyllgor ar Fyrddau a Grwpiau amrywiol y Cyngor.

**10.55am – 11am**

**AELODAETH**

**Y Cynghorwyr**

Y Cynghorydd Hugh Carson Irving  
(Cadeirydd)

Ellie Chard  
Chris Evans  
Jon Harland  
Carol Holliday  
Alan Hughes

Y Cynghorydd Gareth Sandilands (Is-  
Gadeirydd)

Paul Keddie  
Diane King  
Terry Mendies  
Andrea Tomlin

**COPIAU I'R:**

Holl Gynghorwyr er gwybodaeth  
Y Wasg a'r Llyfrgelloedd  
Cynghorau Tref a Chymuned

Mae tudalen hwn yn fwriadol wag

DEDDF LLYWODRAETH LEOL 2000

Cod Ymddygiad Aelodau

## DATGELU A CHOFRESTRU BUDDIANNAU

Rwyf i,  
(enw)

\*Aelod /Aelod cyfetholedig o  
(\*dileuer un)

**Cyngor Sir Ddinbych**

**YN CADARNHAU** fy mod wedi datgan buddiant **\*personol / personol a sy'n rhagfarnu** nas datgelwyd eisoes yn ôl darpariaeth Rhan III cod ymddygiad y Cyngor Sir i Aelodau am y canlynol:-  
(\*dileuer un)

Dyddiad Datgelu:

Pwyllgor (nodwch):

Agenda eitem

Pwnc:

Natur y Buddiant:

(*Gweler y nodyn isod*)\*

Llofnod

Dyddiad

Noder: Rhwng ddigon o fanylion os gwelwch yn dda, e.e. 'Fi yw perchennog y tir sy'n gyfagos i'r cais ar gyfer caniatâd cynllunio a wnaed gan Mr Jones', neu 'Mae fy ngŵr / ngwraig yn un o weithwyr y cwmni sydd wedi gwneud cais am gymorth ariannol'.

Mae tudalen hwn yn fwriadol wag

## PWYLLGOR CRAFFU PERFFORMIAD

Cofnodion cyfarfod o'r Pwyllgor Craffu Perfformiad a gynhaliwyd yn Siambr y Cyngor, Neuadd y Sir, Rhuthun a thrwy gynhadledd fideo, Dydd Iau, 16 Mawrth 2023 am 10.00 am.

### YN BRESENNOL

Y Cynghorwyr Ellie Chard, Jon Harland, Carol Holliday, Alan Hughes, Hugh Irving (Cadeirydd), Terry Mendies, Gareth Sandilands (Is-Gadeirydd) a/ac Andrea Tomlin

### Aelodau Arweiniol – yn bresennol ar ôl cael gwahoddiad gan y Pwyllgor

Y Cynghorydd Rhys Thomas, Aelod Arweiniol Tai a Chymunedau yn bresennol ar gyfer eitem 5 a 6 ar y rhaglen.

Y Cynghorydd Emrys Wynne, Aelod Arweiniol y Gymraeg, Diwylliant a Threftadaeth.

**Arsylwyr:** Y Cynghorydd Huw Hilditch-Roberts

### HEFYD YN BRESENNOL

Cyfarwyddwr Corfforaethol: Swyddog Monitro Llywodraethu a Busnes (GW); Pennaeth Cyfathrebu a Gwasanaethau Cwsmeriaid (LG); Swyddog Arweiniol; Tai Cymunedol (GD); Pennaeth Cefnogaeth Gymunedol Dros Dro (AL); Rheolwr Cynllunio Strategol a Thai (AL); Uwch Swyddog Cynllunio Strategol a Thai (JA); Prif Lyfrgellydd (BH); Cydlynnydd Craffu (RE a KE); Gweinyddwr Zoom (NH) a Gweinyddwr Pwyllgorau (SJ)

#### 1 YMDDIHEURIADAU

Cafwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr Chris Evans, Paul Keddie a Diane King.

#### 2 DATGANIADAU O FUDDIANT

Ni ddatganwyd unrhyw gysylltiad personol sy'n rhagfarnu.

#### 3 MATERION BRYN FEL Y'U CYTUNWYD GAN Y CADEIRYDD

Nid oedd unrhyw fater bryn wedi'i godi gyda'r Cadeirydd na'r Cydlynnydd Craffu cyn dechrau'r cyfarfod.

#### 4 COFNODION Y CYFARFOD DIWETHAF

Cyflwynwyd cofnodion cyfarfod y Pwyllgor Craffu Perfformiad a gynhaliwyd ar 26 Ionawr 2023. Fe:

**Penderfynwyd: y dylid derbyn a chymeradwyo cofnodion y cyfarfod a gynhaliwyd ar 26 Ionawr 2023 fel cofnod gwir a chywir o'r gweithrediadau.**

Ni chodwyd unrhyw fater mewn perthynas â chywirdeb y cofnodion. Fodd bynnag, diolchodd yr Is-Gadeirydd, a oedd wedi cadeirio'r cyfarfod uchod, i bawb am fynychu a chyfrannu at drafodaeth adeiladol.

Diolchodd yr holl aelodau a gymerodd ran yn ymweliad y Pwyllgor â gweithdy/ffatri Cefndy ar 1 Mawrth 2023 i bawb a oedd yn gysylltiedig am brofiad llawn gwybodaeth. Anogwyd yr holl gynghorwyr i wneud trefniadau i ymweld â'r cyfleuster ar ryw adeg yn y dyfodol gan eu bod yn teimlo y byddent yn elwa o weld yn union sut yr oedd yn cael ei redeg a'i fanteision i'r gweithlu, y gymuned a'r Cyngor.

Gofynnodd yr aelodau i neges gael ei hanfon ar eu rhan at y Rheolwr a'r staff i ddiolch iddynt am ganiatáu iddynt ymweld.

## **5 STRATEGAETH TAI A DIGARTREFEDD**

Croesawodd y Cadeirydd yr Aelod Arweiniol Tai a Chymunedau, y Cynghorydd Rhys Thomas ynghyd â swyddogion i arwain yr aelodau drwy'r eitem ar y rhaglen.

Fe wnaeth yr Aelod Arweiniol arwain yr aelodau drwy'r adroddiad (a ddosbarthwyd ymlaen llaw), gan ddweud wrth y Pwyllgor ei fod yn darparu gwybodaeth am y cynnydd a wnaed o ran cyflawni'r Strategaeth Tai a Digartrefedd a'r Cynllun Gweithredu diwygiedig a gymeradwywyd gan y Cyngor Sir ar 8 Rhagfyr 2020. Dywedodd wrth yr aelodau bod y Strategaeth wedi'i rhoi ar waith i barhau hyd at 2026. Cydnabu'r Aelod Arweiniol waith yr Aelodau Arweiniol blaenorol am y gwaith a gwblhawyd yn ystod tymor y Cyngor diwethaf.

Dywedodd wrth yr aelodau bod y Grŵp Tai a Digartrefedd Strategol (SHHG) yn goruchwyllo'r gwaith o gyflawni'r Cynllun Gweithredu, a oedd yn cael ei gadeirio ganddo ef yn ei rôl fel Aelod Arweiniol Tai a Chymunedau. Roedd Aelodau o'r Grŵp yn cynnwys Aelodau Arweiniol â chyfrifoldeb am ardal o dai neu ddigartrefedd o fewn eu portffolios, Penaethiaid Gwasanaeth perthnasol a'r Rheolwr Cynllunio Strategol a Thai. Cyfarfu'r Grŵp bob tri mis er mwyn monitro'r strategaeth ac i rannu gwybodaeth. Roedd y ddogfen yn ddogfen fyw, yn cael ei monitro a'i gweithredu'n rheolaidd. Clywodd yr Aelodau bod y Strategaeth yn cyd-fynd â Chynllun Gweithredu 41 pwynt. Mae'n nodi cyfrifoldebau'r timau perthnasol o fewn y Cyngor mewn mwy o fanylder, a sut roeddent yn gweithio gyda phartneriaid i helpu i gyflawni gweledigaeth y Cyngor ar gyfer tai a digartrefedd. Roedd gan y Strategaeth Tai a Digartrefedd hyd oes o bum mlynedd (2021 - 2026) a byddai angen ei hadolygu erbyn 2026.

Yn ogystal, dywedodd y Rheolwr Cynllunio Strategol a Thai fod crynodeb o'r Strategaeth wedi'i atodi i'r dogfennau a oedd wedi'u cynnwys ym mhecyn y rhaglen, roedd copi o'r strategaeth lawn ar gael ar-lein. I gyd-fynd â'r cynllun gweithredu roedd adroddiad cynnydd ar waith ar gyfer pob pwynt gweithredu ar wahân. Pwysleisiodd wrth yr aelodau fod y Strategaeth yn ddogfen allweddol i'r awdurdod,

ei bod yn ddogfen gorfforaethol a oedd yn darparu fframwaith ar gyfer yr holl waith a gwblhawyd mewn perthynas â thai.

Nododd y Strategaeth chwe "Thema" sydd yn feysydd blaenoriaeth i dargedu camau gweithredu:

- Mwy o gartrefi i fodloni'r angen a'r galw lleol;
- Creu cyflenwad o dai fforddiadwy;
- Sicrhau cartrefi diogel ac iach;
- Atal a rhoi diwedd ar ddigartrefedd yn Sir Ddinbych;
- Cartrefi a chymorth i bobl ddi-amddiffyn;
- Hyrwyddo a chefnogi cymunedau.

Yn gynwysedig yn yr adroddiad roedd nodiadau ar y meysydd allweddol o gynnydd. Pwysleisiwyd bod llawer o waith da a chynnydd wedi'i wneud ac yn parhau i gael ei wneud. Roedd cydweithio agos ar draws gwahanol wasanaethau yn allweddol i gyflawni yn erbyn pob pwynt gweithredu.

Diolchodd y Cadeirydd i'r Aelod Arweiniol a'r swyddogion am yr adroddiad manwl a'r cyflwyniad.

Gan ymateb i gwestiynau'r Aelodau, dywedodd yr Aelod Arweiniol a swyddogion:

- Roedd 316 o aelwydydd mewn llety brys a llety dros dro yn y sir. Cafodd hyn ei dorri i lawr i 184 o aelwydydd mewn llety brys a 132 mewn llety dros dro. Yn ystod y 12 mis diwethaf roedd y gwasanaeth wedi cefnogi 324 o aelwydydd i denantiaethau drwy wasanaethau digartrefedd. Roedd nifer yr aelwydydd mewn llety brys wedi tueddu i aros yn weddol sefydlog ers dechrau Pandemig Covid. Sylwyd bod mwyafrif yr aelwydydd a oedd yn datgan eu bod yn ddigartref yng Ngogledd y Sir, roedd cyfran fawr o aelwydydd yn byw yn y Rhyl.
- Ar ôl cwblhau datblygiad tai ym Mhrestatyn, byddai 14 o fflatiau ar gael i drigolion hŷn/aelwydydd yn y gymuned. Byddai'r rhain yn cael eu dyrannu i bobl sydd ar y gofrestr Un Llwybr Mynediad at Dai (SARTH) ar hyn o bryd.
- Nid oedd tan feddiannaeth wedi'i gynnwys yn y cynllun gweithredu ar hyn o bryd, cytunodd swyddogion y byddai'n ychwanegiad cadarnhaol i'w gynnwys yn y cynllun gweithredu. Byddai gallu cael eiddo sy'n cael ei dan feddiannu ar gael i'w osod i deuluoedd drwy gartrefu preswylwyr presennol mewn llety o faint priodol yn helpu i wireddu argaeledd cartrefi maint teulu. Fodd bynnag, roedd hwn yn faes cymhleth a sensitif na ellid ei ddatrys dros nos.
- Ar hyn o bryd roedd 3 o bobl hysbys yn cysgu ar y stryd yn y sir. Roedd gan bob un ohonynt anghenion cymhleth gwahanol ac maent wedi gwrthod cymorth gan y gwasanaeth. Cynhaliodd swyddogion lefel uchel o gyfathrebu dyddiol gyda phob unigolyn. Roedd swyddogion yn rhagweithiol iawn ac yn gefnogol i unigolion a oedd yn cysgu ar y stryd.
- Nid oedd aelwydydd a oedd yn byw mewn cartrefi gwyliau/carafanau wedi'u cynnwys yn yr ystadegau digartrefedd, gan fod gan yr aelwydydd hynny a oedd yn byw mewn llety gwyliau hefyd gyfeiriad cartref parhaol.
- Byddai ailstrwythuro'r Tîm Arwain Strategol yn dod i rym o 1 Ebrill. Yn dilyn yr ailstrwythuro byddai manylion ynglŷn â phenodiadau neu newidiadau i swyddi yn cael eu cynnwys yn y ddogfen. Byddai'r Rhaglen Datblygu Tai yn

rhan o rôl y Pennaeth Cyfathrebu a Gwasanaethau Cwsmeriaid o 1 Ebrill 2023.

- Roedd nifer o ffactorau, gan gynnwys Pandemig Covid, wedi achosi oedi cyn cwblhau prosiectau o fewn y Rhaglen Datblygu Tai. Clywodd yr aelodau bod nifer o brosiectau tai i'w cwblhau yn y dyfodol agos.
- Bu oedi wrth gyflwyno'r Cynllun Datblygu Lleol oherwydd nifer o faterion. Parhaodd y gwaith i ddatblygu a mabwysiadu Cynllun newydd ac roedd yn mynd rhagddo'n dda.
- Roeddent yn ymwybodol bod nifer o aelwydydd yn dod yn ddigartref oherwydd bod landlordiaid yn penderfynu gwerthu eiddo. Clywodd yr Aelodau fod yr Awdurdod wedi rhoi cyngor am gynllun prydlesu'r sector preifat. Bu cyfathrebu â'r landlordiaid yn eu hannog i ymuno â'r cynllun hwnnw. Y targed oedd cael 80 o landlordiaid ar y cynllun o fewn y 5 mlynedd nesaf. Pwysleisiwyd y byddai cyflawni'r targed hwn yn her.
- Roedd cynllun prydlesu'r sector preifat yn gynllun gan Lywodraeth Cymru a oedd yn gwarantu rhent i landlordiaid ar gyfradd y lwfans tai lleol gyda ffi reoli fach ar ben hynny. Byddai hynny'n cael ei gynnwys dros brydles 5-20 mlynedd. Roedd gwarant y byddai'r eiddo'n cael ei ddychwelyd yn yr un cyflwr ag y cafodd ei brydlesu gyntaf. Derbyniwyd cyllid gan Lywodraeth Cymru i reoli'r cynllun hwnnw. Y gobaith oedd marchnata'r cynllun hwn dros y cyfryngau cymdeithasol a chyfathrebu â landlordiaid.
- Byddai dyletswydd statudol yn dechrau 56 diwrnod cyn i aelwydydd gael eu gwneud yn ddigartref. Ystyriwyd bod atal ac ymyrraeth gynnar yn flaenoriaeth i gefnogi unigolion a bod yn well gwasanaeth.
- Roedd swyddogion hefyd yn rhoi cymorth parhaus i denantiaid i gynnal tenantiaeth mewn llety ar rent. Clywodd yr Aelodau am gontract atal ac ymyrraeth gynnar sy'n cael ei gynnal drwy Shelter a Chlwyd Alyn o'r enw Fy Nghartref Sir Ddinbych. Roedd gan y contract hwn hefyd gyfrifoldeb i leihau'r risg o ddigartrefedd.

Amlinellodd yr Aelod Arweiniol a Swyddogion eu huchelgeisiau ar gyfer cyflawni'r Strategaeth, gan gynnwys: cynnal safle Sir Ddinbych fel yr awdurdod sy'n perfformio orau ar gyfer tai fforddiadwy fesul pen o'r boblogaeth, caffael adnoddau i gwblhau prosiectau a chynlluniau, parhau â'r gwaith partneriaeth i gyflawni yn erbyn y cam gweithredu cynllun, cynnydd wrth weithredu'r Cynllun Datblygu Lleol, gostyngiad o 15% yn nifer yr unigolion sy'n mynd i'r gwasanaeth digartrefedd o flwyddyn i flwyddyn dros y pum mlynedd nesaf a sicrhau bod mwy o dai fforddiadwy ar gael.

Diolchodd yr aelodau i'r swyddogion am y drafodaeth fanwl a'r atebion i'r sylwadau a'r cwestiynau.

Ar ddiwedd trafodaeth fanwl, fe wnaeth y Pwyllgor:

**Penderfynwyd: yn amodol ar y sylwadau uchod**

- (i) derbyn a chadarnhau'r cynnydd a wnaed hyd yma o ran cyflawni'r Strategaeth Tai a Digartrefedd a'r Cynllun Gweithredu diwygiedig; a**

***(ii) cytuno i barhau i fonitro'r gwaith o gyflawni Cynllun Gweithredu'r Strategaeth Tai a Digartrefedd bob chwe mis.***

**6 LLWYDNI AC ANWEDD YN STOC TAI CYNGOR SIR DDINBYCH.**

Cyflwynodd yr Aelod Arweiniol dros Dai a Chymunedau, y Cynghorydd Rhys Thomas yr adroddiad i'r Pwyllgor (a gylchredwyd yn flaenorol). Cyflwynodd awdur yr adroddiad Geoff Davies, y Prif Swyddog: Cartrefi Cymunedol a Liz Grieve, Pennaeth Cymunedau a Gwasanaethau Cwsmeriaid i ymateb i gwestiynau a sylwadau'r Pwyllgor.

Roedd yr adroddiad mewn ymateb i gais Llywodraeth Cymru am sicrwydd ar sut yr oedd landlordiaid yn ymateb yn dilyn y farwolaeth drasig a ddigwyddodd yn Rochdale 2022. Gofynnodd Llywodraeth Cymru am adborth gan sefydliadau am sut y bydden nhw'n craffu ar y pryder o fewn eu strwythurau llywodraethu eu hunain. Pwysleisiodd yr Aelod Arweiniol bwysigrwydd bod y Gwasanaethau Tai ac Eiddo yn cael eu craffu'n llawn ar y mater hwn, er mwyn sicrhau bod cymorth yn cael ei ddarparu i aelwydydd yng nghartrefi'r Cyngor i atal a mynd i'r afael â llwydni a chyddwyso.

Y Prif Swyddog: Cadarnhaodd Tai Cymunedol ei bod wedi ei chynnwys yn y pecyn oedd y dogfennau a gyflwynwyd i Lywodraeth Cymru yn gynharach yn y flwyddyn. Rhoddodd wybod i'r aelodau bod y data a gynhwysir yn y papurau yn gyfredol. Yn ei farn ef roedd yr adroddiad yn dangos yn dda y gwaith sy'n ymateb i lwydni a chyddwyso yn stoc tai Cyngor Sir Ddinbych. Cynigiwyd cynnal arolwg i denantiaid a nododd eu bod yn cyddwyso er mwyn derbyn adborth am y gefnogaeth a gawsant. Pwysleisiwyd nad oedd pob mowld a chythraul mewn eiddo oherwydd nam ar yr adeilad gallai fod yn nifer o resymau. Clywodd yr aelodau bod mowld du yn aml yn cael ei achosi o anadl ac aer sy'n casglu ar waliau tai a phapur wal. Pwysleisiodd hefyd fod sychu dillad ar radiators mewn ystafelloedd yn ddrwg i iechyd. Roedd swyddogion y cyngor yn gyflym i ymateb i adroddiadau o lwydni a chyddwyso i ganfod unrhyw faterion neu risgiau. Dros y blynyddoedd diwethaf roedd llawer iawn o wybodaeth wedi'i rhoi ar gael i drigolion er mwyn rhoi arweiniad a gwybodaeth er mwyn lleihau'r risg o fowldio. Roedd y daflen ddiweddar a gyhoeddwyd ynghlwm wrth y papurau fel atodiad 4.

Rhoddodd wybod i aelodau unwaith y byddai adroddiad o lwydni wedi'i dderbyn, byddai arolygydd eiddo yn mynychu'r eiddo i archwilio'r eiddo i benderfynu a oedd unrhyw broblemau gyda'r adeilad. Roedd offer uwch wedi'i gaffael ar gyfer archwiliadau i gynorthwyo'r swyddogion wrth benderfynu achos o'r mowld neu'r cyddwyso. Clywodd yr aelodau mai pwysigrwydd cael gwared ar unrhyw lwydni du a ganfuwyd yn syth gan mai'r risg i iechyd a diogelwch tenantiaid oedd y peth pwysicaf i'r awdurdod.

Roedd gwaith wedi'i dargedu ar eiddo gyda'r graddfeydd ynni isaf wedi digwydd, mewn theori mai nhw oedd y priodweddau oedd fwyaf agored i ddatblygu cyddwyso. Roedd swyddogion wedi cysylltu â bron i 200 o'r eiddo yma i roi gwybodaeth a chefnogaeth. Roedd swyddogion wedi bod mewn cysylltiad â thenantiaid oedd heb fanteisio ar y cronfeydd cymorth ynni. Clywodd yr aelodau

hefyd bod swyddogion yn gwrthod datgysylltu'r cyflenwad nwy i eiddo ond eu bod yn cynnig cefnogaeth a chymorth ychwanegol.

Nodwyd, o'r 11,000 o adroddiadau atgyweirio a dderbyniwyd yn ystod 2022 dim ond 168 oedd wedi bod mewn perthynas â llwydni a chyddwyso, roedd hyn yn cyfateb i 1.5% o'r holl geisiadau am waith atgyweirio a 5% yn yr holl stoc dai yn Sir Ddinbych. Doedd dim patrwm o fath o annedd oedd yn fwy agored i broblemau llwydni neu gyddwyso. Fe wnaeth swyddogion gyfeirio at y cynnydd yn y nifer sy'n adrodd am bryderon, pwysleisiwyd bod hyn oherwydd y cynnydd mewn adnoddau a gwelliant mewn adrodd. Roedd eiddo anodd eu cynhesu wedi'u nodi, roedd y priodweddau hyn wedi derbyn rhai adnoddau ac addasiadau ychwanegol i systemau i gynorthwyo gwresogi'r annedd.

Diolchodd y swyddogion i'r Pwyllgor am y cyfle i graffu ar y gwasanaeth hwn a dangos gwaith y tîm yn y maes hwn.

Gwnaed aelodau'n ymwybodol pan gafwyd pryderon ynghylch cyflwr yr eiddo cynhaliwyd yr holl waith angenrheidiol i sicrhau bod eiddo'n ddiogel ac yn cael ei drwsio i safon.

Diolchodd y Cadeirydd i'r swyddogion a'r Aelod Arweiniol am y cyflwyniad manwl i'r adroddiad. Yn ystod y drafodaeth trafodwyd y pwyntiau canlynol yn fanylach:

- Rhoddodd y rhestr wirio, sydd ynghlwm yn Atodiad 3, fanylion i swyddogion am gyfrifoldebau'r Awdurdod fel y landlord i gynghori a chefnogi tenantiaid lle bo hynny'n bosibl. Roedd cyfrifoldeb ar y landlord a'r tenantiaid i sicrhau bod yr eiddo'n cael ei gynnal a'i reoli'n briodol.
- Cynhaliwyd ymweliadau blynyddol ag eiddo. Defnyddiwyd yr ymweliadau blynyddol hyn i ddarparu arweiniad ac atal materion posibl fel llwydni a chyddwyso rhag digwydd. Un her oedd swyddogion yn wynebu oedd peidio cael caniatâd i ymweld ag eiddo. Yr unig amser y gallai swyddogion orfodi ymweliad oedd cynnal archwiliad nwy.
- Roedd cynllun rheoli asedau yn rhoi gwybodaeth am gyflwr stoc tai y Cyngor. Cynhaliwyd arolwg cyflwr eiddo llawn o'r tu allan i bob eiddo. Lle roedd hi'n anodd cael caniatâd i fynd i mewn i gynnal arolygon cyflwr mewnol cynhaliwyd y rhain ar yr un pryd ag arolygiadau nwy.
- Roedd swyddogion yn ddibynnol ar denantiaid yn dweud unrhyw bryderon i swyddogion. Roedd rheoli eiddo da yn bwysig.
- Roedd swyddogion am sicrhau bod llwybr clir i denantiaid gysylltu â swyddogion ag unrhyw bryderon. Y gobaith oedd y byddai hyn yn ymgorffori perthynas gydweithredol, gydweithredol â thenantiaid. Roedd siroedd Sir Ddinbych â chyfrifoldeb i sicrhau bod eiddo'n cael eu cynnal i safon dda. Pwysleisiodd y Pennaeth Cyfathrebu a'r Gwasanaeth Cwsmeriaid ei phryder gyda phleidiau'n cynnig dim llawdriniaeth ffioedd heb ei ennill. Anogodd drigolion i gyfathrebu â swyddogion a gofynnodd i aelodau'r Pwyllgor ailadrodd i denantiaid gweithdrefn gwyno'r Cyngor ac Ombwdsmon Cyhoeddus na gweithredydd trydydd parti.
- Roedd o dan straen eiddo yn gartrefi trigolion. Doedd swyddogion ddim eisiau gosod ar unigolion ond roedden nhw eisiau iddyn nhw groesawu cefnogaeth a chymorth swyddogion lle bo angen. Clywodd yr aelodau, darparwyd rhybudd i denantiaid cyn mynd i eiddo.

- Y bwriad oedd symud ymlaen i gael dull mwy cyfannol wrth ymweld ag eiddo i asesu mwy nag un agwedd ar ei chynnal a chadw a gwneud ymweliad byth â chyfrif.

Mynegodd aelodau eu diolch i'r swyddogion a chanmolodd y Pennaeth Gwasanaeth yn rhagweithiol wrth ddod â'r pwnc ymlaen i'w drafod.

Yn dilyn trafodaeth fanwl, y Pwyllgor:

**Penderfynwyd:**

- (i) derbyn ac ardystio'r wybodaeth a ddarparwyd;***
- (ii) yn amodol ar y sylwadau uchod, i gadarnhau ei fod yn fodlon bod systemau a phrosesau'r Cyngor yn gadarn ac yn briodol i sicrhau bod problemau lleithder a llwydni yn cael eu trin yn sydyn ac yn effeithiol; a***
- (iii)gofyn i Adroddiad Gwybodaeth gael ei ddsbarthu i aelodau'r Pwyllgor ymhen 12 mis, yn manylu ar effeithiolrwydd y prosesau a sefydlwyd i fynd i'r afael â phroblemau lleithder a llwydni. Dylai'r adroddiad hefyd ganolbwyntio ar effeithiolrwydd arferion rheoli stoc Tai Cyngor ehangach, gan gynnwys ymateb i geisiadau am wasanaethau, cwynion a darparu cyngor i bob tenant, gan gynnwys y rhai sy'n anoddach ymgysylltu â nhw neu eu cyrraedd.***

**AR YR ADEG HON (11.45 AM) CAFWYD EGWYL O 20 MUNUD.  
AILDDECHREUODD Y CYFARFOD AM 12.05 P.M.**

## **7 SAFONAU A PHERFFORMIAD Y GWASANAETH LLYFRGELL**

Croesawodd y Cadeirydd y Cynghorydd Emrys Wynne, Aelod Arweiniol dros Iaith, Diwylliant a Threftadaeth Cymru ynghyd â Liz Grieve, Pennaeth Cymunedau a Gwasanaethau Cwsmeriaid a Bethan Hughes, y Prif Lyfrgellydd.

Diolchodd yr Aelod Arweiniol i'r Pwyllgor am estyn gwahoddiad i graffu ar Safonau a Pherfformiad Gwasanaeth y Llyfrgell gan gyflwyno'r adroddiad i'r aelodau (a gylchredwyd yn flaenorol). Pwrpas yr adroddiad oedd i'r Pwyllgor graffu ar berfformiad a safonau llyfrgelloedd 2021-22 yn Sir Ddinbych. Bu hefyd yn tywys aelodau drwy Atodiad 2 a oedd yn ymwneud â gwybodaeth am y perfformiad presennol ar gyfer 2022-23.

Clywodd yr aelodau wybodaeth am y cynnydd sydd wedi'i wneud i ddatblygu llyfrgelloedd fel canolfannau lles. Y ddyletswydd statudol a roddir ar bob llyfrgell yng Nghymru oedd cael gwasanaeth llyfrgell i ddarparu gwasanaeth cynhwysfawr ac effeithlon i drigolion. Bu Llywodraeth Cymru yn mesur ac asesu sut yr oedd pob awdurdod lleol yn cyflawni'r ddyletswydd honno. Wedi pandemig Covid roedd y gofynion o ran adrodd ar gyfer 2021-22 wedi eu newid i gydnabod y cyfyngiadau ar y gwasanaeth. Clywodd aelodau fod oedi sylweddol i'r adroddiad naratif ac roedd disgwyl iddo gael ei gyhoeddi ym mis Mawrth 2023. Felly nid oedd modd ei

gynnwys yn llawn gyda'r adroddiad hwn. Roedd y gwasanaeth wedi derbyn drafft cynnar a chafodd sylwadau dethol eu cynnwys yn y papurau.

Adlewyrchai'r adroddiad hwn ar y data a gyflwynwyd gan Sir Ddinbych mewn perthynas â 2021-22, ar berfformiad yn erbyn 12 Hawl Craidd a 6 Dangosydd Ansawdd, gyda rhywfaint o sylwebaeth ar y perfformiad gan aseswyr Is-adran Ddiwylliant Llywodraeth Cymru. Cafodd ei amlygu i'r pwyllgor roedd Sir Ddinbych yn parhau i gwrdd â phob un o'r 12 Hawl Craidd.

Cyfrannodd darpariaeth y llyfrgell at nifer o'r themâu Corfforaethol gan gynnwys; lachach Sir Ddinbych, Sir sy'n tyfu ac yn gwella, Sir sydd â chysylltiadau da, Sir deg a chyfartalach, Sir y diwylliant bywiog a'r Gymraeg ffyniannus a chyingor sy'n rhedeg yn dda, sy'n perfformio'n dda.

Tynnodd yr Aelod Arweiniol sylw at gwblhau Sialens Ddarllen yr Haf yn llwyddiannus ar draws Llyfrgelloedd Sir Ddinbych. Roedd yn dangos cynnydd sylweddol yn y defnydd o'r gwasanaeth. Roedd aelodau'n cael gwybod bod pob llyfrgell yn Sir Ddinbych wedi gwella gwasanaethau digidol i drigolion lleol wneud defnydd ohonynt. Gwasanaethau gan gynnwys y One Stop Shops a oedd yn gweithredu o lyfrgelloedd ar draws y Sir.

Ychwanegodd Pennaeth Cymunedau a Gwasanaethau Cwsmeriaid fod Safonau'r Llyfrgell yn gyfle gwych i'r Gwasanaeth Llyfrgell fynychu craffu i gyflwyno perfformiad y gwasanaeth i'w drafod. Roedd hi'n falch o ddweud bod llyfrgelloedd wedi gwella'n dda ar ôl i'r pandemig Covid gau. Cynigiodd ei diolch i staff y llyfrgell am yr ymroddiad a bortreadwyd ganddynt i gyd yn ystod y pandemig i barhau i gynnig rôl broffesiynol a chefnogol i'r trigolion.

Daeth y Prif Lyfrgellydd i ben drwy hysbysu'r Pwyllgor bod y fframwaith yn galluogi'r gwasanaeth i fesur a dangos yr effaith a gafodd y gwasanaeth ar drigolion yn y gymuned. Roedd y fframwaith yn cael ei ddiwygio ar hyn o bryd ac roedd yn debygol o fod yn fwy ysbrydoledig yn y blynyddoedd nesaf. Anogodd aelodau i fynychu eu llyfrgell leol i arsylwi ar yr hyn oedd wedi digwydd.

Diolchodd y Cadeirydd i'r Aelod Arweiniol a'r swyddogion am y cyflwyniad manwl a'r papurau a ddarparwyd i gyd-fynd â'r adroddiad.

Llongyfarchodd yr aelodau y gwasanaeth am yr hyn maen nhw'n ei gynnig i drigolion. Roedd y gwasanaethau a ddarparwyd yn cynnig cymorth ac arweiniad i drigolion a llyfrgelloedd yn dod yn fwy o ganolfannau cymunedol.

Mewn ymateb i sylwadau a chwestiynau'r aelodau fe ehangodd yr Aelod Arweiniol ynghyd â'r swyddogion ar y canlynol:

- Doedd dim targed wedi'i osod ar gyfer twf a ragwelwyd mewn aelodau gweithredol, ond roedd yr holl hysbysebu a marchnata mewn partneriaeth ag ystod o bartneriaid a gwasanaethau. Rhan o'r bartneriaeth honno oedd i'r grwpiau hynny annog unigolion i ymuno â'r llyfrgell.
- Roedd y gwasanaeth yn cynnig gwasanaeth digidol i unigolion oedd ddim yn gallu cael mynediad i'r llyfrgell yn ystod peth amser agor. Clywodd yr aelodau bod nifer o lyfrgelloedd yn cynnig amseroedd agor ddydd Sadwrn.

- Y disgwyl oedd y byddai'r cynnig digidol yn parhau i gynyddu yn ystod y blynyddoedd i ddod.
- Roedd y gwasanaeth digidol ar sail Cymru gyfan, gyda'r aelodau'n gallu manteisio ar y pwll adnoddau a rennir. Gwelwyd llawer o waith rhanbarthol ar draws Gogledd Cymru, yn enwedig gyda'r catalog cyffredin o lyfrau. Arweiniodd hyn at gynnig ystod ehangach o stoc i ddefnyddwyr.
- Fel rhan o ymdrechion cynhyrchu incwm y Gwasanaeth cafodd nifer o lefydd eu rhentu i drydydd partiön. Roedd hyn yn cynnig gwasanaeth ychwanegol i drigolion fel anghenion bancio, meysydd i gynnal digwyddiadau addysgol, digwyddiadau lles cymunedol fel Clybiau Dementia ac ati.
- Ar hyn o bryd roedd rhaglen farchnata ar waith i hyrwyddo'r Gwasanaeth a'i arlwy. Roedd datganiad misol i'r wasg sy'n dangos agweddau newydd o'r gwasanaeth wedi'i weld yn ystod eleni. Defnyddiwyd cyfryngau cymdeithasol yn helaeth i hyrwyddo llyfrgelloedd a'r hyn oedd ar gael i gymunedau.
- Roedd partneriaethau penodol gyda rhai Cynghorau Dinas, Tref a Chymuned ar waith mewn rhai rhannau o'r awdurdod. Cafwyd cyfraniadau ariannol tuag at redeg y llyfrgell leol. Roedd y rhain yn caniatáu i grwpiau gyfarfod a chynnal cyfarfodydd rheolaidd yn y gymuned.
- Roedd gofyn statudol i gynnig gwasanaeth llyfrgell, ac er nad oedd cyfarwyddeb ar beth oedd y gwasanaeth hwnnw'n ei olygu, mae Safonau Llyfrgell Cyhoeddus Cymru yn cynnig modd o ddehongli'r gofyniad statudol.
- Roedd dileu'r dirwyon mewn llyfrgelloedd wedi digwydd yn 2022. Gwelwyd cynnydd yn nefnydd y llyfrgell ac ymwelwyr er nad oedd tystiolaeth i gysylltu hynny â dileu dirwyon.

Ar ddiwedd y drafodaeth, diolchodd y Pwyllgor i'r Prif Lyfrgellydd a holl staff y Gwasanaeth Llyfrgell yn Sir Ddinbych am yr ystod ac ansawdd ardderchog o wasanaethau a ddarparwyd ganddynt i drigolion, ac:

**Penderfynwyd: yn amodol ar y sylwadau uchod –**

- (i) ***derbyn yr adroddiad a llongyfarch Gwasanaeth Llyfrgelloedd y sir ar ei berfformiad yn erbyn 6ed Fframwaith Safonau Llyfrgelloedd Cyhoeddus Cymru yn ystod 2021/22; a***
- (ii) ***gofyn am adroddiad pellach ar berfformiad y Gwasanaeth yn erbyn y Safonau yn ystod 2022/23, ac amlinellu ei gynnydd wrth ddatblygu llyfrgelloedd fel manau lles a chadernid unigol a chymunedol. Mae hyn yn unol â themâu corfforaethol y Cyngor, gyda'r nod o gefnogi darpariaeth y Cynllun Corfforaethol wrth iddo gael ei gyflwyno i'r Pwyllgor yn ei gyfarfod ym mis Ionawr 2024.***

## **8 RHAGLEN WAITH ARCHWILIO**

Cyflwynodd y Cydlynnydd Craffu'r adroddiad (dosbarthwyd ymlaen llaw).

Roedd y cyfarfod nesaf wedi'i drefnu ar gyfer 27 Ebrill 2023, clywodd yr aelodau fod adolygiad chwe mis o'r Gofrestr Risg Gorfforaethol i'w gyflwyno i'r aelodau.

Atgoffwyd yr aelodau o drafodaethau blaenorol ar y mater band eang yn y sir.

Dywedodd wrth yr aelodau ei bod wedi gwneud rhai ymholiadau ar y mater a'i bod yn amlwg bod darpariaeth Band Eang yn fater cymhleth iawn. Rhoddwyd gwybod i'r

Aelodau fod yr Is-Gadeirydd y Cynghorydd Gareth Sandilands a'r Cydlynnydd Archwilio wedi mynychu cyfarfod gyda BT Open Reach ar gyflwyno ffibr llawn i Sir Ddinbych. Yn dilyn y cyfarfod hwnnw penderfynwyd y byddai gweithdy yn rhoi trosolwg o ddarpariaeth Band Eang a Chynlluniau Uwchraddio yn Sir Ddinbych o fudd i aelodau, cyn penderfynu pa agweddau fyddai'n elwa o graffu manwl. Cynigiwyd yn y cyfarfod nesaf i gynnal gweithdy yn dilyn busnes y pwyllgor.

Roedd Grŵp Cadeiryddion ac Is-Gadeiryddion Craffu wedi cyfarfod yn ddiweddar. Yn y cyfarfod hwnnw roedd cais a dderbyniwyd gan y Pwyllgor Llywodraethu ac Archwilio ar recriwtio, cadw staff a chynllunio'r gweithlu wedi'i adolygu. Roedd y Pwyllgor Llywodraethu ac Archwilio wedi derbyn adroddiad yn flaenorol ac roedd Sgriwtini arfaethedig wedi trafod y pwnc yn fanylach. Cynhwyswyd adroddiad ar raglen waith i'r dyfodol GCIGA er gwybodaeth ar yr un mater. Cynigiwyd felly bod adroddiad ffurfiol yn cael ei gyflwyno i'r Pwyllgor Archwilio Perfformiad yn y cyfarfod a drefnwyd ar gyfer 8 Mehefin 2023 yn hytrach nag i'r GCIGA.

Roedd Atodiad 2 yn cynnwys copi o'r ffurflen Cynnig Aelodau, hysbyswyd yr aelodau y byddai'r Grŵp yn cyfarfod eto ar 27 Ebrill. Anogwyd yr aelodau i lenwi'r ffurflen gydag unrhyw eitemau y credent oedd yn haeddu ystyriaeth.

Atodiad 3 i'r adroddiad oedd gwaith i'r dyfodol y Cabinet.

Atodiad 4 – hysbyswyd yr aelodau o'r argymhellion a wnaed yn y cyfarfod Craffu blaenorol a'r cynnydd a wnaed o ran eu gweithredu.

Yn dilyn y drafodaeth gynharach gofynnodd yr aelodau am adroddiad y Strategaeth Dai a'r Strategaeth Digartrefedd gyda diweddariad ymhen chwe mis. Hefyd cynnwys cais am adroddiad dilynol ar Dai'r Cyngor a gwaith gyda thenantiaid. Dywedodd y Cydlynnydd Craffu wrth yr aelodau ei bod wedi siarad â'r swyddogion a chynigiwyd cynnwys adroddiad gwybodaeth ar y rhaglen waith i'r dyfodol ymhen 12 mis.

Nodwyd hefyd bod adroddiad pellach ar Safonau a Pherfformiad y Gwasanaeth Llyfrgell yn cael ei ychwanegu at y rhaglen arfaethedig ar gyfer cyfarfod y Pwyllgor ym mis Ionawr 2024.

Atodiad 5 i'r adroddiad oedd y datganiadau o ddiddordeb a dderbyniwyd i wasanaethu fel cynrychiolwyr pwyllgor ar y grwpiau Herio Gwasanaethau. Clywodd yr aelodau y byddai'r grwpiau Her Gwasanaeth yn cyfarfod fwy neu lai unwaith y flwyddyn. Ynghlwm roedd rhestr o'r mynegiadau a dderbyniwyd hyd yma. Clywodd yr aelodau fod y Cynghorydd Terry Mendies ynghyd â'r Cynghorydd Carol Holliday wedi mynegi diddordeb i gynrychioli'r Pwyllgor ar y Grŵp Her Gwasanaethau Priffyrdd ac Amgylcheddol. Roedd yr aelodau'n gytûn i'r Cynghorydd Mendies fynychu cyfarfodydd Her y Gwasanaethau Priffyrdd ac Amgylcheddol. Nodwyd bod nifer fach o wasanaethau yn dal i fod angen gwneud trefniadau cyfarfod, roedd y rhain yn dibynnu ar ganlyniad yr ailstrwythuro UDA a oedd ar fin digwydd. Byddai rhestr gyfredol yn cael ei darparu pan fyddai trefniadau wedi'u gwneud. Anogodd y Cyfarwyddwr Corfforaethol: Llywodraethu a Busnes yr aelodau i gymryd rhan mewn cyfarfodydd herio gwasanaethau er mwyn deall y gwasanaethau'n fanylach.

Tywysodd y Cadeirydd yr aelodau drwy'r rhestr a awgrymwyd a gofynnodd i'r Pwyllgor gadarnhau ei gynrychiolwyr. Cadarnhaodd y Cydlynnydd Craffu y byddai'n

cyflwyno rhestr wedi'i diweddarau i'r Pwyllgor pan fyddai rhagor o fanylion ar gael. Yr oedd

**Penderfynwyd:**

- (i) yn amodol ar gynnwys yr adroddiadau y gofynnwyd amdanynt yn ystod y cyfarfod ynghyd â'r ychwanegiadau a'r diwygiadau a amlinellwyd uchod, cadarnhau Rhaglen Gwaith i'r Dyfodol y Pwyllgor fel y nodir yn Atodiad 1;***
- (ii) penodi'r aelodau a enwir isod i wasanaethu fel cynrychiolwyr y Pwyllgor ar y Grwpiau Her Gwasanaeth canlynol:***

***Priffyrdd a Gwasanaethau Amgylcheddol - y Cynghorydd Terry Mendies;***  
***Cynllunio, Gwarchod y Cyhoedd a Gwasanaeth Cefn Gwlad - y Cynghorydd Gareth Sandilands;***  
***Cyllid ac Archwilio - y Cynghorydd Hugh Irving;***  
***Gwasanaethau Cymorth Cymunedol - y Cynghorydd Carol Holliday;***  
***Addysg a Gwasanaethau Plant - y Cynghorydd Ellie Chard.***

**9 ADBORTH GAN GYNRYCHIOLWYR Y PWYLLGOR**

Dywedodd y Cadeirydd, fel cynrychiolydd y Pwyllgor Craffu ar Fwrdd Prosiect Adeiladu'r Frenhines, wrth yr aelodau fod y Bwrdd yn parhau i gyfarfod yn fisol. Roedd y gwaith adeiladu ar yr adeilad newydd wedi hen ddechrau ac roedd y broses o geisio datganiadau o ddiddordeb ar gyfer gweithredwr y cyfleuster wedi dechrau.

Daeth y cyfarfod i ben am 12.45pm.

Mae tudalen hwn yn fwriadol wag

<b>Adroddiad i'r</b>	<b>Pwyllgor Craffu Perfformiad</b>
<b>Dyddiad y cyfarfod</b>	<b>27 Ebrill 2023</b>
<b>Aelod / Swyddog Arweiniol</b>	<b>Y Cyngorydd Julie Matthews, Aelod Arweiniol Polisi, Cydraddoldeb a Strategaeth Gorfforaethol Nicola Kneale, Pennaeth Dros Dro Gwella Busnes a Moderneiddio</b>
<b>Pennaeth Gwasanaeth</b>	<b>Nicola Kneale, Pennaeth Dros Dro Gwella Busnes a Moderneiddio</b>
<b>Awdur yr Adroddiad</b>	<b>Heidi Barton-Price, Swyddog Cynllunio Strategol a Pherfformiad</b>
<b>Teitl</b>	<b>Adolygiad y Gofrestr Risgiau Corfforaethol, Chwefror 2023</b>

## **1. Am beth mae'r adroddiad yn sôn?**

- 1.1. Y wybodaeth ddiweddaraf am adolygiad mis Chwefror 2023 o'r Gofrestr Risgiau Corfforaethol.

## **2. Beth yw'r rheswm dros lunio'r adroddiad hwn?**

- 2.1. I ddarparu gwybodaeth am yr adolygiad diweddaraf o'r Gofrestr Risgiau Corfforaethol.

## **3. Beth yw'r Argymhellion?**

- 3.1. Bod y Pwyllgor Craffu Perfformiad yn ystyried ac yn gwneud sylwadau ar y diwygiadau i'r Gofrestr Risgiau Corfforaethol (Atodiad 2), yn cynnwys statws pob risg yn ôl ein Datganiad Parodrwydd i Dderbyn Risg (Atodiad 3), ac yn nodi'r ddogfen gryno y gall yr Aelodau a swyddogion ei defnyddio, sy'n cynnwys statws lliwiau a thueddiadau (Atodiad 1).

## 4. Manylion yr adroddiad

- 4.1. Yr Uwch Dîm Arwain, ynghyd â'r Cabinet, sy'n datblygu ac yn berchen ar y Gofrestr Risgiau Corfforaethol. Caiff ei hadolygu ddwywaith y flwyddyn gan y Cabinet yn ystod sesiwn frifio'r Cabinet.
- 4.2. Yn dilyn pob adolygiad, caiff y gofrestr ddiwygiedig ei chyflwyno i'r Pwyllgor Craffu Perfformiad a'i rhannu gyda'r Pwyllgor Llywodraethu ac Archwilio.
- 4.3. Cynhaliwyd yr adolygiad diwethaf ym mis Medi 2022. Mae'r [papurau a gyflwynwyd i'r Pwyllgor Craffu Perfformiad ar gael ar-lein](#).
- 4.4. Defnyddiwyd datganiad parodrwydd i dderbyn risg y Cyngor yn y trafodaethau a gafwyd gyda pherchnogion risg, ac mae ein lefel risg (yn seiliedig ar y sgôr) wedi'i dadansoddi o fewn y Gofrestr Risgiau Corfforaethol (Atodiad 2).
- 4.5. Yn ystod yr adolygiad diweddaraf, mae nifer o ddiweddariadau wedi bod o ran perchnogion, teitlau, disgrifiadau a chymau gweithredu ar risgiau. Nid oes unrhyw newidiadau wedi bod i sgoriau'r risgiau. Mae'r newidiadau hyn wedi cael eu gwneud i'r gofrestr risgiau ac wedi'u nodi ar ddechrau pob risg yn Atodiad 2. I grynhoi, mae'r newidiadau mwyaf yn cynnwys:
  - 4.6. Risg 01: Y risg o wall diogelu neu ymarfer difrifol lle mae cyfrifoldeb ar y Cyngor, a fyddai'n arwain at niwed difrifol neu farwolaeth: Mae'r Cynghorydd Julie Matthews wedi'i dynodi'n Aelod Arweiniol ychwanegol, ar ôl trafodaeth mewn sesiwn frifio'r Cabinet am y cysylltiadau rhwng y risg hon a recriwtio.
  - 4.7. Risg 21: Y risg nad yw partneriaethau a rhyngwynebau effeithiol rhwng Bwrdd Iechyd Prifysgol Betsi Cadwaladr a Chyngor Sir Ddinbych (CSDd) yn datblygu, gan arwain at wahaniaeth sylweddol rhwng cyfeiriad strategol a gweithredol Prifysgol Betsi Cadwaladr a Chyngor Sir Ddinbych: Mae'r Cynghorydd Gill German wedi'i hychwanegu fel Aelod Arweiniol ar gyfer y risg hon. Mae sgôr y risg wedi'i hadolygu ar ôl i BIPBC gael eu rhoi mewn mesurau arbennig ac nid yw wedi newid ar yr adeg hon.
  - 4.8. Risg 36: Y risg bod yr amgylchiadau economaidd ac ariannol yn gwaethygu y tu hwnt i ddisgwyliadau cyfredol ac yn cael effaith niweidiol ar fusnesau lleol: Mae'r risg hon wedi'i hadolygu ac mae'r teitl wedi'i newid i ganolbwyntio ar yr effaith ar fusnesau lleol. Mae Risg 37 yn canolbwyntio ar galedi economaidd ar

gyfer y gymuned leol a'n hymateb ni fel un o nifer o bartneriaid sy'n gweithio i leihau anghydraddoldeb ac amddifadedd.

- 4.9. Risg 50: Y risg y bydd ymrwymiad Llywodraeth Cymru i ddileu elw o ofalu am blant sy'n derbyn gofal yn arwain at gyflenwad ansefydlog neu anaddas o leoliadau. Mae geiriad Saesneg y risg wedi newid o '*Looked After Children*' i '*Children Looked After*'.
- 4.10. Mae perchnogion risgiau 12, 37, a 50 wedi newid.
- 4.11. Parodrwydd i dderbyn risg: Ar hyn o bryd, mae risgiau 1, 6, 13, 18, 21, 27, 33, 34, 36, 44 a 45 yn anghyson â Datganiad Parodrwydd i Dderbyn Risg y Cyngor (Atodiad 3). Mae gennym gyfanswm o 20 risg ac mae 11 ohonynt y tu hwnt i'n parodrwydd i dderbyn risg (55%).
- 4.12. Yn dilyn pob adolygiad, caiff y gofrestr ddiwygiedig ei chyflwyno i'r Pwyllgor Craffu Perfformiad a'i rhannu gyda'r Pwyllgor Llywodraethu ac Archwilio.

## **5. Sut mae'r penderfyniad yn cyfrannu at Gynllun Corfforaethol 2022 i 2027: Y Sir Ddinbych a Garem?**

- 5.1 Diben y Gofrestr Risgiau Corfforaethol yw amlygu digwyddiadau posib' yn y dyfodol a allai gael effaith niweidiol ar allu'r Cyngor i gyflawni ei amcanion, gan gynnwys ei flaenoriaethau corfforaethol. Mae'r camau rheoli a gweithredu a amlygir felly yn hanfodol i gyflawni Cynllun Corfforaethol 2022 i 2027.

## **6. Faint fydd hyn yn ei gostio a sut y bydd yn effeithio ar wasanaethau eraill?**

- 6.1. Mae costau datblygu, monitro ac adolygu'r Gofrestr Risgiau Corfforaethol yn cael eu cynnwys yn y cyllidebau cyfredol.

## **7. Beth yw prif gasgliadau'r Asesiad o Effaith ar Les?**

- 7.1. Mae'r Gofrestr Risgiau Corfforaethol yn cofnodi risgiau sydd wedi'u canfod, a'r camau lliniaru. Nid yw'r broses o ddatblygu ac adolygu'r ddogfen ei hun yn cael effaith niweidiol ar unrhyw un o'r nodau lles. Fodd bynnag, dylid cynnal asesiad o effaith ar les ar gyfer unrhyw broses, strategaeth neu bolisi newydd sy'n cael eu llunio o ganlyniad i gam lliniaru.

## **8. Pa ymgynghoriadau sydd wedi'u cynnal gyda Chraffu ac eraill?**

8.1. Cynhaliwyd trafodaethau unigol gyda Pherchnogion Risgiau (y Prif Swyddog Gweithredol, Cyfarwyddwyr Corfforaethol, Penaethiaid Gwasanaeth perthnasol) gan gynnwys mewnbwn gan swyddogion sy'n arwain ar gamau pellach er enghraifft.

8.2. Ceir trafodaeth wedyn am y gofrestr gyda'r Tîm Gweithredol Corfforaethol, yr Uwch Dîm Arwain a'r Cabinet, mewn sesiwn friffio'r Cabinet, i gytuno ar a/neu wneud mwy o newidiadau.

8.3. Mae'r gofrestr wedi'i diweddarw wedyn yn cael ei hystyried gan y Pwyllgor Craffu Perfformiad a'i rhannu gyda'r Pwyllgor Llywodraethu ac Archwilio.

## **9. Datganiad y Prif Swyddog Cyllid**

9.1. Nid oes unrhyw oblygiadau ariannol yn codi wrth ddatblygu, monitro ac adolygu'r Gofrestr Risgiau Corfforaethol.

## **10. Beth yw'r risgiau ac a oes unrhyw beth y gallwn ni ei wneud i'w lleihau?**

10.1. Nid oes unrhyw risgiau yn gysylltiedig â'r adolygiad hwn.

## **11. Pŵer i wneud y penderfyniad**

11.1. Deddf Llywodraeth Leol 2000.

11.2. Mae Cyfansoddiad y Cyngor yn amlinellu swyddogaethau a chyfrifoldebau uwch swyddogion ac yn nodi bod rhaid i weithdrefnau a phrosesau clir fod yn eu lle i reoli risg yn effeithiol.

11.3. Mae Adran 21 Deddf Llywodraeth Leol 2000 ac Adran 7 Cyfansoddiad y Cyngor yn nodi pwerau Craffu mewn perthynas ag edrych ar benderfyniadau a wnaed neu gamau a gymerwyd mewn cysylltiad â chyflawni unrhyw un o swyddogaethau'r Cyngor, un ai gan y Cabinet neu ran arall o'r Cyngor

# **Appendix 1**

# **Corporate Risk Register**

# **February 2023**

# Corporate Risk Register

## Contents

Corporate Risk Register	3
Summary of current corporate risks and score (February 2023)	3
Non-financial and regulatory	4
Financial	5
Operational	6
Strategic	8

# Corporate Risk Register

## Corporate Risk Register

The Council's Corporate Risk Register (CRR) contains the Council's most serious risks. It is owned by Cabinet and the Senior Leadership Team (SLT).

We define our risks using a scale of likelihood of the risk occurring and the impact of the risk occurring. We also determine whether the risk is in line with our risk appetite. Risk appetite is the level of risk we are prepared to tolerate or accept in pursuit of our long term, strategic objectives.

The Risk Management Strategy is available [on our website](#).

Risk Score	Risk Severity	Escalation Criteria
C5, D4, D5, E4, E5	Minor (Green)	Risk easily managed locally and no need to involve management
A5, B4, B5, C3, C4, D3, E2, E3	Moderate (Yellow)	Risk containable at service level and senior management and SLT may need to be kept informed
A3, A4, B3, C2, D1, D2, E1	Major (Orange)	Intervention by SLT with Cabinet involvement
A1, A2, B1, B2, C1	Critical (Red)	Significant SLT and Cabinet intervention

### Summary of current corporate risks and score (February 2023)

We have 20 corporate risks on our register.

55% (11) are outside of our risk appetite.

# Corporate Risk Register

## Non-financial and regulatory

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
01. The risk of a safeguarding incident	A1 Critical (Red) Risk Almost Certain and Very High Impact	A1 Critical (Red) Risk: Almost Certain and Very High Impact	Same	No
12. Negative reports from external regulators	C2 Major (Orange) Risk: Possible and High Impact	C3 Moderate (Yellow) Risk: Possible and Medium Impact	Same	Yes
14. Health and safety	C2 Major (Orange) Risk: Possible and High Impact	E2 Moderate (Yellow) Risk: Rare and High Impact	Same	Yes

# Corporate Risk Register

## Financial

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
06. Economic environment worsens (council)	B1 Critical (Red) Risk: Likely and Very High Impact	B2 Critical (Red) Risk: Likely and High Impact	Same	No
13. Alternative models of service delivery	B2 Critical (Red) Risk: Likely and High Impact	C2 Major (Orange) Risk: Possible and High Impact	Same	No
27. Budgetary decisions are not taken or implemented quickly enough	B1 Critical (Red) Risk: Likely and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Same	No
31. Fraud and corruption	C2 Major (Orange) Risk: Possible and High Impact	E2 Moderate (Yellow) Risk: Rare and High Impact	Same	Yes
33. Cost of care is outstripping resource	B1 Critical (Red) Risk: Likely and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Same	No

# Corporate Risk Register

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
36. Economic environment worsens (local businesses)	A1 Critical (Red) Risk: Almost Certain and Very High Impact	B2 Critical (Red) Risk: Likely and Very High Impact	Same	No

## Operational

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
11. Ineffective response to a serious event	A2 Critical (Red) Risk: Almost Certain and High Impact	C3 Moderate (Yellow) Risk: Possible and Medium Impact	Same	Yes
34. Demand for specialist care cannot be met locally	A2 Critical (Red) Risk: Almost Certain and High Impact	B2 Critical (Red) Risk: Likely and High Impact	Same	No

## Corporate Risk Register

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
44. Ash Dieback Disease	<b>B1 Critical (Red)</b> Risk: Likely and Very High Impact	<b>C2 Major (Orange)</b> Risk: Possible and High Impact	Same	No
48. Recruitment and retention issues	<b>B3 Major (Orange)</b> Risk: Likely and Medium Impact	<b>B3 Major (Orange)</b> Risk: Likely and Medium Impact	Same	Yes
49. Vulnerable and disengaged learners	<b>A2 Critical (Red)</b> Risk: Almost Certain and High Impact	<b>C3 Moderate (Yellow)</b> Risk: Possible and Medium Impact	Same	Yes
50. Placements for Children Looked After	<b>B2 Critical (Red)</b> Risk: Likely and High Impact	<b>C3 Moderate (Yellow)</b> Risk: Probable and Medium Impact	Same	Yes

# Corporate Risk Register

## Strategic

Risk Title	Inherent Risk Score	Residual Risk Score	Trend since last report	Within Risk Appetite
21. Strategic misalignment between the Health Board and the Council	A1 Critical (Red) Risk: Almost Certain and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Same	No
18. Programme and projects don't deliver	B2 Critical (Red) Risk: Likely and High Impact	B2 Critical (Red) Risk: Likely and High Impact	Same	No
30. Senior leadership capacity and skills	C3 Moderate (Yellow) Risk: Possible and Medium Impact	C3 Moderate (Yellow) Risk: Possible and Medium Impact	Same	Yes
37. Partnerships and inequalities and deprivation	B1 Critical (Red) Risk: Likely and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Same	Yes
45. Net carbon zero and ecologically positive council	A1 Critical (Red) Risk: Almost Certain and Very High Impact	B2 Critical (Red) Risk: Likely and High Impact	Same	No

# Corporate Risk Register (February 2023)



## Appendix 2

### Corporate Risk Register Review

February 2023

# Corporate Risk Register (February 2023)

## Contents

Risk 01: The risk of a serious safeguarding or practice error, where the council has responsibility, resulting in serious harm or death .....	4
Risk 06: The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.....	13
Risk 11: The risk of an ineffective response to a serious event, such as severe weather, contamination, public safety, cyber-attack, or a public health event (such as Covid-19). ..	18
Risk 12: The risk of a significantly negative report(s) from external regulators. ....	23
Risk 13: The risk of significant liabilities resulting from alternative models of service delivery .....	28
Risk 14: The risk of a health & safety incident resulting in serious injury or the loss of life. (Where H&S is referred to, this incorporates fire safety).....	32
Risk 18: The risk that programme and project benefits are not fully realised.....	35
Risk 21: The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC .....	40
Risk 27: The risk that even if the settlement is as anticipated, decisions that are necessary to identify and deliver the savings programme and enable a balanced budget are not taken or implemented quickly enough .....	44
Risk 30: The risk that Senior Leadership capacity and skills to sustain service and corporate performance is not available .....	49
Risk 31: The risk of fraud and corruption resulting in financial and reputational loss and possibly impacting on service delivery.....	52

## Corporate Risk Register (February 2023)

Risk 33: The risk that the cost of care is outstripping the council's resource .....	56
Risk 34: The risk that demand for specialist care cannot be met locally .....	60
Risk 36: The risk that the economic and financial environment worsens beyond current expectations, and has a detrimental impact on local businesses.....	67
Risk 37: The risk that partners don't have the resources, matching priorities or commitment to support delivery of shared plans and priorities, and in particular, fail to reduce inequalities and deprivation .....	74
Risk 44: The risk of Ash Dieback Disease (ADB) in Denbighshire leading to significant health and safety issues that represent a potential risk to life.....	80
Risk 45: The risk that the council fails to become a net carbon zero and ecologically positive council by 2030.....	86
Risk 48: The risk that recruitment and retention issues, leading to a loss of expertise and capacity, worsen resulting in poor or inadequate services.....	91
Risk 49: The risk that the future funding regime doesn't allow the council to continue to support the most vulnerable learners and disengaged young people in the way we have been through EU funding.....	97
Risk 50: The risk that Welsh Government's commitment to eliminate profit from the care of Children Looked After results in an unstable or unsuitable supply of placements .....	102

## Corporate Risk Register (February 2023)

## Corporate Risk Register (February 2023)

Changes are summarised under each risk title summary.

### **Risk 01: The risk of a serious safeguarding or practice error, where the council has responsibility, resulting in serious harm or death**

**Lead Member(s):** Councillor Elen Heaton, Councillor Gill German, and Councillor Julie Matthews

**Risk Owner:** Nicola Stubbins

#### **February update:**

Cllr Julie Matthews has been identified as an additional Lead Member, following discussion at Cabinet Briefing about the links between this risk and recruitment.

The risk score has not changed. However, it is important to reiterate a key message noted in the September 2022 review. The risk score remains at an increased level on the basis of our assessment that the chance of this occurring is currently higher than it was previously. Although we do not regard the likelihood as “almost certain to occur in most circumstances” (which is the definition of Risk Likelihood A in our risk methodology), the risk remains at an increased level. It continues to feel appropriate to keep the Risk Likelihood score at A1 – Critical Risk Almost certain and Very high impact. Keeping the risk score at this level enables the risk to be further prioritised and escalated, which remains appropriate and necessary at this time.

The anticipated direction of travel, controls and assurance sections have been updated.

#### **Description**

This risk - concerning children and adults at risk - is increasing as the environment is changing, with growing expectations around our duties in relation to third party provision. The cumulative impact of recruitment and retention issues in social care is significantly

## Corporate Risk Register (February 2023)

impacting on the council's ability to deliver statutory social care functions. As at February 2023, we remain deeply concerned that a death could occur as a result of insufficient staffing resources.

There is a national recruitment and retention crisis in social care (see also [risk 48](#)). Social care frequently loses staff due to the superior pay and conditions offered by recruitment agencies, other local authorities and the health board, often for similar but less demanding roles. Our services are often only able to replace experienced staff with newly qualified or inexperienced workers that require significant support and are unable to independently work with the increasingly complex cases referred to the service. Many new starters are younger, newly qualified staff and rates of maternity leave in some teams are high.

There were already reported shortages in social care and health sector. However, an impact of Covid-19 is a significant movement of the workforce away from social care and health. As described above, fewer social workers are entering the profession than are leaving. The market is extremely competitive and there is no national pay structure in place in the sector (like there is for education). Other employers offer more competitive and attractive packages that the council cannot compete with.

At the same time, caseloads are becoming more complex and are increasing. There is a risk of people not being supported, or not being seen with the right intensity.

Recruitment and retention challenges, together with more demanding cases and workloads, are impacting social care services' ability to deliver its statutory responsibilities; are placing increased pressure on remaining staff in the teams, negatively impacting their well-being and increasing levels of unplanned absence.

Failure of social care services to deliver its statutory responsibilities could result in:

- Death, injury or neglect to vulnerable citizens and their carers
- Legal challenge
- Financial damage
- Reputational damage
- Sanction by Regulatory Body

# Corporate Risk Register (February 2023)

## Anticipated direction of travel:

The impact of Covid-19, the continued pressures with recruitment and retention, the deterioration in the economy and the pressures people are facing, all escalated this risk in terms of its inherent and residual risk scores, with the overall risk score being heightened to its maximum level in September 2022. Since the situation has not improved. We will ensure continued extremely close management at the most senior operational, corporate and political levels of the council, and we continue to raise this risk at a national level.

## Impact / Consequences

- Individual(s) experience significant harm or death.
- Significant reputational loss.
- Possible intervention by Welsh Government or Care Inspectorate Wales.
- Legal/compensation costs.
- Poor staff well-being and sickness

## Inherent Risk

A1 – Critical Risk: Almost certain / Very high impact

## Controls to Manage Risk (in place)

In addition to the controls listed further below, CET and Cabinet have introduced monthly risk reviews. Every month, Lead Members, the Corporate Director and Heads of Service provide a verbal update on the level of risk and the actions being taken to manage and reduce it.

Cllr Julie Matthews has been identified as another Lead Member for this risk, because it links to recruitment.

Critical controls:

- A joint Inspectorate Review of Child Protection Arrangements (JICPA) in Denbighshire, involving Care Inspectorate Wales (CIW), Her Majesty's Inspectorate

## Corporate Risk Register (February 2023)

of Constabulary and Fire and Rescue Services (HMICFRS), Healthcare Inspectorate Wales (HIW), and Estyn, commenced on February 6, 2023. This is a new multi-agency inspection by four regulators and is the first in Wales to be carried out. Verbal feedback will be provided at the end of the week with a draft anticipated 4 to 5 weeks later. A further week will be given to allow any challenge to the factual accuracy of the draft. The expected publication date is unknown at present but it will outline strengths and areas for improvement for the partner agencies.

- The first risk focus session for CET took place on October 17, 2022 following the escalation of this risk and discussion at CET on October 13, 2022. CET agreed to focus on progress in relation to key actions, which it now discusses every month. The actions under review bring together the critical operational, strategic and political actions the council is undertaking to manage this risk.
- Cabinet now receives monthly verbal updates at Cabinet Briefing, from Lead Members, the risk owner and Heads of Service.
- [Performance Scrutiny Committee](#) and [Governance and Audit Committee](#) received reports on the September 2022 risk review on November 23 2022. To follow up on previous lines of enquiry at Governance and Audit - and to support discussions related to the interconnected nature of this risk with other risks contained within this register - a report on recruitment and retention issues in Social Services was submitted as an information report.
- [Governance and Audit Committee received a report on October 4, 2022](#), about a Care Inspectorate Wales inspection. The report highlighted recruitment and retention challenges and the Committee was reassured that safeguarding was being managed as a critical risk on the council's corporate risk register and that steps were being taken to try to alleviate the situation.
- Meetings with Welsh Government have taken place to discuss the extent of our concerns and how the risk has escalated. We are having internal meetings involving CET, and with the Leader and lead members. There is now a draft memorandum about children's agency workers, and is being led by All Wales Children's' Heads of Service, and is being discussed and debated nationally.
- We have set the care fees to ensure that providers can pay their social care staff the Real Living Wage. Although the Welsh Government settlement notification

## Corporate Risk Register (February 2023)

states that they have included funding to enable authorities to continue to meet the additional costs of introducing the Real Living Wage for care workers, the total Revenue Support Grant fell well short of funding all pressures within social services so the commitment remains a pressure for the Council.

- Ongoing discussions with HR are taking place and we are taking action as part of our efforts to resolve recruitment and retention issues in social care and health and more widely across council service ([see also controls for the recruitment and retention risk 48](#)).
- The Corporate Social Care Recruitment & Retention Board is chaired by the Corporate Director, supported by Human Resources, Communications, and Working Denbighshire. An action plan has been developed and most actions have been implemented, including:
  - Appointment of a dedicated HR Recruitment Specialist for Social Care
  - Extended advertisement for social care vacancies
  - Improved recruitment packs
  - Detailed analysis of joiners and leavers data
  - Liaising with Working Denbighshire to capture / follow up unsuccessful candidates
  - Dedicated Job Fairs and DCC attendance at various community events with a focus on social care recruitment
  - Specific advertising and social media campaign focussing on social care recruitment including delivery vehicles and DCC branding
  - Removal of Grade 7 for New Social Workers and Occupational Therapists
  - Grade 8 to Grade 9 Progression for Social Workers and Occupational Therapists
  - Re-Introduction of Practice Teacher Payments
  - Review of Adult Mental Health Social Workers
  - Claiming of Registration Fees – process reviewed and simplified
  - HR has conducted a comprehensive comparison of pay and conditions across the 6 North Wales Local Authorities and BCUHB
- The Corporate Director presented the situation to the WLGA in September 2022. WLGA is gaining momentum in its work to support local authorities on this.

## Corporate Risk Register (February 2023)

- We have a statutory responsibility for safeguarding and the operational delivery of our statutory functions, alongside our partners', are our critical controls to mitigate the risk. Other services, and our wider corporate response, is about understanding and awareness so that people know what to do if and when they have concerns about a person or family's welfare.

### Ancillary controls (*newest first*):

- We have asked other councils in North Wales how they are evaluating and managing any safeguarding risks on their corporate risk registers.
- We have responded to a Welsh Government call for evidence regarding independent schools' legislation and guidance in Wales. Some of the proposed changes to safeguarding are a direct result of issues and concerns raised by Denbighshire County Council.
- The North Wales Safeguarding Children Board Local Delivery Group, developed by Denbighshire, has been recognised as good practice.
- The Corporate Parenting Forum continues to progress well and is well attended.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Safeguarding policy and procedures are in place. The Corporate Safeguarding Policy has been reviewed and updated in line with new legislation.
- Corporate Safeguarding Training Programme.
- Framework of self-assessment for schools in relation to safeguarding has been established.
- Section 28 audit tool in place for voluntary sector to ensure safeguarding practices are in place.
- Compliance with safeguarding practises is part of the annual HR audit of schools.
- Regional arrangements for safeguarding a) children and b) adults at risk are in place. The regional safeguarding boards set priorities and actions regionally, e.g.

## Corporate Risk Register (February 2023)

training and policies & procedures. This includes, for example, working in partnership with North Wales Police on such issues as County Lines.

- Risk assessments in place for recruiting staff who require a DBS check and/or references and this is monitored and scrutinised by the Corporate Safeguarding Panel.
- Safeguarding policy review has taken place with Schools and new guidance has been developed
- Key posts within the Council that could have an impact on safeguarding have been identified and Heads of Service are reviewing the posts to ensure that adequate checks are undertaken by the Council or an external body. All new employee contracts make reference to safeguarding.
- Corporate Safeguarding Panel has been reviewed including the terms of reference, roles and responsibilities.
- Heads of Service have been asked to ensure they consider safeguarding when reviewing their risk registers and that safeguarding be included in service challenge where appropriate.
- Briefing sessions on safeguarding and Child Sexual Exploitation have been delivered to County Council. Safeguarding features in three Cabinet Members' portfolios.
- Improvements have been made to safeguarding arrangements with contractors including (i) DBS contract checks, (ii) ensuring that Council staff responsible on site for the contractor and managing the tendering / contract process are clear of their responsibilities in respect of safeguarding, (iii) ensuring contracts terms and conditions (including JCT) in relation to DBS checks are appropriate, (iv) ensuring that self-assessment arrangements as part of contract management are appropriate.
- Safeguarding e-learning module in place and compliance is monitored and scrutinised by the Corporate Safeguarding Panel.
- Recording and sharing safeguarding incidents and near misses is a standing item of the Corporate Safeguarding Panel. It also shares case reviews where there is a corporate perspective for lessons learned. Service representatives are responsible

## Corporate Risk Register (February 2023)

for reporting any key messages from panel meetings to members of staff within their services.

- Adoption of new Wales Safeguarding Procedures.

Relevant indicators of the effectiveness of controls:

- SHR102m: The percentage of eligible staff that have an up-to-date DBS (DCC)
- SHR103m: The percentage of eligible staff that have an up-to-date reference check or risk assessment (DCC)
- SHR104m: The percentage of eligible staff that have an up-to-date DBS (schools)
- SHR105m: The percentage of eligible staff that have an up-to-date reference check or risk assessment (schools)
- LHRD47i: Percentage of employees that have completed all 9 mandatory e-learning modules by the end of the year

Assurance:

- A joint Inspectorate Review of Child Protection Arrangements (JICPA) was undertaken in Denbighshire, by Care Inspectorate Wales (CIW), Her Majesty's Inspectorate of Constabulary and Fire and Rescue Services (HMICFRS), Healthcare Inspectorate Wales (HIW), and Estyn during the week commencing February 6, 2023. The expected publication date is unknown at present but it will outline strengths and areas for improvement for the partner agencies.
- A Corporate Recruitment and Retention paper was presented to January 2023 [Governance and Audit Committee](#), which included aspects of social care issues.
- This risk is a standing item on Cabinet Briefing and Corporate Executive Team monthly meetings.
- A report about recruitment and retention was presented to [Performance Scrutiny Committee](#) and [Governance and Audit Committee](#) in November 2022.
- A review of recruitment and retention will be undertaken by Internal Audit during January and March 2023.
- A follow up inspection "Assurance Check" was undertaken in Denbighshire by the Care Inspectorate Wales between June and July 2021. The follow up inspection

## Corporate Risk Register (February 2023)

highlighted improvements in safeguarding as well as some areas for further development. A report taken to [Governance and Audit Committee](#) on October 4, 2022.

- The North Wales Safeguarding Children Board Local Delivery Group, developed by Denbighshire, has been recognised as good practice.

### Residual Risk

A1 – Critical Risk Almost certain / Very high impact

### **Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Critical risk. Risk Appetite suggests that this should be at most a minor risk. The Risk Owner has confirmed that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

**Risk 06: The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.**

**Lead Member(s):** Councillor Gwyneth Ellis

**Risk Owner:** Steve Gadd

### **February update:**

The description, anticipated direction of travel and controls sections have been updated.

The action to “Gain approval the medium term capital strategy” has been extended to 31/12/2023.

A thorough consideration of this risk in light of recent economic events, and uncertainty over short to medium term projections, has taken place. The risk score has not changed.

### **Description**

The Local Government Settlement for 2023 to 2024 increased to 8.2% from the indicative settlement increases of 3.5%. The indicative settlement for 2024 to 2025 has risen from 2.4% to 3%. Although this was very welcome from a planning perspective it does indicate that difficult decisions will be required over the coming years. There continues to be an ever growing list of significant economic and demand pressures related to inflation (e.g. pay, energy), social care, support for schools, benefits, the supply of goods and services in the supply chain (and the impact on our capital plan).

The economy has suffered several shocks in recent years. Leaving the European Union, Covid-19, war in Ukraine and increases in cost of living. Cost of fuel, goods and services have increased and led to concerns around the level of inflation in the UK, prompting The Bank of England to increase base rates and predictions currently expect this trend to continue. Sustained higher, or further increasing, interest rates will place mounting pressure on businesses and communities across Denbighshire.

## Corporate Risk Register (February 2023)

Although the position is moving swiftly and liable to change the current projections indicate that pressures of £25-30m at for next year will require funding. Even after taking into account an annual Council Tax increase of 3.8%, and the indicative RSG settlement of 3%, shortfalls remain of between £15-22m. Similar pressures are being experienced by other Welsh local authorities. The position for 2025/26 remains even more uncertain as the current UK Government spending plans end in 24/25 and therefore WG cannot provide an indicative settlement.

Supply of goods and services include:

- Labour shortages and vacancies across sectors leading to delays
- Fuel costs, commodity (including food) supply and price increases (including in transport and freight, and in relation to supply of goods)

According to the Local Government EU Advisory Panel, which last met in January 2022, business operations are trying to adapt to the new situation and will probably face changes for the foreseeable future.

These pressures are all monitored closely and regularly by senior managers, including the Section 151 Officer.

What is more, increases to the cost of living is becoming an increasing risk to the council, whereby our capacity to support people struggling with the cost of living may be limited or people may make decisions about how they allocate their household budget, prioritising rent or mortgage over council tax, for example.

### **Anticipated direction of travel:**

We have some control around the impact of this risk but not the likelihood of it occurring. The outlook has deteriorated significantly over the last six months, worsening over the last few weeks. The outlook for public bodies has worsened very recently, and we are expecting a return to austerity - at least in the near term. We continue to work closely with the WLGA and Welsh Government to ensure everyone is aware of the situation.

# Corporate Risk Register (February 2023)

## Impact / Consequences

- The council suffers from a significant reduction in income, leading to an inability to deliver current levels of service provision.
- The council experiences increased costs.
- The council's ambition and the scope of projects and programmes are curtailed due to increased costs.
- Increases in cost of living leads to reduced income for the council, e.g. reduced council tax.

## Inherent Risk

B1 – Critical Risk: Likely / Very High Impact

## Controls to Manage Risk (in place)

The council has no control over the global economy or the WG settlement. Therefore, the inherent and residual risk scores are likely to remain high.

Critical controls (newest first):

- The new Lead Member has agreed the continuation of existing financial management processes, which include the operation of the Budget Board.
- Our level of financial reserves are kept at a sensible level so that shocks to economy can be dealt with.
- The collaborative approach to partnership working and sharing lessons learned during the Covid-19 pandemic (with Welsh Government and the Welsh Local Government Association) has been mutually beneficial and we hope that this same approach could be established should we experience further economic shocks in the future. Working collaboratively, pan Wales, has been an effective way to develop solutions.
- The Section 151 Officer reports regularly to the Budget Board. We are continuing to implement the capital contingency budget, and seek approvals from the Budget Board.

## Corporate Risk Register (February 2023)

- Due to the worsening financial outlook a new Medium Term Financial Strategy (MTFS) is currently being developed to help prepare the council for the financial challenges ahead. The current aim is for this to be presented to Cabinet in April and the wider membership in May. This will form the basis of how we identify the efficiencies, savings and cuts necessary in order to ensure the financial sustainability of the council
- The MTFS will detail the latest detailed Medium Term Financial Plan (MTFP) which contains different scenarios to try to ensure that it can deal with changes in the external environment.
- We try to reduce the likelihood of this risk occurring, where we can, for example through individual, regional, national lobbying and through other meetings/fora.
- Annual, detailed budget setting process that considers economic environment
- A robust budget-setting process raises awareness of implications of significantly reduced income due to the economic environment. It also identifies a range of proposals should cuts be incurred.
- Regular (usually monthly) financial planning meetings between services and management accountants are in place.
- Services routinely monitor supply issues and cost increases.
- The Procurement Team supports services to ensure value for money and explore collaborative procurement where that may be a possibility.
- Service's budgets and budget proposals are scrutinised by the Lead Member for Finance and the Head of Service during budget-setting talks.

### Ancillary controls (*newest first*):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- We provide clear communications around financial challenges and the budget process to Members and we try to ensure our residents are informed about how the council is funded and spends its funds.

## Corporate Risk Register (February 2023)

Relevant indicators of the effectiveness of controls: The Head of Finance and Property's service plan includes key performance indicators that are associated with effective management of this risk and he provides a monthly budget report to Cabinet.

- FAH201m The % of required efficiency savings identified for next year (Quarterly)
- FAH202m The % of required efficiency savings achieved for this year (Quarterly)
- Council reserves (£k)

Assurance:

- Risk Management Internal Audit Review 2021
- Capital Management Internal Audit Review 2021
- High level coverage in Project Management Internal Audit Reviews
- Audit Wales – Financial Sustainability Assessment 2020-21

### Residual Risk

B2 – Critical Risk: Likely / High Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Critical risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

### Further Actions

1. **Gain approval of the medium term capital strategy**
2. **Action Due Date:** 31/10/2023

**Person Responsible:** Steve Gadd

## Corporate Risk Register (February 2023)

**Risk 11: The risk of an ineffective response to a serious event, such as severe weather, contamination, public safety, cyber-attack, or a public health event (such as Covid-19).**

**Lead Member(s):** Councillor Barry Mellor, Councillor Julie Matthews and Councillor Win Mullen-James

**Risk Owner:** Graham Boase

### **February update:**

Controls have been updated.

The risk score has not changed.

### **Description**

Serious unexpected events can occur at any time.

Services plan for the impact of expected seasonal variations in weather, but severe weather events, including extreme heat, wild fires as well as flooding, can impact on public safety and service delivery.

Similarly, we put plans in place to monitor food, water and air quality, but any contaminations can impact on service delivery, as would any viral pandemics.

Cyber-attacks can affect our ability to provide services electronically, putting our business continuity plans to the test, and the same applies to major IT service failures. The risk of cyber-attack has very recently increased due to war in Ukraine, with increased potential for infiltration resulting in destabilisation. Across the UK, since March 1, 2022, we have been on a heightened level of alert in relation to cyber-attack.

In terms of terrorism, the current national threat level to the UK (England, Wales, Scotland and Northern Ireland) from terrorism is “substantial”.

## Corporate Risk Register (February 2023)

Public health events, such as Covid-19, put terrific strain on organisations such as ours, impacting on service delivery, project timescales, staff capacity, and of course finances. It also challenges the resource capacity of partners and providers that we work with.

Our approach to this risk is to avoid the risk or minimise the impact of the risk should it occur in the first instance, and this is supported through, for example, adherence to Public Sector IT standards, or flooding management schemes.

### **Anticipated direction of travel:**

We expect this to be a static risk that consistently features in our corporate risk register. This ensures continued close management at the most senior operational, corporate and political levels of the council. We will closely monitor this risk in relation to events in the Ukraine, together with our usual approach to managing severe weather, and should there need to be further management of Covid-19. We will review the risk once the new emergency planning response review, which will identify the council's senior responsible officer for emergency planning, is complete.

### **Impact / Consequences**

- Significant disruption to core services.
- Serious injury or fatality due to road network closure, poisoning or infection.
- Reputational risk to the council if unable to deal with issues.
- Inability to deliver front line services (as a result of staff shortages for example).
- Temporary loss of data.
- Significant cost pressures to our budget.

### **Inherent Risk**

A2 – Critical Risk: Almost Certain / High Impact

### **Controls to Manage Risk (in place)**

Critical controls (newest first):

## Corporate Risk Register (February 2023)

- Responsibility for emergency planning is currently ongoing alongside our senior leadership restructure. This will identify the council's senior responsible officer for emergency planning going forward. In the meantime, the Corporate Director: Economy and Environment chairs meetings of all the chairs of various response groups in emergency planning and is also attended by regional emergency planning representatives. The purpose of this group includes to provide assurance that systems are in place and to test procedures.
- We undertake regular reviews to ensure ICT systems are secure, including making updates to our Firewall.
- ICT receives time critical updates from central bodies such as the National Cyber Security Centre.
- We work to strict public sector ICT standards and have Service business continuity plans in place.
- A report with a proposal to review our emergency planning response structure/processes was considered by the Strategic Emergency Management Team and SLT in September 2021.
- Covid-19 Control: SEMT met on a regular basis and responded to the initial Covid-19 emergency. Covid-19 strategic management controls have been stepped down.
- A report went to SLT in the Autumn 2021 to review lessons learned from our response to Covid-19, from an emergency planning perspective.
- The control environment in this area is the Regional Emergency Planning Service (Wrexham, Flintshire, Denbighshire, Conwy, Gwynedd and Anglesey), and local emergency management response groups have been established. There is significant partnership working with a regional emergency planning team coordinating plans and responses across North Wales.
- We also continually review our procedures for winter highways maintenance and flood response. Secondary rota established and operational.
- Service disruption is minimised through our arrangements for business continuity and emergency planning, with separate Directors responsible for Response and Recovery.
- There's an emergency on-call rota in place.
- Vulnerable people mapping tool is in operation.

## Corporate Risk Register (February 2023)

- Planning and Public Protection has plans in place to manage responses to pandemics such as bird flu or foot and mouth for instance, with a focus on how we will work with partners in such times.
- We have set up a WhatsApp Business Continuity communication network, which has been tested in an internal council Business Continuity exercise (April 2019).

### Ancillary controls (*newest first*):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- An annual Emergency Planning Response report is taken to SLT, the Lead Member and Partnerships Scrutiny, as requested, every year.
- New chairs for the Communications and Operational Response Groups have strengthened arrangements.
- Gold & Silver training in place for new representatives.
- Deputies for Chairs of response teams appointed.
- Trial business continuity exercise took place in the council in October 2017. Overall the exercise was successful.

### Relevant indicators of the effectiveness of controls:

- FINPROPHS1001: RIDDOR accident rate (National measure – No. of RIDDOR x 100,000 / No. of FTE employees)
- BIM208i: Denbighshire County Council achieves Public Services Network (PSN) security Standard compliance (0 = pass; 1 = fail)

### Qualitative indicators:

- Production, and where needed implementation of, Service business continuity plans
- Establishment, uptake and effectiveness of emergency rest centres.

## **Corporate Risk Register (February 2023)**

(Please note: some of these indicators are only measured should a serious event occur)

### **Residual Risk**

C3 – Moderate Risk: Possible / Medium Impact

**Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes

## Corporate Risk Register (February 2023)

### Risk 12: The risk of a significantly negative report(s) from external regulators.

**Lead Member(s):** Councillor Gwyneth Ellis and Councillor Jason McLellan

**Risk Owner:** Gary Williams

#### February update:

The risk owner has changed from Nicola Stubbins to Gary Williams.

The controls and assurance sections have been updated.

The risk score has not changed since September 2022, and remains slightly more heightened as a result of increased risks elsewhere (such as [risk 01](#)), and the anticipated critical feedback the social care and health sector across Wales, is likely to receive in coming months.

#### Description

Negative reports from regulators could lead to a range of impacts that could be negative for Denbighshire County Council. The council is committed, however, to responding to reports and working with partners, including external regulators, to addressing any concerns that may arise.

Our relationships with external regulators are very important. We need mutual confidence in our relationships to ensure the best possible outcomes in terms of service improvement and shared learning. We ensure we alert external regulators to issues that may be becoming problematic early on, and we work closely with them on programmes of work to share learning more widely.

Most recently, a follow up inspection “Assurance Check” was undertaken in Denbighshire by the Care Inspectorate Wales between June and July 2021. The follow up inspection highlighted improvements in safeguarding as well as some areas for further development. The interim management arrangements in Education and Children’s Services - noted as an issue - have since been settled. However, we now have two interim head of service

## Corporate Risk Register (February 2023)

leads in Community Support Service. The Council is aware of the need for stable and consistent management and it is being managed closely. A report was due to be taken to [Governance and Audit Committee](#) on September 21, 2022, but has been cancelled while public meetings cease during a period of national mourning. The meeting will be rescheduled.

A further piece of work, this time focussed on children's services highlighted some issues, such as - once again - recruitment. CiW is currently undertaking a national study of child placements in unregulated settings. We will likely have an update on this in our next report.

A paper about Audit Wales's report about commissioning older people's care homes has been presented to Governance and Audit Committee. This was a national study that included a review of regional arrangements, including in North Wales.

### **Anticipated direction of travel:**

The score has been considered in relation to current performance and is felt to be accurate. The current position is generally positive. We expect this risk to always feature in our corporate risk register, but one that may increase or decrease in line with other factors, for example the financial environment. This risk will continue to be reviewed regularly to ensure all necessary controls are effective and operating as they should be.

### **Impact / Consequences**

- A wider lack of confidence in council services.
- Reputational damage.
- Potential intervention by the WG.
- Significant resources may be required to be diverted to deliver immediate and substantial change.

### **Inherent Risk**

C2 – Major Risk: Possible / High Impact

# Corporate Risk Register (February 2023)

## Controls to Manage Risk (in place)

Regulation we are subject to includes: CIW (Care Inspectorate Wales); WAO Office; Estyn; HSE (Health & Safety Executive); ICO (Information Commissioner's Office). Information, studies and other reports are also published by other commissioners such as the Welsh Language Commissioner for Wales or the Older People's Commissioner for Wales.

Critical controls:

- SLT, Estyn, CiW and Audit Wales hold an annual Audit Risk Assessment meeting to reach consensus on the regulatory inspections to take place throughout the year.
- SLT on 26th January 2023 received this year's Joint Audit Risk Assessment setting out the programme of assurance planned for the year ahead. The report included the priorities of the inspectorates, and their intentions at a national and local level to identify strengths and areas of concern. The issues identified within the report affect all local authorities across Wales and nothing was raised as a specific local concern regarding Denbighshire. Estyn highlighted some local concerns but these are issues we are already aware of and managing.
- A joint Inspectorate Review of Child Protection Arrangements (JICPA), involving Care Inspectorate Wales (CIW), Her Majesty's Inspectorate of Constabulary and Fire and Rescue Services (HMICFRS), Healthcare Inspectorate Wales (HIW), and Estyn, was undertaken in Denbighshire during the week commencing 6th February 2023.
- We maintain positive and proactive relationship management and ongoing communication with external regulators. We have healthy and open relationships with our regulators.
- The corporate performance management framework (PMF) is the main control in this area.
- Interim Head of Business Improvement & Modernisation, Strategic Planning Team Manager and Head of Audit meet monthly with Wales Audit Office to understand and respond to their concerns.
- Regulators sit on Service Performance Challenges.

## Corporate Risk Register (February 2023)

- Protocol developed for addressing recommendations from WAO national studies: services' response will be the subject of performance scrutiny and service challenge.

Ancillary controls (newest first):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Annual Governance Statement and Performance Self-Assessment are aligned.

Relevant indicator of the effectiveness of controls:

- CH012i: The number of negative reports from external regulators

Assurance:

- A joint Inspectorate Review of Child Protection Arrangements (JICPA) was undertaken in Denbighshire, by Care Inspectorate Wales (CIW), Her Majesty's Inspectorate of Constabulary and Fire and Rescue Services (HMICFRS), Healthcare Inspectorate Wales (HIW), and Estyn during the week commencing February 6, 2023. The expected publication date is unknown at present but it will outline strengths and areas for improvement for the partner agencies.
- See the [description](#) for a detailed update on assurance reports.
- Internal Audit reviews forward work programme
- External regulatory bodies. For example, CIW monitor progress through routine performance review activities and through a CIW local authority risk based approach to inspection planning. CiW is currently undertaking a national study of child placements in unregulated settings.

### Residual Risk

C3 – Moderate Risk: Possible / Medium Impact

## **Corporate Risk Register (February 2023)**

**Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes

# Corporate Risk Register (February 2023)

## Risk 13: The risk of significant liabilities resulting from alternative models of service delivery

**Lead Member(s):** Councillor Julie Matthews

**Risk Owner:** Steve Gadd

### February update:

The description and controls have been updated.

The risk score has not changed.

### Description

We have a small number of alternative service delivery models (ASDMs) in place currently, for example revenues and benefits and leisure.

Liabilities could arise due to financial, HR, safeguarding, or governance problems and could impact on the sustainability of service provision.

This risk was impacted by Covid-19 but Welsh Government provided significant financial support during Covid-19 restrictions to cover the significant loss of income (the income loss would have occurred regardless of the establishment of the ASDM). The year 2022 to 2023 will be the first year of Denbighshire Leisure Limited functioning without Covid-19 restrictions (at least none are anticipated as at September 2022).

Following the notification by the contractor of the wish to negotiate the end of the revenue and benefits outsourcing contract, a major project was undertaken to bring this service back to the council. The project was successful, albeit with some minor issues, but it has been fully implemented and the process has received a high assurance audit rating.

### Anticipated direction of travel:

Although there are strong governance arrangements to monitor any income losses between 2021 and 2022 were been covered by the Covid-19 grant from Welsh

## Corporate Risk Register (February 2023)

Government. The year 2022 to 2023 will be the first year of Denbighshire Leisure Limited functioning without Covid-19 restrictions (at least none are anticipated as at September 2022). The risk will continue to be monitored but no significant issues have been raised so far the ongoing financial performance of DLL, the company is subject to many of the financial and economic pressures that the council is (e.g. pay and energy costs), and therefore remains a financial risk for the council as the sole shareholder. There are no major ADSMs in the pipeline.

### Impact / Consequences

- Financial liabilities.
- Property Liabilities.
- Reduction in levels / quality of service provided to the community, or increased revenue costs to continue delivery.
- Collapse of company
- Reputation damage to the council
- Safeguarding to include protection of all assets (physical & intellectual Information)

### Inherent Risk

B2 – Critical Risk: Likely / High Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- The contract management framework that is being developed will distinguish between the types of contract and the management arrangements that are needed.
- The framework will support officers to evaluate the risk of the contract to ensure effective monitoring and management is in place. CET has requested to look at contract management in more detail.
- We do have a contingency budget, should we need it.
- The robust project governance devised for Civica will continue to be used as a model for large-scale alternative models of service delivery.

## Corporate Risk Register (February 2023)

- A rigorous process is in place to ensure appropriate governance arrangements are in place as ASDMs are established.
- Effective contract management arrangements are in place and appropriate monitoring is carried out throughout the life of the contract, including to ensure compliance with current legislation and approved accredited standards as appropriate.
- Council is entitled to representation on Boards, and Heads of Service providing strategic advice to facilities.
- Intervention measures are exercised by the council if relationships with arm's length organisations are difficult to manage.
- Resources have been committed to improve financial monitoring of facilities and services
- A register of all ASDMs was created some time ago.
- Processes are in place to manage relationships between the council and Arm's Length organisations.

### Ancillary controls (newest first):

- Monthly operational board meetings.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- [ASDM Toolkit is available on our website](#)
- Heads of Service advise the council on any emerging issues and risks.
- Ensure best practice / lessons learned is applied to our robust contract and relationship management of ADM models.

### Relevant indicators of the effectiveness of controls:

- FIN309a Ensuring best value and effective monitoring of the contract with Civica through the Strategic and Operational Boards meetings

## Corporate Risk Register (February 2023)

- FIN310a Ensuring best value and effective monitoring of the contract with Citizens Advice Denbighshire through the Strategic and Operational Boards meetings
- FIN318a Ensuring efficient monitoring of the contract with Denbighshire Leisure Ltd, effective monitoring of the contract, ensuring financial sustainability
- BIM208m The number of incidents of ICT procurement NOT going through the ICT Service

### Residual Risk

C2 – Major Risk: Possible / High Impact

### **Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Critical risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

**Risk 14: The risk of a health & safety incident resulting in serious injury or the loss of life. (Where H&S is referred to, this incorporates fire safety)**

**Lead Member(s):** Councillor Julie Matthews

**Risk Owner:** Steve Gadd

### **February update:**

The risk has been reviewed and the controls have been updated. The risk score has not changed.

### **Description**

This could be as a result of unsafe acts, unsafe work places or ineffective H&S management.

### **Anticipated direction of travel:**

This impact of this risk had previously been heightened as a consequence of risks associated with Covid-19. The risk has been reviewed and is considered to be static.

### **Impact / Consequences**

- Serious injury or death of an employee and/or any other person.
- Significant reputational damage
- Substantial legal/litigation costs.
- Criminal prosecution of staff or the organisation.

### **Inherent Risk**

C2 – Major Risk: Possible / High Impact

# Corporate Risk Register (February 2023)

## Controls to Manage Risk (in place)

Critical controls (newest first):

- Covid-19 restrictions have been removed. Many staff continue to work from home some or most of the time. The New Ways of Working Project team, led by our Corporate Director: Communities, continues to monitor the situation. There may be risks associated with a potential lack of fire wardens and first aiders in the office buildings under these current working arrangements. This is being explored and any actions or solutions identified will be added to our controls.
- Strategic leadership is provided by the Head of Finance & Property, with delegated responsibility for Health and Safety.
- Competent H&S advisors are employed by the organisation to provide support, guidance and training on H&S.
- A Corporate Health and Safety Policy is in place, which defines the H&S organisation and arrangements in the council.
- There is an established H&S Management System in place.
- H&S training program focussed on council activities and the way we manage H&S.
- “Managing safely in Denbighshire” training is mandatory for all managers.
- The corporate H&S team carry out a program of targeted monitoring
- An online accident, incident reporting process is in place. There is an expectation that all accidents and incidents are reported

Ancillary controls (newest first):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- An established Corporate H&S Committee is in place as part of the Joint Consultative Committee for Health and Safety and Employee Relations which is a forum for the employer and employee representatives to discuss and consult on H&S.

## Corporate Risk Register (February 2023)

- A number of service level H&S committees meet to provide a forum for service managers and employee representatives to discuss and consult on H&S.
- Significant H&S related accidents, incidents and near misses are investigated internally.
- Schools have effective health and safety practices.

Relevant indicators of the effectiveness of controls:

- FINPROPHS1001 RIDDOR accident rate (National measure – No. of RIDDOR x 100,000 / No. of FTE employees)
- FAH123m The percentage of properties with asbestos where a re-inspection has been carried out in line with the asbestos management plan
- FAH119m The percentage of properties with a valid Fire Risk Assessment (*please note asbestos, fire, water, gas, electricity etc. are building compliance risks rather than health and safety risks, although failure to manage will have a health and safety impact*)
- Gas servicing, fixed wire testing and water hygiene are relevant indicators that are also monitored regularly

### Residual Risk

E2 – Moderate Risk: Rare / High Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Yes. Risk Appetite suggests that this should be at most a cautious risk.

## Corporate Risk Register (February 2023)

### **Risk 18: The risk that programme and project benefits are not fully realised.**

**Lead Member(s):** Councillor Gwyneth Ellis

**Risk Owner:** Graham Boase

#### **February update:**

The description and controls have been updated.

The risk score has not changed.

The further action has been removed.

#### **Description**

This risk touches on elements of other risks in our corporate risk register. But specifically, in relation to benefits realisation, there is a real risk of lack of capacity or focus that could result in us not delivering our commitments, partly or wholly. There are reputational and financial consequences, especially where significant funding is coming from Welsh and UK governments, that could affect their confidence in us in the future.

The council currently does not consistently deliver all benefits from projects. Some of the issues include: inconsistent management; resistance to change; staff behaviour and processes not changing as planned. Programmes to be mindful of include: Budget Board, Climate and Ecological Change Programme, the regional Growth Deal and delivery and governance associated with SPF and LUF.

A number of programmes and projects have been, or are still, facing delays as a result of Covid-19. Most recently, fuel and price inflation in the costs of materials is impacting on ability to deliver our capital projects. Welsh Government has recently said:

“In order to assess the materials cost increases the cost parameter we are now working to is the ONS Index for Materials and Components as at 1/9/2021. This will provide an upper limit for agreed cost increases. The index states that overall

## Corporate Risk Register (February 2023)

materials costs have increased by 22% compared to a year ago and this is the upper limit we will use.”

There are a range of Welsh Government and UK Government funding streams for some of our programmes and projects (e.g. 21<sup>st</sup> Century Schools, UK Levelling Up Fund), and expectations around delivery are extremely high.

This risk encompasses risks associated with the council making changes that result in a greater negative impact than we anticipated (formerly risk 00028). When deciding where to make changes, we endeavour to ensure the quality of key services. There is a risk that we haven't identified the correct services as being 'key', and/or that the changes we make are more disruptive than we anticipated.

### **Anticipated direction of travel:**

The risk was heightened in February 2022 to reflect the range of new externally funded programmes we are delivering, or will soon be delivering, together with increasing costs associated with construction projects in particular. With inflation and the recent interest rate increase, together with economic projections not looking favourable for some time to come, the risk score remains the same. Strong controls are in place. However, the risk remains outside our (open) risk appetite and we do not expect it to decrease in the short term.

### **Impact / Consequences**

The forecast changes that were alluded to in business cases do not materialise and, hence, neither do their benefits.

In relation to programmes or projects having a greater positive or negative impact than anticipated could result in the following impacts:

- The council's ambition and the scope of projects and programmes are curtailed due to increased costs.
- Services that are important for our residents are no longer available
- Performance in important areas of our business (for our residents) deteriorates

## Corporate Risk Register (February 2023)

- Reinstatement/correction in performance is difficult and slow to achieve
- Reputation can suffer if performance deteriorates
- Reputation can suffer if messages are not managed
- The impact of not fulfilling our programmes and realising benefits could result in poor social, cultural, economic and environmental outcomes.
- Financial liabilities
- Regulatory liabilities
- Deteriorating staff morale
- Inability to meet statutory obligations
- Disproportionate return on investment.
- Failure to maximise opportunities for the benefit of communities and businesses in Denbighshire.
- Failure to agree a regional approach to funding projects.

Importantly, as resources have reduced, there is less capacity to additionally respond to new, emerging and unplanned issues of importance to residents, councillors or partners.

### Inherent Risk

B2 – Critical Risk: Likely / High Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- The Corporate Plan has been approved by Members and a performance management framework is being developed. This will capture the ambition of elected members for their term of office.
- Strategic Planning team will be supporting the development of appropriate governance arrangements for the new corporate plan, and also support performance management in the organisation, therefore there's a strong alignment between 'change' and BAU.
- A corporate plan performance management session will take place on March 17, 2023, for Cabinet and SLT.

## Corporate Risk Register (February 2023)

- More robust challenge is being directed at project sponsors around costs, contingency allocation and optimism biases; through CET, programme or project boards, at Cabinet, the Capital Scrutiny Group and through other committees.
- Programmes and projects are developed within robust management and governance systems. Corporate Project register goes to CET on a quarterly basis and provides information about the status of projects.
- We have a new capital strategy to help us plan over a longer term for capital projects and how we commit capital funding. The new process also sets a communication and engagement plan with a range of stakeholders, which as seen as critical to a successful budget setting process going forward.
- We ensure we have senior-level representation at board meetings. The North Wales Economic Ambition Board is attended by Denbighshire's Leader. Director-level representation is in place for the officer groups that support the Board and relevant key officers are represented on work stream meetings.
- SPF/LUF governance and staff
- Corporate Programme Office in place.
- Leadership Strategy in place.
- Impact assessments are undertaken and form part of the cover report for decisions.
- Risk are considered and form part of the cover report for decisions.
- Use of Verto to record benefit tracking and significant outcomes from projects will be picked up as part of Service Planning process.
- Finance remove savings from budgets to ensure financial savings are delivered.
- Quarterly Performance Reports on the Corporate Plan are sent to SLT, Cabinet and Scrutiny.
- SLT reviews key projects every three months.
- Programme Board members have attended Programme Management training.
- Lead Member for Finance, Performance & Strategic Assets now chairs the Corporate Plan Board, also sitting on the Budget Board. Their involvement in both boards ensures a coherent approach to our programmes and financial planning.
- The Corporate Plan 2017 to 2022 was reviewed at tranche and end of delivery reviews.

## Corporate Risk Register (February 2023)

- Heads of Service assume responsibility for the implementation of new legislation, supported by the Strategic Planning Team where appropriate.

Ancillary controls (newest first):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- As a result of lessons learned previously, Corporate Plan Programme Boards have had a standing agenda item about communications and proactive communications management.
- Communications and stakeholder management are core parts of project briefs, businesses cases and project/programme management.
- The council partakes in government consultations on new legislation (either directly or through the WLGA).

Relevant indicators of the effectiveness of controls:

- CH008i No. of projects on the project register showing as 'compromised'
- PRCORP The percentage of Council projects whose delivery confidence was updated no more than six weeks ago (Corporate Project Register)

### Residual Risk

B2 - Critical Risk: Likely / High Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Critical risk. Our risk appetite statement says that at most, this risk should be a major risk at most. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

**Risk 21: The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC**

**Lead Member(s):** Councillor Elen Heaton and Councillor Gill German

**Risk Owner:** Nicola Stubbins

### **February update:**

Councillor Gill German has been added as a Lead Member for this risk.

The description and controls sections have been updated.

The risk score has been reviewed following BCUHB being placed back in special measures and has not changed at this present time.

### **Description**

Integrated social care and health services and delivering on the Social Services and Well-being (Wales) Act 2014 is a fundamental part of our partnership with the health board and more widely, across North Wales, through the Regional Partnership Board and the North Wales Social Care and Well-being Improvement Collaborative.

Betsi Cadwaladr University Health Board (BCUHB), which covers the whole of North Wales, was placed back in special measures on Monday 27, February having previously been in the category between 2015 and 2020. Health at a local level, and the effectiveness of health and social care working together, is subject to increased political and regulatory scrutiny. This requires a level of scrutiny and a strong commitment to partnership. There is a national agenda to drive effective partnership working.

## Corporate Risk Register (February 2023)

Relationship management and communication is critical to successful mitigation.

A BCUHB 'Stronger Together' restructure has been implemented, however, subsequently the Chief Executive has resigned.

The current Deputy Chief Executive is currently acting as interim Chief Executive.

There are still a number of interim posts which can affect decision making.

### **Anticipated direction of travel:**

The longer term impact of Covid-19 is becoming apparent but is by no means fully understood. The catch up required in routine/planned treatment, exacerbated health inequalities and hidden harms (due to not having treatment, mental health impact of Covid-19), all create a potential for challenge in the system, and therefore the relationship, which could affect delivery of integrated social care and health services in Denbighshire. The funding arrangements with Welsh Government create an ongoing friction.

The partnership arrangements and relationships we have built means there is enough interface and opportunity to deal with issues before they become significant problems.

However, the reinstatement of special measures means there is a possibility that this risk could increase in terms of both likelihood and impact (and sit further beyond our risk appetite), until just time the Board is no longer in special measures, the Board's restructure settles or should our controls be ineffective.

### **Impact / Consequences**

- Inefficient services
- Gaps in service provision
- Delays/failure to deliver joint projects
- Reputational damage
- Ability to meet statutory duties - Well-being of Future Generations Bill, Social Services and Well-being Act

# Corporate Risk Register (February 2023)

## Inherent Risk

A1 – Critical Risk: Almost certain / Very high impact

## Controls to Manage Risk (in place)

Critical controls (newest first):

- The BCUHB restructure has been implemented but posts have not been permanently appointed to (the post holders are interim). The new Integrated Health Community Director (Central) is therefore interim but we consider our relationship with that post holder to be very positive.
- The Regional Partnership Board and the North Wales Social Care and Well-being Improvement Collaborative have reviewed needs in relation to social care and health services as part of our population needs assessment (Social Services and Well-being (Wales) Act 2014).
- Two Community Resource Teams have been established.
- The Regional Partnership Board is in place to progress cooperation and integration.
- BCUHB is a member of the Conwy/Denbighshire PSB, which has shared priorities and a shared governance vision.

Ancillary controls (newest first):

- All six North Wales Authorities have been approached to nominate two independent members to the Health Board.
- The Audit Wales Review of the Health Board leading them into special measures.
- We assume, as at last time the Board was put into special measures that an Assurance Board will be established. The Council to look to engage with that Board and receive regular updates.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- NWWSIC has reviewed its governance arrangements in partnership with BCUHB.

# Corporate Risk Register (February 2023)

Relevant indicators of the effectiveness of controls:

- An indicator that could be a measure of the effectiveness of controls is currently under review by Welsh Government (there are some data accuracy issues associated with it): QSCA001 The rate of delayed transfers of care for social care reasons per 1000 population aged 75 or over.

Qualitative indicators that describe what effective management/partnerships looks and feels like may be more appropriate in this instance. Such as, governance (e.g. Regional Partnership Board) and service level agreements, Memorandums of Understanding.

Assurance:

- Audit Wales has examined Regional Partnership Boards' commissioning of older people's care homes recently and a report was presented to Governance and Audit Committee in March 2022.

## Residual Risk

C2 – Major Risk: Possible / High Impact

### **Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Major risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

**Risk 27: The risk that even if the settlement is as anticipated, decisions that are necessary to identify and deliver the savings programme and enable a balanced budget are not taken or implemented quickly enough**

**Lead Member(s):** Councillor Gwyneth Ellis

**Risk Owner:** Steve Gadd

### **February update:**

The description and controls sections have been updated.

The risk score has not changed.

The further action (Review Strategic Investment Group (SIG) process) has been completed. A Capital Scrutiny Group proposal and draft terms of reference have gone to committees for approval during December 2022. A user guide is in development and the process will be launched in April 2023.

### **Description**

The Local Government Settlement for 2023 to 2024 increased to 8.2% from the indicative settlement increases of 3.5%. The indicative settlement for 2024 to 2025 has risen from 2.4% to 3%. Although this was very welcome from a planning perspective it does indicate that difficult decisions will be required over the coming years. There continues to be an ever growing list of significant economic and demand pressures related to inflation (e.g. pay, energy), social care, support for schools, benefits, the supply of goods and services in the supply chain (and the impact on our capital plan).

The economy has suffered several shocks in recent years. Leaving the European Union, Covid-19, war in Ukraine and increases in cost of living. Cost of fuel, goods and services have increased and led to concerns around the level of inflation in the UK, prompting The Bank of England to increase base rates and predictions currently expect this trend to

## Corporate Risk Register (February 2023)

continue. Sustained higher, or further increasing, interest rates will place mounting pressure on businesses and communities across Denbighshire.

Although the position is moving swiftly and liable to change the current projections indicate that pressures of £25-30m at for next year will require funding. Even after taking into account an annual Council Tax increase of 3.8%, and the indicative RSG settlement of 3%, shortfalls remain of between £15-22m. Similar pressures are being experienced by other Welsh local authorities. The position for 2025/26 remains even more uncertain as the current UK Government spending plans end in 24/25 and therefore WG cannot provide an indicative settlement.

Any plans require the approval of Council, and must be implemented in a timely manner that complies with legislation. While the budget process has been successful to date there are still substantial future savings to be made by the local authority and the political environment remains sensitive.

As decisions are becoming harder lead in times are becoming longer. Decisions may become more challenging as the financial and economic environment becomes tighter.

The Head of Finance and Property's service plan includes key performance indicators that are associated with effective management of this risk (for example, the percentage of savings achieved and the percentage of savings that are needed for the following year that are identified).

The investments and supply structures held by the council and its commissioned services could be placed in an uncertain position depending on the value of the pound. This is particularly of issue in the viability of some residential care homes, and the subsequent risk to the council if any of those private providers were no longer sustainable.

### **Anticipated direction of travel:**

This risk remains outside of our risk appetite, and has the potential to worsen, as the scale of financial challenge has become clearer. The outlook for public bodies has worsened over the last 12 months, and we are expecting a continuation of the poor financial outlook and continued uncertainty for the medium term. Services have already made significant

## Corporate Risk Register (February 2023)

savings over the last 12 years and it is increasingly likely that further savings will result in reductions in service which will be more difficult to prioritise and deliver.

### Impact / Consequences

- Denbighshire overspends on its budget.
- Denbighshire cannot deliver savings.
- Denbighshire has insufficient time to ensure good financial monitoring and robust planning.
- Inflation could result in the need to make greater savings.
- Stretched resources, affecting our ability to deliver on statutory functions.
- Low staff morale.
- Regulatory action, and poor reputation if unprepared.
- Reputational damage.

### Inherent Risk

B1 – Critical Risk: Likely / Very High Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- The new Cabinet has agreed the continuation of existing financial management following elections in May 2022.
- A budget report is produced for Cabinet every month.
- In relation to the Brexit-related aspects to this risk (transferred from the Brexit Risk Register), we observe the fluctuations in the value of the pound; we have asked Services to continually monitor and report on Brexit-linked supply issues and cost increase and a risk is included within Finance and Property Risk Register “Unforeseen financial consequences that arise specifically due to BREXIT”
- A new three to five-year budget process has been agreed by Council. It aims to capture ‘small’ scale budget flaws (under £100k), identify key strategic budget pressures (over £100k) and aims to ensure a full picture of pressures can be

## Corporate Risk Register (February 2023)

considered in a timely manner and are managed more closely. It is hoped this new approach will identify pressures in services as well areas needing investment. It also aims to identify bigger projects that make savings over the period of three to five years, to minimise the savings target. The new process also sets a communication and engagement plan with a range of stakeholders, which as seen as critical to a successful budget setting process going forward.

- The budget process has been followed and discussed at a range of fora, and more meetings are planned for the autumn 2021.
- The budget setting process involves Members, so they understand that difficult decisions are necessary, and they are involved with developing the proposals. This should make them more likely to support the recommendations made.
- The Budget Board is likely to be asked to consider some controversial suggestions going forward that will require political support. Therefore, there may be increased risk of not achieving approval for the service changes required to deliver a balanced budget.
- Early identification of the budget gap and potential actions to address it are managed through the Budget Board and SLT.
- All of these controls are in place to ensure good financial monitoring and robust financial planning.

### Ancillary control:

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.

### Relevant indicators of the effectiveness of controls:

- FAH201m: The % of required efficiency savings identified for next year (Quarterly)
- FAH202m: The % of required efficiency savings achieved for this year (Quarterly)
- FAH208m: The % of budget monitoring reports completed on time

# Corporate Risk Register (February 2023)

## Residual Risk

C2 – Major Risk: Possible / High Impact

### **Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Major risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

### **Risk 30: The risk that Senior Leadership capacity and skills to sustain service and corporate performance is not available**

**Lead Member(s):** Councillor Gwyneth Ellis

**Risk Owner:** Graham Boase

#### **February update:**

The description and controls have been updated.

The risk score has not changed.

#### **Description**

The current structure of the Senior Leadership Team was developed some time ago and was built on the strength and experience of current post holders. As the number of posts at SLT has reduced there is a concentration of key roles that are critical to the successful delivery of services, and the organisation's ability to respond to policy and legislation.

There is a risk that individuals with particular skill sets would be difficult to replace, and there is also a risk that the organisation is not flexible enough to keep up with the pace of change required in light of new corporate priorities and future budget pressures. There was also great pressure on the Senior Leadership Team, who were managing services remotely through the pandemic, whilst trying to manage business as usual. Remote management continues as some staff continue to work from home. An SLT review is currently underway with the aim to put additional capacity in the SLT structure. An update will be available by our next formal review. See the controls section for more information.

Currently, four heads of service posts are filled on an interim basis (Community Support Services, Business Improvement and Modernisation and Highways and Environmental Services and Legal, HR and Democratic Services). Two Corporate Director posts have been filled, and have been in post since the beginning of September 2022.

#### **Anticipated direction of travel:**

## Corporate Risk Register (February 2023)

The recent increase in this risk in recent times is anticipated to be temporary, until such time the new structure is implemented and embedded. The risk remains within our risk appetite and is not expected to exceed it.

### Impact / Consequences

- Reputational damage.
- Declining performance.
- Poor performance against new priorities.
- Stress and poor health and well-being.

### Inherent Risk

C3 – Moderate Risk: Possible / Medium Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- Phase 2 commenced in the Autumn 2022, looking at Heads of Service level.
- The decision regarding phase 2 restructure was circulated in February 2023 (Review of Senior Leadership Structure, Outcome of the Consultation; Decision & Implementation February 2023) and will be in place by April 2023.
- A proposal was presented to Council in March 2022, requesting that a new Corporate Director role be created (phase 1), bringing the number of Corporate Directors to three. Corporate Director posts have now been filled and have been in post since the beginning of September 2022.
- CE and Directors performance manage Heads of Service
- A training plan for management and leadership in the council going forward is being developed.
- HR have completed workforce planning with all services and a corporate workforce plan is in place.
- Leadership Strategy is in place
- Heads of Service are tested on their succession plans through Service Challenge

## Corporate Risk Register (February 2023)

- Quarterly Leadership Conferences held to develop middle managers.
- Heads of Service are encouraged to rethink their service plans against context of new corporate plan, budget decisions, and any new legislation, etc.
- The establishment of an alternative service delivery model for leisure includes within the project the need to reduce the risk of knowledge and skills loss. The senior leadership team restructure took place in September 2019.

Ancillary controls (newest first):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Greater opportunities for Middle Managers to 'act up' to key posts in order to gain experience at a more senior level
- Additional support has been put in place through occupational health.

Relevant indicators of the effectiveness of controls:

- Number of vacant posts

Other qualitative data will be able to describe what effective capacity and skills looks and feels like when it cannot be measured.

### Residual Risk

C3 – Moderate Risk: Possible / Medium

**Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes

## Corporate Risk Register (February 2023)

**Risk 31: The risk of fraud and corruption resulting in financial and reputational loss and possibly impacting on service delivery.**

**Lead Member(s):** Councillor Gwyneth Ellis

**Risk Owner:** Gary Williams

### February update:

The risk has been reviewed and the risk score has not changed.

### Description

Denbighshire County Council employs in excess of 4,000, with a significant net revenue budget in the hundreds of millions. It commissions and provides a wide range of services to individuals and households and works with a wide range of private, public and voluntary sector organisations. As with any other large organisation, the size and nature of the council's services mean that there is an ongoing risk of loss due to fraud and corruption from both internal and external sources. There is also an ongoing risk of bribery as the council provides and procures goods, works and services.

The council recognises that as well as causing financial loss, fraud is also detrimental to the provision of services, and damaging to the reputation of, and confidence in, the council and public bodies in general.

### Anticipated direction of travel:

This risk is a static risk, and our aim is to maintain it as a moderate risk. We would not foresee a time when this risk would not be on the risk register. There are no performance measures currently available to quantify progress in reducing this risk.

### Impact / Consequences

- Financial loss.

## Corporate Risk Register (February 2023)

- Loss of reputation and confidence in the council and public bodies in general.
- Negative impact on service provision / delivery.
- Negative impact on the council's culture.
- Legal / compensation costs.
- Criminal prosecution.
- Negative audit / inspection reports.

### Inherent Risk

C2 – Major Risk: Possible / High Impact

### Controls to Manage Risk (in place)

In its policies and procedures, the council gives out the clear message that it will not tolerate any impropriety by employees, elected Members or third party organisations. It has put in place appropriate and proportionate systems to minimise this risk and these are kept under constant review, including the following:

Critical controls (newest first):

- The fraud policy has been updated.
- The Council has appointed a Corporate Director: Governance and Business.
- Internal Audit has conducted a review of ethical culture. As a result, we are introducing clearer guidance about our code of conduct (for officers) and will strengthen arrangements for declarations of conflicts and interests, including gifts and hospitality. Service planning is currently underway as at February 2023, and suggested actions include establishing an Ethical Liaison Group and a People Strategy, through the workforce planning action plan – both of which will address aspects of code of conduct.
- The strategy for the prevention and detection of fraud corruption and bribery, which includes fraud response plan, was updated and ratified by Governance and Audit Committee in July 2021. The purpose of the Strategy and Fraud Response Plan is to provide management with a tool to ensure progress and transparency with

## Corporate Risk Register (February 2023)

regards to counter-fraud activities. It is designed to heighten the Council's fraud resilience and demonstrate its protection and stewardship of public funds.

- In developing this Strategy, the Council has adopted the guiding principles included in "Fighting Fraud and Corruption Locally 2020" (FFCL2020) which is the counter fraud and corruption strategy for local government. It provides a blueprint for a coordinated response to fraud and corruption perpetrated against local authorities with the support of those at the top.
- IT systems have authorisation controls in place, which reduce the risk of fraud (remote working does not increase the risk)
- The Code of Corporate Governance
- The Code of Conduct for Elected Members
- The Employees' Code of Conduct
- Financial Regulations including Contract Procedure Rules
- The Whistleblowing Policy
- The Anti-Money Laundering Policy
- Recognition and monitoring of the risk of fraud in service risk registers
- Systems of internal control
- Recruitment processes
- Annual review by the council's Internal Audit team
- Regular internal and external review of our systems and procedures
- The risk of fraud and corruption is also managed at a service level

Ancillary controls (newest first):

- The Communications team has a close working relationship with Internal Audit and low assurance rated reports are flagged up at an early stage.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Engagement with the National Fraud Initiative (NFI)
- E-learning modules on Whistleblowing and Code of conduct

# Corporate Risk Register (February 2023)

Relevant indicators of the effectiveness of controls:

- LHRD11i: Number of procurement challenges
- BIM207i: The number of data protection breaches that were reported to the Information Commissioner's Office
- CH014i: Negative news stories as a percentage of all news stories about the council.
- CH013i: No. of Internal Audit low assurance reports, financial year to date

## Residual Risk

E2 – Moderate Risk: Rare / High impact

**Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes

## Corporate Risk Register (February 2023)

### Risk 33: The risk that the cost of care is outstripping the council's resource

**Lead Member(s):** Councillor Gill German and Councillor Elen Heaton

**Risk Owner:** Nicola Stubbins

#### February update:

The controls have been updated.

The risk score has not changed.

#### Description

The continued inflationary pressure resulting from the cost of domiciliary and residential care means the cost of care could outstrip our budget.

Covid-19 has highlighted the fragility of residential and domiciliary care.

Following a previous review of this risk, last September in 2021, Welsh Government announced that it will fund the Real Living Wage (RLW) for registered social workers. This RLW funding was made available from April 2022 and our 2022 to 2023 care fees included the RLW. Whilst it is not the case that all eligible workers will have received the payment from April 2022, Welsh Government expects the funding to reach worker's pockets as quickly as possible. However, it acknowledges this will take time to implement and that year 1 will be a 'transition' year.

The council has been given an amount in the settlement but we will need to determine how we get that money to the providers and ensure that employees receive those wage increases. There are a number of complexities to implementation, and implementation will be a significant piece of work for the next few years at least.

#### Anticipated direction of travel:

Whilst we expect this to be a static risk that consistently features in our corporate risk register, implementation of the RLW settlement is based on calculations that may not

## Corporate Risk Register (February 2023)

meet the actual cost of providing the RLW. As at September 2022, we are waiting for the RLW figure to be announced (due imminently). We will continue to manage this risk, and implementation of RLW funding, closely to ensure controls are working as they should be and are having the desired effect. There could be a change to the risk score as a result of the settlement to fund the RLW.

### Impact / Consequences

- Overspends in Social Care place significant budget pressures on the council and could result in the scaling back or withdrawal of non-statutory services.
- The social care budget is consistently overspent by over £1million per year, which up until recently, has been mitigated somewhat by the use of reserves of £0.5million per annum towards the overspend. However, the reserves are now depleted. The annual budget process has taken into account the projected pressure in this area: £2.6million was included as a pressure for 2020 to 2021 and the Budget for 2021 to 2022 included a pressure of £2.4million. It is, however, recognised that growth in demand will also continue in future years.
- Eligible workers do not receive the RLW uplift in their pay packets.

### Inherent Risk

B1 – Critical Risk: Likely / Very High Impact

### Controls to Manage Risk (in place)

Demand needs to be managed in order to maintain current levels of expenditure. The following controls are currently being embedded:

Critical controls:

- David Soley, Interim Head of Service, is now Chair of Regional Fees Group, and is working extremely closely with the Regional and National Boards.
- We continue to lobby through WLGA for a fair settlement for local government.

## Corporate Risk Register (February 2023)

- Denbighshire County Council's Scrutiny Committee recently considered a review under the Council's Scrutiny 'call-in' procedure of a decision taken by Cabinet, on December 13, 2022, on the 'Recommendation of the Regional Fee Setting Group'. This report was considered under Part 2 because it contained confidential or sensitive financial information, and because the next phase of the process is to consult with providers on the recommended indicative fees. If the Council had shared these fees in public prior to that consultation, it could be perceived as the Council predetermining those fees and potentially undermining the consultation phase. Once this consultation has commenced then this information will no longer be deemed to be confidential.
- We have set the care fees to ensure that providers can pay their social care staff the Real Living Wage. Although the Welsh Government settlement notification states that they have included funding to enable authorities to continue to meet the additional costs of introducing the Real Living Wage for care workers, the total Revenue Support Grant fell well short of funding all pressures within social services so the commitment remains a pressure for the Council.
- Conwy's Director is leading on the RLW work for ADSS Cymru so we are well informed and engaged across the region.
- A focus on prevention and early intervention so people don't need to go into care.
- Third Sector grant programme.
- Talking Points.
- Community Navigators.
- Supporting Independence Strategy.
- Being innovative and maximising use of grant monies.
- New approach to supporting people to achieving outcomes.
- Improved partnership working with BCUHB and integrated assessment as well as managing continuing health care.
- Identification of the pressures as part of the medium term financial process.
- Our Corporate Director: Communities is a member of WG's Social Care Forum and is examining pay and conditions of social care workforce.

Ancillary controls (newest first):

## Corporate Risk Register (February 2023)

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Opportunities arising from the Healthier Wales Transformation Programme.

Relevant indicators of the effectiveness of controls:

- The Budget Board and CET closely monitor budgets and what is happening at a national, regional and local level.
- Service budget variance for CSS and ECS

### Residual Risk

C2 – Major Risk: Possible / High Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Major risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

### Further Actions

#### 1. Development of additional extra care housing (corporate plan priority)

Construction of the project in Ruthin started in October 2022 following some delays.

**Action Due Date:** 28/02/2024

**Person Responsible:** Ann Lloyd

## Corporate Risk Register (February 2023)

### Risk 34: The risk that demand for specialist care cannot be met locally

**Lead Member(s):** Councillor Gill German and Councillor Elen Heaton

**Risk Owner:** Nicola Stubbins

#### February update:

Controls have been updated. The risk score has not changed.

#### Description

Availability of some specialist adult and child places can be scarce, leading to the requirement to provide expensive services that aren't available locally. A reduction in the availability of domiciliary care provision means we are unable to provide services needed (particularly in the south of the county).

This continues to be a significant risk. We are still in a position of having had over 1000 hours of domiciliary care being handed back to the council due to staff shortages. This means people are waiting for domiciliary care agency allocation. However, there are signs are that the number of hours being handed back is reducing, but this is still being managed extremely closely.

As previously highlighted, the reasons for this situation are summarised as follows:

- **Recruitment and retention** – This is a national issue for the care sector. At the root of this are many factors, including the relatively low wages, high demands, and sometimes a perceived lack of esteem/value in the care career path. Even internally in DCC, we struggle to recruit to care work positions.
- **Increase in scale and complexity of needs** – The ageing population brings obvious additional pressures in this area. We have seen an increase in need for double-handed packages. Some individuals currently awaiting domiciliary care have also had previous agencies withdraw, due to their being unable to manage the level/complexity of need.

## Corporate Risk Register (February 2023)

- **Denbighshire's rural geography** – This presents a number of challenges, including because of costs, e.g. travel time can make a rural care package much less attractive to prospective providers, and recruitment, e.g. as usually care workers covering more rural areas must be able to drive.
- **Citizens' choice** – A number of individuals currently awaiting care have been made offers that they have declined, on the basis that they are unhappy with the specific hours being offered.
- **The prevention and reablement agenda** – Enabling people to remain independent and prevent the need for residential care, prolonged hospital stays etc. as far as possible - while of course significant wellbeing goals and priorities for the council - naturally creates additional pressures on domiciliary care/interim services.

The wider consequences of the pandemic are also making this issue more challenging. People are also making life changes with some leaving the sector altogether.

The risk is also heightened as a result of [risk 50](#). The Welsh Government's Programme for Government makes a commitment to 'eliminate private profit from the care of Children Looked After during the next Senedd term'. The objective of removing profit is a positive one and it has the region's resounding commitment. However, it is imperative that Welsh Government take steps in pursuit of not-for-profit arrangements that do not disrupt children and young people's lives in the short term. Our key concern is that providers become unsettled and the sufficiency and suitability of placements will be affected, affecting the lives of Children Looked After, and increases this risk that demand for specialist care cannot be met locally.

### **Anticipated direction of travel:**

Currently, the risk associated with us being unable to meet the demand for specialist care locally is a critical risk, which is beyond our appetite. In the longer term, we hope to bring the risk under control and return to a 'business as usual' scenario. It is not clear how long this will take.

# Corporate Risk Register (February 2023)

## Impact / Consequences

- High cost
- Individuals with eligible needs unable to receive suitable domiciliary care due to lack of resources and service provision
- If far from home, there is a detrimental impact on a client's well-being (and that of their family)
- Unable to meet need in preferred language
- Staff become over-stretched
- Reputational damage.

## Inherent Risk

A2 – Critical Risk: Almost certain / High Impact

## Controls to Manage Risk (in place)

Critical controls (newest first):

*These critical controls relate to action being taken to deal with the current demand and challenges for domiciliary care provision in the county:*

- Cabinet members were involved in a discussion with senior officers about specialist care shortly after our February 2022 review.
- Implementation of Welsh Government's decision to fund the Real Living Wage (RLW) for registered social workers.
- Recruited a dedicated HR Social Care Specialist.
- A corporate Social Care Recruitment and Retention Group is in place (see [risk 01](#)).
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- The silver health and social care Covid-19 group has been stood down.

## Corporate Risk Register (February 2023)

- Our in-house teams (Reablement, Interim and Health & Social Care Support Workers) are covering as many outstanding care packages as possible.
- We are currently looking at how we can safely (in compliance with CIW/SCW requirements) draw on more support from other internal social care staff who do not currently deliver personal care.
- Working closely with health colleagues to manage workload and explore the potential to tap into their care applicants.
- Continue to explore alternative ways of meeting people's needs, within a strengths-based, enabling approach.
- A Community Catalyst has recently been commissioned to get micro-enterprises/micro providers off the ground in Denbighshire
- We are working with Procurement to look at contractual arrangements to respond to the exceptional circumstances.
- We are returning to discussions with other local authorities to look at opportunities for joint commissioning.
- We will continue working to ensure that carers have the support they need.
- We will be exploring possibilities to create more flexibility for residential care staff to provide some domiciliary care hours; however, it is currently not possible to create such arrangements because of Covid-19 infection control measures in care homes.
- We are looking at ways that we can try to manage the expectations of citizens/families; to continue to honour preference as much as possible, while at the same time ensuring that we are realistic about what we can provide, and that we are ultimately led by need.

### *Critical controls under usual circumstances include:*

- Single Point of Access is fully established and proving successful in providing advice and information to individuals in order for them to access community services themselves.
- Community Led Conversations 'What Matters' project changing the way staff support individuals enabling them to take control of their own well-being and utilising other external resources where possible.

## Corporate Risk Register (February 2023)

- Developing a range of staff skill mixes through workforce development in order to enable staff to work in new ways that complement the new government agenda.
- Series of meetings with providers across CSS underway to negotiate increasing fees.
- Review and re-assessment project to ensure individuals are still eligible under new criteria
- Further development of support budgets
- Regional project considering issues.
- Recommissioning domiciliary care project in progress - this includes the implementation of the new regional domiciliary care framework in Denbighshire to include patch based commissioning for difficult rural areas.
- New care team in CSS South Locality (reablers providing longer term support whilst identifying appropriate agency)
- The North Wales Transformation Programme is in place and we are leading one of the four projects.

### Ancillary controls:

- Denbighshire County Council has written to the UK Government following a Notice of Motion that was put before a meeting of Full Council on 12 October 2021. The letter urges UK Government to designate, as a matter of urgency, social care workers as skilled workers, in order that they meet the entry requirements of the post-Brexit points-based immigration system introduced in January 2021.
- Recruitment fayres taken place in county to highlight the need for specific health and social care staff.
- Continue to look at the use of grant monies in creative and combined ways to deliver better solutions.
- CIW national review of domiciliary care - implementing recommendations.

### Relevant indicators of the effectiveness of controls:

- Delivery of the Social Care Recruitment and Retention Group's action plan.
- Vacancies (internal and external) and recruitment activity

## Corporate Risk Register (February 2023)

- Budget variance
- CSSAGGR02.1 Number of assessments of need for care and support undertaken during the year and of those, the number of assessments that led to a care and support plan

### Assurance:

- CIW monitor progress through routine performance review activities and through a CIW local authority risk based approach to inspection planning.
- A follow up inspection “Assurance Check” was undertaken in Denbighshire by the Care Inspectorate Wales between June and July 2021. We have received their findings, in which they highlight improvements in safeguarding as well as some areas for further development. The interim management arrangements in Education and Children’s Services was noted as an issue, which has since been settled. However, we now have two interim head of service leads in Community Support Service. The Council is aware of the need for stable and consistent management and it is being managed closely.

### Residual Risk

B2 – Critical Risk: Likely / High Impact

### **Is our risk exposure (based on the score) consistent with the council’s Risk Appetite?**

Critical risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner, together with the Head of Community Support Services, are actively managing this risk to bring it back under control. This is a national issue though and one that is unlikely to be resolved quickly.

# Corporate Risk Register (February 2023)

## Further Actions

### 1. Develop a new Children's Assessment Centre jointly with Conwy CBC

This is an ongoing project. The contract is anticipated to be formally awarded by Conwy County Borough Council in May 2023 with an anticipated build to commence in June 2023. As an interim measure funding has been sourced for an interim Children's Centre and registration with Care Inspectorate Wales (CIW) is being progressed with an anticipated opening in May 2023.

**Action Due Date:** 31/03/2024

**Person Responsible:** Rhian Morrle

## Corporate Risk Register (February 2023)

### **Risk 36: The risk that the economic and financial environment worsens beyond current expectations, and has a detrimental impact on local businesses**

**Lead Member(s):** Councillor Jason McLellan

**Risk Owner:** Emlyn Jones

#### **February update:**

This risk has been reviewed and the title updated to focus on impact on local businesses. Risk 37 is focussed on economic hardship for the local community and our response as one of many partners working to reduce inequalities and deprivation. All sections have been updated and the risk score remains unchanged.

#### **Description:**

The economy has suffered several shocks in recent years. Leaving the European Union, Covid-19, war in Ukraine and increases in cost of living. Recent economic sanctions imposed on Russia have added further tension to the global economy. Cost of fuel, goods and services have increased and led to concerns around the level of inflation in the UK, prompting The Bank of England, in August 2022, to increase the base rate to 1.75% from 1.25%.

This risk is about “the risk that the economic and financial environment worsens beyond current expectation and has a detrimental impact on local businesses”. It is currently difficult to predict what will happen with the interest rate although it is widely expected that it will remain higher than the historically low rates of the last few decades, for some time. Higher, or increasing, interest rates will place mounting pressure on businesses across Denbighshire.

Our controls bring together a range of support and targeted interventions to alleviate the situation as it is currently, and these incorporate actions related to cost of living, leaving the EU and post-Covid recovery.

## Corporate Risk Register (February 2023)

### EU exit:

The UK has now left the EU and the transition period has come to an end. The implications of the 'light' trade deal that was reached with the EU are not yet fully understood and are being masked by the impact of Covid-19 and the global economic turbulence. As a minimum we anticipate, short-term disruption to the trade of certain goods to and from the EU as businesses adapt to new requirements. This could have repercussions on the council in terms of purchasing goods and supplies. Supplies have been affected by Covid-19 and staff shortages in certain sectors, which is affecting costs and availability. Please note supply issues and cost implications for the council are considered under risk 06, and the risk of recruitment and retention issues for the council is captured under risk 48.

Supply of goods and services that affect businesses include:

- Labour shortages and vacancies across sectors leading to delays
- Fuel and energy costs, commodity (including food) supply and price increases (including in transport and freight, and in relation to supply of goods)

According to the Local Government EU Advisory Panel, which last met in January 2022, there is general consensus that global supply chains will continue to be adversely affected for the foreseeable future. Issues around supply of food have escalated following events in and around Ukraine. The need for more sustainability in supply chains is evident far beyond the coronavirus pandemic. There is evidence that some businesses are trying to address this for the long term building more resilient supply chains and moving from the 'just in time' model to the 'just in case' model (a 'just-in-time' inventory aims to reduce excess supply and create a lean production process, while a 'just-in-case' inventory is used to avoid running out of stock due to a sudden increase in demand). The Panel concluded that business operations are trying to adapt to the new situation and will probably face changes for the foreseeable future.

The potential consequences associated with Brexit could still include an economic downturn in the short to medium term and reduced funding over the medium to long term. This could lead to business loss from the Denbighshire economy resulting in greater demand on DCC services, e.g. economic development, housing, and mental health

## Corporate Risk Register (February 2023)

support by the agricultural community. The loss of multiple small businesses or a small number of large businesses could have cascading impacts. Furthermore, changes to the direct payments to farmers could result in new stipulations that require adaptation. It is also likely that there will be an increased administrative burden and cost to exporting goods outside of the UK. Where farmers are not supported to adapt, there is a risk to human and animal well-being. The new Welsh Government (WG) agriculture policy does not include like for like funding for rural development / communities but may include provision for similar initiatives. A rapid response framework to prioritise and mobilise support for businesses facing difficulty is in place from Welsh Government.

Specific long-term implications on Denbighshire businesses, particularly agriculture and the food industry, also need to be better understood. The council must continue, therefore, to be braced for any implications of Brexit in terms of funding (e.g. State-Aid / Shared Prosperity Fund, and current WEFO funded projects), and the likely impact on demand for services. Whilst a deal with the European Union is now in place, it is too early to quantify impacts; positive or negative, especially as the world continues to deal with the effects of COvid-19 and continued economic turbulence, not least very recently as a result of tough economic sanctions on Russia.

### **Anticipated direction of travel:**

Controls have established clear lines of communication and information sharing that has been critical during a long period of uncertainty. Levelling Up and the Shared Prosperity Fund are developing and will be very important controls to reduce the impacts of this risk. It is difficult to project how the economy will behave over the next six months and beyond. Strong mechanisms are in place to allow ongoing monitoring of the risk as a result.

We would hope and expect that small Denbighshire-based businesses will continue to respond and adapt to changing circumstances arising from Brexit in the immediate / short term. The impact on larger Denbighshire-based businesses, such as forestry, food and agricultural industries, for example, may be felt more in the short to medium-term as they try to adapt their business models to remain sustainable, in 2022 to 23 and perhaps 2023 to 24. We will remain vigilant of the impact of new trading frameworks as they become live.

# Corporate Risk Register (February 2023)

## Impact / Consequences

- EU funded projects (e.g. skills, poverty and regeneration projects; rural and business funding) come to end and the project ceases or operates on a scaled-back version.
- Impact on supply chains and procurement of goods and services.
- Foreign Direct Investments in Denbighshire could be affected.
- Legislative change could result in delays and uncertainty for legal proceedings.
- Impact on farming and agriculture:
  - Inflation continues to affect agriculture causing significant price rises for some products such as fertilizer.
  - Increase in the number of farming businesses facing financial hardship.
  - Mental well-being of farming community.
  - Physical welfare of animals.
- Denbighshire businesses that import / export to European Union areas. For example, Cefndy exports medical equipment products.
- Collapse of key businesses serving communities
- Vacancies or loss of employment in the county
- Financial hardship, impacting the most on those already in poverty.
- Impact on mental well-being of business owners/local workforce.
- Potentially negative impact on broader public sector provision
- Increased pressure on social and public / animal protection services
- All the above would lead to increased pressure on public services.
- Reputational damage.

## Inherent Risk

A1 – Critical Risk: Almost certain / Very High Impact

## Controls to Manage Risk (in place)

Critical controls:

## Corporate Risk Register (February 2023)

- New corporate plan – new economic strategy is a key pledge, due to go to Scrutiny in November 2023 with a draft version of a strategy prior to it being presented more widely.
- Information about [business rate relief](#) and Welsh Government's discretionary assistance fund is available on our [website](#).
- We are hoping to launch grant support for business owners to maintain their properties and reduce neglected business premises and prevent eyesores. The fund is supported by Welsh Government in addition to the town centre loan scheme already on offer.
- UK Government Funding: Levelling Up and Shared Prosperity Funding is focussed on addressing issues outstanding after the end of EU funded programmes (capital and revenue funding).
- Two Levelling Up fund bids have been successful with a total of nearly £15m (£14,764,532) secured to invest in projects in the Clwyd South and Clwyd West areas of the county. A Programme Board will be established to manage the programmes.
- Shared Prosperity Fund (SPF) Year 1 applications (opened for LA delivery only) were approved by Cabinet in January 2023. Total of 12 project approved.
- Year 2 & 3 SPF Funding - Stage 1 applications window for applications opened February 1, 2023, with a deadline for submission of February 24. A total of 111 project applications received to the value of £88million, with a good mix of regional bids and individual delivery in Denbighshire bid. Stage 2 applications will be invited to submit detailed project applications following appraisal, approval and recommendation of the Denbighshire SPF Partnership and recommendations report to Cabinet on 25th April 2023. Successful projects will need to complete delivery by 31 December 2024
- Regional working on economic development through the Growth Deal, Covid-19 recovery plans, the economic prospectuses that have been agreed with Welsh Government, and the Regional Regeneration Officers' Group for example.
- As requested by the Welsh Local Government Association, Denbighshire has two named Brexit lead contacts: Corporate Director: Economy and Environment and the Leader.

## Corporate Risk Register (February 2023)

- Regular contact with Welsh Government and the Welsh Local Government Association.
- Teams within Planning, Public Protection and Countryside Services are available to advise businesses, including the agricultural sector,
- The Corporate Procurement service will work with Services' contract managers to identify the critical contracts and mapping their supply chains.
- Services identified supply chains that could be at risk during negotiations around Brexit.
- The council was represented on the WLGA Brexit Working Group.
- The Statement of Accounts considers Brexit and the impacts of Brexit.

### Ancillary controls (newest first):

- We submitted evidence about the Social Partnership and Public Procurement (Wales) Bill to the Equality and Social Justice Committee's Inquiry in July 2022. Preparatory work will be needed to support and mobilise the local supply chain to ensure it is well-positioned to fulfil the requirements of the Bill, once in statute. The local supply chain, especially smaller businesses, will require some form of capacity building to fully understand social value, particularly within tenders where the social value response will need to be evaluated. It will be necessary to ensure consistency of message and support, and to share best practice in relation to supporting small and medium-sized businesses to become compliant and fully embrace the ethos of the Bill.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- SLT and Cabinet are regularly briefed on emerging areas of concern.
- The regeneration and economic development team will consider opportunities to lobby for green/sustainable solutions to increases in the cost of fuel and energy.
- Ensured that Brexit preparedness materials are available to businesses including funding from the £10 million Brexit readiness fund.

## Corporate Risk Register (February 2023)

- Links to the Business Wales Brexit Portal has been added to the business support pages of the DCC website.
- UK Government Brexit information being shared across social media (details found in internal updates).
- Working with relevant trade bodies (such as FSB, Chambers of Trade etc.) and the Rural Development body Cadwyn Clwyd for next steps / advice clinics.
- Meetings held with the Farming Unions to gauge what concerns have been raised by their members in the run up to Brexit, liaise with Business Improvement and Modernisation on issues raised.
- Provide input where possible to inform any new agriculture policies to be introduced by Welsh Government.
- Risk added to Planning, Public Protection and Countryside Services Risk Register: "Brexit - Negative impact of leaving European Union with a "No Deal".
- Promotion of the "Fit for Farming" booklet and wellbeing support from the DPJ foundation.
- Regular updates from professional bodies liaising with central government departments on behalf of all local authorities 3bn Fund.
- Direct work involving the farming community has largely been devolved to Cadwyn Clwyd and farming connect, who are providing advice.
- Welsh Government with Defra and other devolved administrations has developed a UK-wide contingency plan in response to the potential impacts on the sheep sector and funding may be available in the future.

### Residual Risk

B2 - Critical Risk: Likely / High Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Critical risk. Risk Appetite suggests that this should be at most a moderate risk. The Risk Owner has confirmed that they are comfortable that the scoring accurately reflects the current risk to the council.

## Corporate Risk Register (February 2023)

**Risk 37: The risk that partners don't have the resources, matching priorities or commitment to support delivery of shared plans and priorities, and in particular, fail to reduce inequalities and deprivation**

**Lead Member(s):** Councillor Jason McLellan

**Risk Owner:** Tony Ward

### **February update:**

The risk owner has changed from Nicola Stubbins to Tony Ward.

The controls have been updated.

The risk score has not changed but the risk is considered to be within our risk appetite.

### **Description**

With finite resources and competing priorities, there is a risk of a lack of commitment or capacity available to support realisation of shared plans and priorities.

Denbighshire is not a homogenous community but is made up of a diverse range of different communities where income, education, employment opportunities and housing all vary substantially. Within this diverse mix are communities with high concentrations of multiple-deprivation including some parts of Rhyl and Upper Denbigh within Denbighshire. Despite our, and our communities', clear pride in our areas, deprivation and inequality remain intractable problems that require a 'one public sector focus'. Rhyl West 2 ranks as 'the most deprived ward' in the whole of Wales, and Rhyl West 2 the second according to the Wales Index of Multiple Deprivation.

Overall household income levels in Denbighshire are lower than the national average and a greater proportion of households are estimated to be in poverty, many of which are households with children. There is evidence of higher than average in-work poverty. Based on our analysis of claimant rates, the recent increase in the claimant count, and the

## Corporate Risk Register (February 2023)

time we expect it will take for the employment rate to recover, poverty and destitution, with food and fuel poverty, will be issues affecting adults and families with children over the next five to ten years at least.

What is more, increases to the cost of living is becoming an increasing risk to communities and the council, whereby our capacity to support people struggling with the cost of living may be limited or people may make decisions about how they allocate their household budget, prioritising rent or mortgage over council tax, for example.

There is health inequality within the area. People living in the areas in the most deprived fifth of Denbighshire not only have a shorter lifespan, but also spend less of it in good health compared to those living in the least deprived fifth. There is a difference of over 17 years of healthy life expectancy for females in Denbighshire's most deprived areas when compared to the least deprived. Despite overall increases in life expectancy, the gap between the proportion of life expected to be spent in good health in the most and least deprived areas has shown no clear sign of reducing in the last ten years.

Geographical access to key services forms part of the Welsh Index of Multiple Deprivation 2019 (WIMD 2019). This domain of the WIMD considers the average travelling time to access a range of services considered necessary for day-to-day living, including access to a pharmacy and GP surgery, food shop or primary school for example. As of 2019, the domain now includes a new digital indicator (percentage of unavailability of broadband at 30Mb/s). 14 lower super output areas (LSOAs) in Denbighshire feature in the 10% most deprived in Wales for access to services. These data tell us primarily about travel times to physically access services and indicate a significant population who are likely to suffer poor physical access to services or to be significantly reliant on private transport. That more LSOAs in feature in the 10% deprived in Wales in 2019 for access to services than five years ago, is probably likely to the additional indicator measuring broadband.

### **Anticipated direction of travel:**

Covid-19 has put external pressure on the council and its partners to deliver services; this is likely to be the case into the medium term.

## Corporate Risk Register (February 2023)

The consequences of Covid-19 have resulted in significant impacts for people's economic and educational outcomes, their physical and mental health, and well-being more generally. As a result of Covid-19 we have already seen exacerbated inequalities (with people from non-white ethnicities being more likely to die from Covid-19) and these are likely to continue for the medium and long term. The prevalence of 'long Covid', the term used to describe continued symptoms of Covid-19, is likely to compound existing inequalities in the same way Covid-19 has.

We therefore do not expect the risk to diminish in likelihood or severity soon.

### Impact / Consequences

- Objectives not delivered.
- Issues/problems that provided justification for the priorities continue or deteriorate.
- Failure to maximise opportunities to collaborate to resolve issues no single organisation is responsible for or capable of resolving on its own.
- Ineffective management of expectations among partners/public leading to reputational damage.
- Investment of council resources with minimal return.
- There will be greater demands this year to manage recovery from Covid-19, with pressures on the front line.
- Increases in cost of living leads to reduced income for the council, e.g. reduced council tax.

### Inherent Risk

B1 – Critical Risk: Very Likely / High Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- Cost of Living Crisis workshops, facilitated by the council, with partners and communities have taken place and action plans are place.

## Corporate Risk Register (February 2023)

- We hosted, in partnership with DVSC and others, two day events focussed on cost of living in October 2022.
- We have implemented the Welsh Government's [Cost-of-living Support Scheme](#); a support package that will provide a payment to households to help with the rising costs-of-living. The payments (£150) are not taxable and will not affect any entitlement to Welfare Benefits. This scheme is in place alongside others, such as the roll out of universal free school meals, council tax reduction, winter fuel support fund, [business rate relief](#) and Welsh Government's discretionary assistance fund. All information is on our [website](#).
- The Tackling Poverty Operational Group (DCC and Partners) is still in place and meets to highlight and address issues as they arise. It was initially set up to focus on the rollout of Universal Credit but now has a broader focus.
- WG Funding – e.g. Families First, Flying Start, Communities for Work etc
- Denbighshire County Council's corporate plan has been approved and equality and reducing deprivation is one of its nine themes.
- The PSB's draft Well-being Plan was presented to Council for approval on February 28, 2023, and has one area of focus: Conwy and Denbighshire to be a more equal place with less deprivation.
- The implementation of the Welsh Government's announcement to fund the Real Living Wage for registered social workers.
- Denbighshire is represented at collaborative boards by senior managers and / or political leadership, for example, at the Regional Partnership Board, Economic Ambition Board, and Regional Leadership Board.
- Collaborative plans and priorities (for instance, the PSB's Well-being Plan) has been developed to reflect broader public sector priorities across the two counties.
- Regional working to manage the Covid-19 pandemic through the Strategic Coordination Group is working well with all partners present and working together.

<b>Collaborative partnership</b>	<b>Collaborative plan/strategy</b>
Conwy and Denbighshire PSB	Well-being Plan
North Wales Economic Ambition Board	Growth deal

## Corporate Risk Register (February 2023)

Collaborative partnership	Collaborative plan/strategy
Regional Partnership Board	Transformation Programme and Regional Priorities

Ancillary control:

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Communication with Social Care Wales to identify risks to agricultural communities.

Relevant indicators of the effectiveness of controls:

- The Welsh Index of Multiple Deprivation (WIMD)

Other qualitative data will be able to describe what effective capacity and skills looks and feels like when it cannot be measured. Such as:

- Production of the Public Service Board's Well-being Assessment and Well-being Plan
- Delivery of the Rhyl Regeneration Board's plans and other community development programmes
- Activity: CSS20/4002a Work with partners to focus on recruitment to care and career pathways for young people – Agencies (Imogen Blood) / Micro enterprises / FE Colleges / Volunteer groups

Assurance:

- Feedback from the Welsh Government and the Well-being of Future Generations Commissioner on the Public Service Board's Well-being Assessment and Well-being Plan.

# Corporate Risk Register (February 2023)

## Residual Risk

C2 – Major risk: Possible / High Impact

### **Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes. On the basis now, that this risk fits within our open risk appetite in relation to operational and policy delivery.

## Corporate Risk Register (February 2023)

### **Risk 44: The risk of Ash Dieback Disease (ADB) in Denbighshire leading to significant health and safety issues that represent a potential risk to life**

**Lead Member(s):** Councillor Barry Mellor

**Risk Owner:** Emlyn Jones

#### **February update:**

The controls have been updated. The risk score has not changed.

The second action to "Seek clarification about the council's legal responsibilities and duties in relation to ash trees on private land" has been achieved and removed.

#### **Description**

ADB is already present in Denbighshire, and our management of ADB will not be "business as usual". There will be a need for changes in management practice.

As time is progressing, we are developing a better view of the scale of the issue. We have taken a risk-based approach to our inspections; focussing initially on trees in highest risk areas, i.e. our busiest highway routes, particularly trees along the highway on gritting routes. More than 10,000 tree assets - including circa 8,000 ash trees - have been inspected categorised, and logged on our new software system. We are developing a clearer view of the condition of those trees in our riskiest areas and we are still working on getting a county-wide picture of the issue. Now in year 2 of the project, we are returning to some of the areas identified as problematic, as well as other areas of land, to review the position now.

The Project Manager has been off work for some time and that post has been covered by one of the existing tree inspectors. Once the substantive Project Manager is back in post, we will return to having three inspectors and will continue to work through a programme of inspections. The project has therefore faced some delays and this is reflected in the timescales for the draft action plan.

## Corporate Risk Register (February 2023)

We are still working to deliver a draft ADB action plan and have extended the deadline to the end of this financial year to reflect the capacity issues we have been facing. The draft will then progress through the scrutiny and political process.

The draft ADB Action Plan will cover a number of issues, including how we plan to deal with trees not owned by DCC (we have also agreed a new further action below to reflect this), and how we plan to manage our own tree stock. The tree inspectors will have a key role in both scenarios. One of the key principles of the Action Plan will be that no DCC-owned tree will be removed unless it is entirely necessary to do so. Monitoring and low-level remedial work will be undertaken, where possible. We will also encourage landowners to take a similar approach.

### **Anticipated direction of travel:**

When this risk was first identified and developed, our intelligence about the ash tree population was very limited. Following our risk-based approach to inspections, we have developed a much clearer view of the ash tree population and are dealing with those trees where remedial action needs to be taken more urgently. On the basis of better intelligence we have re-evaluated and adjusted both the inherent and residual level of risk.

Once the draft ADB action plan is approved and starts to be delivered, we anticipate that the residual risk will be further reduced and brought closer to, but perhaps not within, our risk appetite. We expect the likelihood to reduce over the next 5 to 10 years, although the impact is anticipated to remain high. There are no performance measures currently available to quantify progress in reducing this risk, however this will be reviewed once the action plan is in place.

### **Impact / Consequences**

The impact is likely to be far reaching, across various council services and communities themselves.

- Public safety.
- Increased liability.
- Considerable impact on landscape - dead and dying ash trees across the county.

## Corporate Risk Register (February 2023)

- Impacts on statutory functions and service delivery.
- Staff safety.
- Significant budgetary implications.
- Disruption to infrastructure and communities.
- Political and reputational impact.
- Reduced carbon absorption due to a loss of trees.

### Inherent Risk

B1 – Critical Risk Likely / Very high impact

### Controls to Manage Risk (in place)

A proactive approach is necessary to understand how many ash trees are in the county and prepare an ADB action plan. Capacity and resources will need to be secured to achieve this.

Critical controls (newest first):

- During winter 2022 work began on Council owned land to fell or reduce ash trees where necessary. The work being carried out by experienced contractors with appropriate machinery to ensure the health and safety of all parties involved.
- There will also inevitably be ash trees on private land adjacent to the highway that require works. In this instance the Council will notify landowners through the issue of a notice pursuant to Section 154 of the Highways Act 1980. This is part of the Council's legal duty to keep roads safe for motorists and other users.
- As this necessary felling programme progresses the Council will plant more trees, of alternative species, in suitable locations to reduce the impact on the landscape and biodiversity.
- Ash Dieback Board meets regularly to monitor and manage the actions, which will form a complete action plan once approved in April 2023.
- This risk was discussed by CET as part of a risk deep dive session on 7<sup>th</sup> January. The purpose of the discussion was to determine the effectiveness of controls and to seek some assurance around the planned approach with the draft ADB action plan

## Corporate Risk Register (February 2023)

and budget. During that discussion it was agreed that Highways and Environmental Services would take responsibility for all DCC trees, regardless of which service owns the land.

- Trees along our gritting routes have been inspected. We've been taking steps to deal with those trees where remedial action needs to be taken more urgently. We will be expanding inspections across the county – this work will be extensive.
- The aim is to have a draft corporate ADB Plan in place by the end of March 2023, but the impact of ADB will need to be managed for the next 5-10 years.
- In the course of the inspections currently underway, which are being based along our critical routes, we are identifying instances that pose an imminent health and safety risk. Where these are found we are taking action to deal with the risk immediately. This is helping us to bring the risk under a little more control.
- Ash Dieback is anticipated to have a significant impact over the next ten years. Our action plan to tackle the issue will require resourcing. It was agreed at CET that we would use cash to fund any necessary remedial work for now, whilst we establish whether there is a need for anything more formal/permanent. We have an existing reserve, and an annual revenue budget for this purpose. If this proves to be inadequate to deal with urgent health and safety risks, the project sponsor will raise this as a revenue pressure. We have a revenue budget and reserve for ADB – particularly for trees posing a danger - and will highlight any budgetary pressures following that. The estimated cost of dealing with the current sample of inspected trees (worst case scenario) is potentially significant, around £2m.
- Project updates are reported on a regular basis.
- A briefing paper on our approach went to Cabinet in December 2019.

Ancillary controls (newest first):

- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- The issue is so significant we are focussed solely on critical controls at this stage.

## Corporate Risk Register (February 2023)

- Whilst no formal collaboration is in place, we will work with neighbouring authorities informally. We have agreed to meet regularly with Conwy to discuss progress informally; to learn from one another's experiences, and to ensure we deal with the problem efficiently - doing things once.
- Carbon absorption and biodiversity improvement, with favourable replanting/habitat/land management ratio, will be considered as part of the ADB action plan.

Relevant indicators of the effectiveness of controls:

- Under development but delivery of the action plan will demonstrate effective control measures have been implemented. Likely indicators to be available include the number of inspections and percentage of inspected trees in highest category of risk.

Assurance:

- Internal Audit Review Risk Management 2021

### Residual Risk

C2 – Major Risk: Possible / High impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Major risk. Risk Appetite suggests that this should be at most a moderate risk. Our management of this difficult risk is in its early stages but progress is being made. Our current score reflects this and the serious potential for death or injury. Once our action plan is agreed and starts to be delivered (the action plan will be a 5-10-year effort), we would expect to see the residual risk reducing and brought closer to within our risk appetite. We expect the likelihood to reduce, although the impact is anticipated to remain high.

# Corporate Risk Register (February 2023)

## Further Actions

### 1. Draft Corporate Ash Dieback Action Plan

Action plan will be informed by detailed mapping of the council's ash tree population and condition information, identifying those trees that need to be made a priority based on the level of risk. The draft plan will be taken through the political process in the Council term. Therefore, the Ash Dieback Action Plan is now due by April 2023.

**Action Due Date:** 31/03/23

**Person Responsible:** Emlyn Jones

## Corporate Risk Register (February 2023)

### **Risk 45: The risk that the council fails to become a net carbon zero and ecologically positive council by 2030.**

**Lead Member(s):** Councillor Barry Mellor

**Risk Owner:** Gary Williams

#### **February update:**

Controls have been updated, including links to indicators and assurance.

The action to “Ensure all of SLT, including any new members, attend one-day carbon literacy training” has been extended in light of the anticipated restructure at SLT and to reflect the current interim heads of service. All current SLT members have attended training apart from DLL Managing Director.

A new action to roll out ecological literacy training by Winter 2023 has been added.

Planning for the 2023/24 Strategy Review has commenced and will require CET and SLT time and input, as well as Cabinet, Scrutiny and Council.

The risk score has not changed.

#### **Description**

Since declaring a Climate Change and Ecological Emergency in July 2019, we have developed a Climate and Ecological Change Programme to become a net carbon zero and ecologically positive council by 2030.

#### **Anticipated direction of travel:**

This risk is currently beyond our risk appetite. Our management of this difficult risk is in its early stages but progress is being made. Following positive feedback from Audit Wales on our programme management and organisational ambition on this agenda we are reassured that we have a well-scoped action plan in place. This risk will require close and very regular management to ensure controls are working as intended. We previously expected the likelihood to reduce, although the impact is anticipated to remain high. The

## Corporate Risk Register (February 2023)

confidence of that direction of travel has reduced; a national report about the public sector's response more generally, to becoming carbon neutral by 2030, broadly says organisations need to be bold and take risks if they are to stand a chance of meeting this ambitious target. We will be reviewing the Strategy, its scope, the targets within and our now 7-year Programme during 2023/24. At that point it is likely that we will need to increase momentum, make bolder decisions and be prepared to tolerate greater risks in order to become net carbon zero.

### Impact / Consequences

- The impact of not fulfilling our programmes and realising benefits could result in poor social, cultural, economic and environmental outcomes. This could result in us not meeting our carbon reduction and absorption targets and not maximising benefits such as ecological resilience, flood alleviation, urban cooling, contributing to keeping to temperature rise to 1.5 degrees or lower. Damage to reputation
- Financial liabilities
- Regulatory liabilities
- Deteriorating Staff morale
- Inability to meet statutory obligations and avoid legislative offenses.

### Inherent Risk

A1 – Critical Risk: Almost Certain / Very High Impact

### Controls to Manage Risk (in place)

Critical controls (newest first):

- Planning for the 2023/24 Strategy Review has commenced will require CET and SLT time and input, as well as Cabinet, Scrutiny and Council. It is also likely to involve public involvement and engagement.
- We have secured budget to deliver the 2023/24 programme, including recruiting a procurement business partner to reduce emissions in our procurements. Other roles being explored will largely be expansions of existing roles and teams.

## Corporate Risk Register (February 2023)

- A “deep dive” paper was presented to CET in November 2021, to explore what we could do to reduce the gap in our risk appetite. It was concluded that we should tolerate uncertainty around this the risk for the next 2 years, by the end of which time we should have sufficient information (about our costs, capacity and the results we have achieved to date), to determine whether we are likely to become net carbon zero. A national report about the public sector’s response more generally, to becoming carbon neutral by 2030, broadly says organisations need to be bold and take risks now if they are to stand a chance of meeting this ambitious target. It is likely that the conclusions of the 2023/24 review will include the need to increase momentum, make bolder, braver decisions and be prepared to tolerate greater risks in order to become net carbon zero.
- Climate Change team has been established, with a programme board in place. Resource plans are in place for services for key areas of the Climate and Ecological Change Programme.
- The Climate and Ecological Change programme actively seek opportunities for external grant funding
- Introduction to Climate Change training is available to all staff. All but one of the Senior Leadership Team have attended a one-day accredited Carbon Literacy course. Intranet pages launched to increase awareness of staff to the contribution they can make.
- Active participation in national, regional and local strategy and operational groups to leverage benefits from collaboration.

### Ancillary controls:

- Effective programme management is in place, including communications and risk management.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.

### Relevant indicators of the effectiveness of controls:

## Corporate Risk Register (February 2023)

- NCZCORP: Net Carbon Zero - Total carbon tonnage emitted and absorbed by the council (excluding supply chains)
- CTOTALCORP: Total carbon tonnage emitted (Corporately) for the Net Carbon Zero goal
- STOTALCORP: Total carbon tonnage sequestered (Corporately) for the Net Carbon Zero goal
- SRHIGHCORP: Percentage of DCC owned and operated land in highest categories of species richness
- SRLOWCORP: Percentage of DCC owned and operated land in lowest categories of species richness

### Assurance:

- Internal Audit Review planning prompts for testing-related controls in all relevant projects
- Office Accommodation Internal Audit Review 2020
- Included in Internal Audit 2022/23 plan
- Audit Wales – Delivering Environmental Ambitions 2020-21
- Audit Wales – Public Sector Readiness for Net Zero Carbon by 2030

### Residual Risk

B2 – Critical Risk: Likely / High

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Critical risk. Risk Appetite suggests that this should be at most a minor risk. Our management of this difficult risk is in its early stages but progress is being made. It is unlikely that this risk will be brought closer within our risk appetite for some time to come. Although, clearly, our ambition is to meet the target, we hope to be able to reduce the impact of the risk closer to 2030 once sufficient benefits and positive impacts have been achieved.

## **Corporate Risk Register (February 2023)**

### **Further actions:**

**1. Ensure all of SLT, including any new members, attend one-day carbon literacy training**

**Action Due Date:** Rolling

**Person Responsible:** Helen Vaughan-Evans

**2. New action: Roll out ecological literacy training by Winter 2023**

**Action Due Date:** 31/12/2023

**Person Responsible:** Helen Vaughan-Evans

**3. Conduct a review of the Strategy, its scope, targets and the Programme pathway to 2030 including mapping benefits against resources**

**Action Due Date:** 30/09/2023

**Person Responsible:** Helen Vaughan-Evans

## Corporate Risk Register (February 2023)

**Risk 48: The risk that recruitment and retention issues, leading to a loss of expertise and capacity, worsen resulting in poor or inadequate services**

**Lead Member(s):** Councillor Julie Matthews

**Risk Owner:** Graham Boase

### **February update:**

The controls have been updated. Planned assurance has been added to our controls.

While pressures in relation to recruitment and retention in social care and health, in particular, are acute, the risk level across the organisation and across all services remains the same as it was in September 2022 and so the risk score has not changed.

### **Description**

Many services have been struggling to recruit and retain staff for some time, particularly – but not limited to - critical front line social care and health and highways and environment roles. This is a national issue for the care sector. At the root of this are many factors, including the relatively low wages, high demands, and sometimes a perceived lack of esteem/value in the care career path in particular. There are also some regional pressures associated with neighbouring employers offering higher salaries.

The behaviour changes resulting from social-distancing measures - with the pivot to home working now common in many workplaces – means people are now able to apply for jobs from across Wales, UK and beyond.

Furthermore, one emerging consequence of Covid-19 is that people are reassessing their situation and ambitions and are making different life choices, which sometimes involves leaving their roles.

## Corporate Risk Register (February 2023)

With the resurgence of the hospitality and retail sectors following Covid-19, potential new employees and some existing employees (whether employed by the council or an agency), are taking positions in these sectors instead.

These changes also present opportunities, with the council being able to position itself to attract a wider pool of talent from a much larger geographical area.

*Please note:* EU Nationals within DCC were required to apply to the Settled Status Scheme to remain working in the UK. The deadline has now passed. The risk associated with EU nationals was mitigated and the Brexit risk register has been closed.

### **Anticipated direction of travel:**

The risk, in terms of its likelihood and impact, is not fully understood at present. What is more, the severity of the risk will not be the same for all services (for example, in relation to [risk 1](#) and [risk 34](#) (the risk that demand for specialist care cannot be met locally)). There is most acute concern in adult and children's services, followed by concern in highways and environment roles, in environmental health and finance roles for example. We had anticipated that this risk – in terms of for the whole council – would reduce in the medium term but this looks uncertain. Our hope is that this risk will reduce and can eventually be managed solely by Services themselves with support from Legal, HR and Democratic Services. There are no performance measures currently available to quantify progress in reducing this risk.

### **Impact / Consequences**

- Failure to recruit to and retain staff in key roles, including front line positions
- Difficulty in sustaining services
- Difficulty meeting statutory requirements
- Deteriorating staff morale/well-being
- Increased pressure on middle and senior managers
- Reputational damage, i.e. the council isn't perceived to be a desirable employer with favourable terms and conditions
- Failure to position Denbighshire as a great place to work

## Corporate Risk Register (February 2023)

- Individual(s) experience significant harm or death.

### Inherent Risk

B3 – Major Risk: Likely / Medium Impact

### Controls to Manage Risk (in place)

Some services are taking specific actions, such as Highways and Environment Service working with Working Denbighshire or Adult's Services working with Communities and Customers to deliver a targeted recruitment programme. This risk though captures the impact on the organisation corporately and the following controls reflect a corporate response. Whilst these controls are regarded as important to undertake, we are not currently certain that they will reduce the risk. There are regional and national pressures around recruitment and retention and some of these pressures may resolve themselves as we recover from Covid-19, for instance.

Critical controls:

- Workforce planning actions are underway to progress the findings of the corporate and service-level workforce planning reviews. There continues to be a significant focus on recruitment, especially in social care and health roles. A six monthly update report on delivery of the action plan was presented to the Corporate Executive and Senior Leadership Teams during the period. An annual update will be presented in April 2023.
- It is acknowledged that potentially in the future the Denbighshire County Council Pay Grading Structure will need reviewing
- In November, Governance and Audit Committee received an update on the risk review most recently undertaken in September 2023 and an information report about recruitment and retention issues in Social Services. The report summarised the impact of recruitment and retention challenges in Children's and Adult Social Services and the actions being taken to mitigate these challenges. A detailed report about recruitment, retention and workforce planning across the council will be

## Corporate Risk Register (February 2023)

presented to Governance and Audit Committee by the Interim Head of Service: HR in January 2023.

- A new group has been established looking at recruitment issues in care. This is chaired by Nicola Stubbins. An action plan has been developed and is being delivered for social care workers. This includes a review of career grades. A new recruitment specialist has been created to support the delivery of the project plan.
- HR completed workforce planning with all services in November 2021, and included discussion about recruitment and retention. The subsequent Corporate Workforce Plan has been discussed with CET and SLT. Recruitment and retention has been identified as a corporate concern and a number of actions have been agreed in the delivery plan. HR are, alongside Services, exploring a range of different strategies that could be put in place to support recruitment activities and help with retention, such as upskilling our own employees; working with partners, job redesign and market supplement payments. Market supplements and other tools have been discussed for some roles, however to date, no market supplements have been implemented.
- A Real Living Wage (RLW) workshop was held with full Council on September 14, 2021, and options and implications of the Council becoming a RLW employer were discussed. The outcome was:
  - The council would continue to pay all staff on the basis of the nationally negotiated and agreed rates of pay.
  - The current pay offer for 2021/22 would, if accepted, mean that all staff would be paid at or above RLW rates.
  - This is a complex and difficult issue to resolve locally without completing a complete review of the council's pay structure and procurement position which would be time consuming and costly. The payment of RLW across the sector would appear to require a coordinated and consistent national approach.
  - It was recommended that the council engages with the debate at national level to ensure that any future solution is practicable and funded.

## Corporate Risk Register (February 2023)

- Activities that are likely to be considered in relation to a taking a corporate approach to the issue could include a review of our marketing, recruitment process, and how managers and 121s support learning and development.
- Denbighshire County Council has written to the UK Government following a Notice of Motion that was put before a meeting of Full Council on 12 October 2021. The letter urges UK Government to designate, as a matter of urgency, social care workers as skilled workers, in order that they meet the entry requirements of the post-Brexit points-based immigration system introduced in January 2021.
- Work experience, career grade job roles, apprenticeships are available in the council.
- Work is taking place regionally to tackle particular/acute recruitment issues (such as those in social care and health).
- We are adopting a more commercial, marketed approach to recruitment.
- A real living wage workshop too place with Full Council on 14 September 2021.

### Ancillary control (newest first):

- A Corporate Business Continuity Group (CBCG) exists and services should all update business continuity plans regularly.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.
- Denbighshire County Council has written to the UK Government following a Notice of Motion that was put before a meeting of Full Council on 12 October 2021. The letter urges UK Government to designate, as a matter of urgency, social care workers as skilled workers, in order that they meet the entry requirements of the post-Brexit points-based immigration system introduced in January 2021.

### Relevant indicators of the effectiveness of controls:

- LHRD10i: % of people who started in the last 12 months and who are still working for DCC

## Corporate Risk Register (February 2023)

- SHR105i: The percentage of services that have completed the annual workforce planning review at Quarter 3

Qualitative indicators (delivery of activities):

- CSS20/4002a Work with partners to focus on recruitment to care and career pathways for young people – Agencies (Imogen Blood) / Micro enterprises / FE Colleges /Volunteer groups
- WFP1a: Refresh the workforce planning template and programme

Assurance:

- A report about recruitment and retention has been presented to Governance and Audit Committee in January 2022. It was discussed again as part of corporate risk register review report presented to Governance and Audit Committee in November 2022.
- A review of recruitment and retention will be undertaken by Internal Audit during spring 2023.

### Residual Risk

B3 – Major Risk: Likely / Medium Impact

### Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

Yes. If operational and policy delivery is regarded as the most significant impact, our risk appetite in this regard is open.

## Corporate Risk Register (February 2023)

**Risk 49: The risk that the future funding regime doesn't allow the council to continue to support the most vulnerable learners and disengaged young people in the way we have been through EU funding**

**Lead Member(s):** Councillor Gill German and Councillor Jason McLellan

**Risk Owner:** Nicola Stubbins

### **February update:**

All sections have been reviewed and the anticipated direction of travel has been updated.

### **Description**

The European Structural Fund (ESF) 2014-2020, supported four projects within Denbighshire. For example, ESF supported the TRAC and ADTRAC 11-24 project that provides interventions aimed at vulnerable learners identified as being at risk of disengaging from education.

The effective work and interventions of the TRAC officers have led to more safeguarding concerns being identified. The effectiveness of the project being an integral service in Education and Children's Services has also led to a wider understanding and capacity to support some of our most vulnerable young people. ADTRAC has also proven to be effective in reducing young people at risk of becoming disengaged or NEET (not in education, employment or training).

Funding ceased at the end of the 2021-22 academic year. This risk was identified at a point in time when funding from the ESF was expected to be replaced by a UK Shared Prosperity Fund.

### **Anticipated direction of travel:**

EU funding has ceased. We previously had low level confidence that some funding will be available. We were confident, given our explicit intention to support vulnerable young

## Corporate Risk Register (February 2023)

people and learners in the Regional Investment Plan (see controls), that this risk could be removed as the Shared Prosperity Fund and the Regional Investment Plan are implemented. However, continued and worsening economic pressures has resulted in a less certain outlook for this risk. Llwybrau needs some bedding in time and we need to closely monitor NEET figures, to ensure they do not increase - otherwise we risk longer-term socio-disadvantage increasing. We expect to be able to deescalate this risk to the Service Risk Register in the review planned for September 2023 (Llwybrau will have been operating for a year by that point).

### Impact / Consequences

The range of interventions available are currently keeping the most challenging, vulnerable and disengaged learners and young people in education, employment or training. A significant proportion of participants are identified as having additional learning needs. This has had a positive impact on Not in Education, Employment or Training (NEET) outcomes. However, we know that this would not be in their best interests. We are also confident we would still see an impact on young people's unemployment.

Loss of this provision could lead to:

- Educational provision that does not meet the needs of learners at risk of disengaging
- More learners leaving school without qualifications and a greater number of young people becoming NEET
- Decrease in school attendance and an increase in exclusions from schools;
- Worse health and well-being, including an increase in the number of learners and young people in Denbighshire with mental health difficulties but not receiving support, particularly boys
- Increased risk of disengaged learners becoming involved in risky and/or anti-social behaviours and the impact of this on families and communities;
- Reduced counselling capacity and availability
- Missed safeguarding opportunities and / or later referrals at child protection level  
Greater pressure on wider public services, including significant additional resource pressures on schools absorbing the caseloads

# Corporate Risk Register (February 2023)

The worst case scenario, should the UK Shared Prosperity Fund be unavailable, is that, from an education point of view, those disengaged learners will return to school.

## Inherent Risk

A2 – Critical Risk: Almost Certain / High Impact

## Controls to Manage Risk (in place)

Critical controls (*most recent first*):

- Levelling Up and Shared Prosperity Funding is focussed on addressing issues outstanding after the end of EU funded programmes (capital and revenue funding).
- Year 1 Shared Prosperity Funding (SPF) applications (opened for LA delivery only) were approved by Cabinet in January 2023. Total of 12 project approved.
- Year 2 & 3 SPF Funding - Stage 1 applications window for applications opened February 1, 2023, with a deadline for submission of February 24. A total of 111 project application received to the value of £88million, with a good mix of regional bids and individual delivery in Denbighshire bid. Stage 2 applications will be invited to submit detailed project applications following appraisal, approval and recommendation of the Denbighshire SPF Partnership and recommendations report to Cabinet on 25th April. Successful projects will need to complete delivery by 31 December 2024
- Governance arrangements have been created, and include the creation of a partnership group to inform and shape decisions around local investment. The SPF team in Denbighshire is in place, with Gwynedd Council leading regionally.
- We are working on an assumption that we will be able to draw down Shared Prosperity funding to fund the most critical interventions (TRAC (school-aged) and ADTRAC (non-school aged young people)) that were funded by ESF funds. The Plan is explicit about our intention to continue to support this group of young people.
- The Budget Board agreed, in February 2022, to temporarily fund the continuation of the project, at risk, in anticipation of a positive announcement about the Regional

## Corporate Risk Register (February 2023)

Investment Plan being made this year. It is expected that we will be able to claim the money back.

- A report (“TRAC and the risks exposed by the conclusion of ESF”) was discussed by SLT in October 2021.
- The council put in place interim arrangements for managing the Community Renewal Fund for 2021 to 2022.

Ancillary controls:

- We will keep in touch with Welsh Government on the Youth Progression Framework (because TRAC and ADTRAC are interventions to address disengagement and reduce learners and young people from becoming NEET).
- Monitoring updates from WLGA to find out when we have a chance to influence the allocation of the fund.
- Opportunity to lobby more as a region.
- Managers ensure the Communications Team is fully briefed, as early as possible, on any situation that may cause significant reputational loss. The Communications Team manage media and public relations and internal communications on the matter.

Relevant indicators of the effectiveness of controls:

- NEET rate
- Attendance at school

Assurance:

- For now, regular reports to the Regional Engagement Team (EU).

### Residual Risk

C3 – Moderate Risk: Possible / Medium Impact

## **Corporate Risk Register (February 2023)**

**Is our risk exposure (based on the score) consistent with the council's Risk Appetite?**

Yes

## Corporate Risk Register (February 2023)

### **Risk 50: The risk that Welsh Government's commitment to eliminate profit from the care of Children Looked After results in an unstable or unsuitable supply of placements**

**Lead Member(s):** Councillor Gill German

**Risk Owner:** Rhian Morrle

#### **September update:**

The risk owner has changed from Nicola Stubbins to Rhian Morrle.

The risk has changed to read from Looked After Children to Children Looked After.

We are closely monitoring the long term implications of this commitment from Welsh Government on adult placements. For now, the risk owner remains Councillor Gill German but it may become a risk for adult placements in which case Councillor Elen Heaton will become an owner.

The risk has been reviewed and no changes were made.

#### **Description**

The Welsh Government's Programme for Government makes a commitment to 'eliminate private profit from the care of Children Looked After during the next Senedd term'.

The objective of removing profit is a positive one and it has the region's resounding commitment. However, it is imperative that Welsh Government take steps in pursuit of not-for-profit arrangements that do not disrupt children and young people's lives in the short term.

Our key concern is that providers become unsettled and the sufficiency and suitability of placements will be affected, affecting the lives of Children Looked After.

This risk is closely linked to [risk 34](#) (the risk that demand for specialist care cannot be met locally).

# Corporate Risk Register (February 2023)

## Anticipated direction of travel:

This is a new risk, which has been regionally agreed. We will review the anticipated direction of travel as our management of this risk progresses over the next few months. We always felt this would take time to be resolved but there have been delays, but there is a real desire from Welsh Government to implement but it is a complex and challenging issue to resolve. It is becoming more challenging to find placements.

## Impact / Consequences

- Reduction in the number of placements for Children Looked After both generally and within the region or reasonable travel distance to the region.
- Current placements will be destabilised.
- Reduced investment in the area to develop local supply by private providers.

## Inherent Risk

B2 – Critical Risk: Highly likely and High Impact

## Controls to Manage Risk (in place)

Critical controls:

- Work with Welsh Government to ensure children are supported as close to home as possible.
- Raise the issues connected with this approach with Welsh Government and stress that a detailed and informed approach, attuned timing, and compatible messaging is essential to the competent management of the journey.
- Identify other alternative provision that is available
- Raise awareness within the Regional Partnership Board and partner organisations.
- Apply caution with the ongoing work of the NWWB and the investments required in ASC
- The Regional Commissioning Board to consider other models such as In-house delivery

# Corporate Risk Register (February 2023)

Ancillary controls:

- None.

Relevant indicators of the effectiveness of controls:

- Costs associated with care for CLA will be available.

## Residual Risk

C3 – Moderate Risk: Possible and Medium Impact

## Is our risk exposure (based on the score) consistent with the council's Risk Appetite?

On the basis that we have an Open Risk Appetite in relation to operational and policy delivery, yes.

## Appendix 3: Risk Scoring Matrix

### Grading the likelihood of an event

Grade	% chance	Description
<b>A: Almost Certain</b>	Over 70%	Event is almost certain to occur in most circumstances
<b>B: Likely</b>	30% to 70%	Event likely to occur in most circumstances
<b>C: Possible</b>	10% to 30%	Event will possibly occur at some time
<b>D: Unlikely</b>	1% to 10%	Event unlikely and may occur at some time
<b>E: Rare</b>	Under 1%	Event rare and may occur only in exceptional circumstances

### Rating the impact of an event

Rating	Service performance	Reputation	Financial cost
<b>1: Very High</b>	Unable to deliver core activities. Strategic aims compromised.	Trust severely damaged and full recovery questionable and costly.	Over £5million
<b>2: High</b>	Significant disruption to core activities. Key targets missed.	Trust recoverable at considerable cost and management attention.	£1million to £5million

<b>Rating</b>	<b>Service performance</b>	<b>Reputation</b>	<b>Financial cost</b>
<b>3:</b> Medium	Disruption to core activities / customers	Trust recovery demands cost authorisation beyond existing budgets.	£250,000 to £1million
<b>4:</b> Low	Some disruption to core activities / customers	Trust recoverable at modest cost with resource allocation within budgets	£50,000 to £250,000
<b>5:</b> Very Low	Minor errors or disruption.	Trust recoverable with little effort or cost.	Less than £50,000

The combination of impact and likelihood results in a risk exposure rating of:

<b>Risk Score</b>	<b>Risk Severity</b>	<b>Escalation Criteria</b>
<b>C5, D4, D5, E4, E5</b>	<b>Minor</b>	Risk easily managed locally – no need to involve management
<b>A5, B4, B5, C3, C4, D3, E2, E3</b>	<b>Moderate</b>	Risk containable at service level – senior management and SLT may need to be kept informed
<b>A3, A4, B3, C2, D1, D2, E1</b>	<b>Major</b>	Intervention by SLT with Cabinet involvement
<b>A1, A2, B1, B2, C1</b>	<b>Critical</b>	Significant SLT and Cabinet intervention

## Summary of Denbighshire's Risk appetite statement (as agreed November 2022)

Denbighshire County Council's risk appetite in relation to different aspects of council business is summarised below:

- **Reputation and Credibility** – Open risk appetite, willing to consider all options and choose one that is most likely to result in successful delivery with an acceptable level of reward (and value for money). This means we will tolerate minor, moderate or major risks.
- **Operational and Policy Delivery** – Open risk appetite, willing to consider all options and choose one that is most likely to result in successful delivery with an acceptable level of reward (and value for money). Again, this means we will tolerate minor, moderate or major risks.
- **Financial Projects** - Open risk appetite, willing to consider all options and choose one that is most likely to result in successful delivery with an acceptable level of reward (and value for money). As above, we will tolerate minor, moderate or major risks.
- **Financial Treasury Management** – Cautious risk appetite, preference for safe options that have a medium degree of inherent risk and may have some potential for rewards. Within this risk appetite, we will only tolerate minor or moderate risks.
- **Compliance and Regulation - Safeguarding** – Minimalist risk appetite, preference for ultra-safe options where the well-being of individuals is concerned, with a low degree of inherent risk and have a potential for only limited (safe) reward. This means we will only accept minor risks in this area.
- **Compliance and Regulation - Other** – Cautious risk appetite, preference for safe options that have a medium degree of inherent risk and may have some potential for rewards. Again, we will only tolerate minor or moderate risks in this area.
- **People (Workforce) Learning and Development** – Cautious risk appetite, preference for safe options that have a medium degree of inherent risk and may have some potential for rewards. As above, minor or moderate risks only will be tolerated.
- **People (Workforce)** – Cautious risk appetite, preference for safe options that have a medium degree of inherent risk and may have some potential for rewards. Again, we will only tolerate minor or moderate risks in this area.

Mae tudalen hwn yn fwriadol wag

<b>Adroddiad i'r</b>	<b>Pwyllgor Craffu Perfformiad</b>
<b>Dyddiad y Cyfarfod</b>	<b>27 Ebrill 2023</b>
<b>Pennaeth Gwasanaeth</b>	<b>Lisa Jones, Pennaeth dros dro y Gwasanaethau Cyfreithiol a Democrataidd</b>
<b>Awdur yr Adroddiad</b>	<b>Rhian Evans, Cyd-lynydd Craffu</b>
<b>Teitl</b>	<b>Rhaglen Waith Craffu</b>

## 1. Am beth mae'r adroddiad yn sôn?

- 1.1 Mae'r adroddiad yn gofyn i'r Pwyllgor Craffu Perfformiad adolygu ei raglen gwaith i'r dyfodol drafft (gweler Atodiad 1). Wrth ei hadolygu gofynnir i'r Pwyllgor adlewyrchu ar oblygiadau'r ffocws ar weithrediadau critigol i fusnes yn ystod cyfnod argyfwng y pandemig COVID -19 a'r gwaith sy'n digwydd dan y trefniadau adfer, tra ar yr un pryd flaenoriaethu materion sydd yn nhŷb y Pwyllgor yn bwysig craffu arnynt.

## 2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

- 2.1. Gofyn i'r Pwyllgor adolygu a chytuno ar ei raglen gwaith i'r dyfodol, a rhoi'r wybodaeth ddiweddaraf i aelodau ar faterion perthnasol.

## 3. Beth yw'r Argymhellion?

Bod y Pwyllgor yn:

- 3.1 ystyried yr wybodaeth a ddarparwyd ac yn cymeradwyo, diwygio neu'n newid ei raglen gwaith i'r dyfodol fel y gwêl yn briodol;
- 3.2 penodi cynrychiolwyr i wasanaethu ar yr seddau gwag ar y Grwpiau Her ar gyfer Gwasanaethau'r Cyngor; ac

- 3.3 yn penderfynu os oes unrhyw prif bwyntiau neu themau o'r cyfarfod hwn y dymuna dynnu sylw atynt trwy'r wasg a/neu'r cyfryngau cymdeithasol.

## 4. Manylion yr adroddiad

- 4.1 Mae Adran 7 Cyfansoddiad Cyngor Sir Ddinbych yn nodi cylch gorchwyl, swyddogaethau ac aelodaeth pob Pwyllgor Craffu, yn ogystal â rheolau gweithdrefnau a trafodaethau.
- 4.2 Mae'r Cyfansoddiad yn amodi bod yn rhaid i bwyllgorau craffu'r Cyngor osod, ac adolygu'n rheolaidd, rhaglen ar gyfer eu gwaith i'r dyfodol. Trwy adolygu a blaenoriaethu materion, gall aelodau sicrhau bod y rhaglen waith yn cyflwyno agenda a arweinir gan yr aelodau.
- 4.3 Arfer sydd wedi'i fabwysiadu yn Sir Ddinbych ers nifer o flynyddoedd yw bod pwyllgorau craffu'n cyfyngu ar nifer yr adroddiadau a ystyrir mewn unrhyw gyfarfod i uchafswm o bedwar, yn ogystal ag adroddiad rhaglen waith y Pwyllgor ei hun. Nod y dull hwn yw hwyluso trafodaeth fanwl ac effeithiol ar bob pwnc.
- 4.4 Yn y blynyddoedd diweddar mae Llywodraeth Cymru (LIC) ac Archwilio Cymru wedi tynnu sylw at yr angen i gryfhau rôl craffu ar draws llywodraeth leol a gwasanaethau cyhoeddus yng Nghymru, gan gynnwys defnyddio craffu fel modd o ymgysylltu â phreswylwyr a defnyddwyr gwasanaeth. O hyn allan, disgwylir i graffu ymgysylltu'n well ac yn amlach â'r cyhoedd gyda bwriad i sicrhau penderfyniadau gwell a fydd yn y pen draw yn arwain at well canlyniadau i ddinasyddion. Bydd Archwilio Cymru yn mesur effeithiolrwydd craffu wrth gyflawni'r disgwyliadau hyn.
- 4.5 Gan ystyried y weledigaeth genedlaethol ar gyfer craffu a chanolbwyntio ar yr un pryd ar flaenoriaethau lleol, gwnaeth y Grŵp Cadeiryddion ac Is-gadeiryddion Craffu (GCIGC) argymhell y dylai pwyllgorau craffu'r Cyngor, wrth benderfynu ar eu rhaglenni gwaith, ganolbwyntio ar y meysydd allweddol canlynol:
- arbedion ar y gyllideb;

- cyflawni Themau y Cynllun Corfforaethol (gyda phwyslais arbennig ar y modd o'u cyflawni yn ystod cyfnod o galedi ariannol);
- unrhyw eitemau eraill a gytunwyd gan y Pwyllgor Craffu (neu'r GCIGC) fel blaenoriaeth uchel (yn seiliedig ar y meini prawf profion PAPER - gweler ochr gefn y 'Ffurflen Cynnig gan Aelodau' yn Atodiad 2);
- Materion brys, na ragwelwyd neu flaenoriaeth uchel; a
- Cefnogi gwaith adfer y Cyngor mewn perthynas ag effeithiau'r argyfwng COVID-19 ar wasanaethau'r Cyngor, yr economi leol a chymunedau'r sir

#### 4.6 Ffurflenni Cynnig ar gyfer Craffu

Fel y crybwyllwyd ym mharagraff 4.2 uchod, mae Cyfansoddiad y Cyngor yn gofyn i bwyllgorau craffu baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol. Er mwyn cynorthwyo'r broses o flaenoriaethu adroddiadau, os yw'r swyddogion o'r farn fod pwnc yn haeddu amser i gael ei drafod ar raglen fusnes Craffu, mae'n rhaid iddynt wneud cais ffurfiol i'r GCIGC i Graffu ystyried derbyn adroddiad ar y pwnc hwnnw. Gwneir hyn trwy gyflwyno 'ffurflen gynnig' sy'n egluro pwrpas, pwysigrwydd a chanlyniadau posibl y pynciau a awgrymir.

- 4.7 Er mwyn gwneud gwell defnydd o amser craffu drwy ganolbwyntio adnoddau pwyllgorau i archwilio testunau'n fanwl, gan ychwanegu gwerth drwy'r broses o wneud penderfyniadau a sicrhau gwell canlyniadau ar gyfer preswylwyr, penderfynodd y GCIGC y dylai'r aelodau, yn ogystal â swyddogion, lenwi 'ffurflenni cynnig ar gyfer craffu' yn amlinellu pam eu bod yn credu y byddai'r testun yn elwa o fewnbwn craffu. Mae copi o'r 'ffurflen gynnig gan aelod' i'w gweld yn Atodiad 2. Mae ochr gefn y ffurflen hon yn cynnwys siart lif sy'n rhestru'r cwestiynau y dylai aelodau eu hystyried wrth baratoi i gynnig eitem ar gyfer craffu, ac y dylai pwyllgorau eu gofyn wrth benderfynu ar addasrwydd testun i'w gynnwys ar y rhaglen gwaith i'r dyfodol craffu. Os, ar ôl cwblhau'r broses hon, y penderfynir nad yw'r testun yn addas i'w archwilio'n ffurfiol gan bwyllgor craffu, yna gellir ystyried dulliau eraill o rannu'r wybodaeth neu archwilio'r mater e.e. darparu 'adroddiad gwybodaeth', neu os yw'r mater yn un o natur leol gellir ei archwilio gan y Grŵp Ardal Aelodau (GAA) perthnasol. Ni fydd unrhyw eitemau'n cael eu cynnwys ar raglen gwaith i'r dyfodol heb lenwi 'ffurflen gynnig ar gyfer craffu' a derbyn cymeradwyaeth i'w gynnwys ar y

rhaglen gan y GCIGC. Mae cymorth ar gael i lenwi'r ffurflenni gan y Cydlynnydd Craffu.

#### Rhaglen Gwaith i'r Dyfodol y Cabinet

- 4.8 Wrth benderfynu ar eu rhaglen gwaith i'r dyfodol mae'n ddefnyddiol i'r pwyllgorau craffu ystyried amserlen rhaglen waith y Cabinet. At y diben hwn, mae copi o rhaglen gwaith i'r dyfodol y Cabinet ynghlwm yn Atodiad 3.

#### Datblygiad Penderfyniadau'r Pwyllgor

- 4.9 Yn Atodiad 4 o'r adroddiad hwn mae tabl yn crynhoi penderfyniadau diweddar y Pwyllgor ac yn cynghori'r aelodau ar ddatblygiadau yn sgîl y penderfyniadau.

## **5. Grŵp Cadeiryddion ac Is-Gadeiryddion Craffu**

- 5.1 Dan drefniadau craffu'r Cyngor mae'r Grŵp Cadeiryddion ac Is-gadeiryddion Craffu (GCIGC) yn gweithredu fel pwyllgor cydlynu. Cyfarfu'r Grŵp ar 9 Mawrth 2023. Yn y cyfarfod hwnnw cytunodd y Grŵp i gais gan y Pwyllgor Llywodraethu ac Archwilio i'r Pwyllgor hwn ystyried adroddiad ar Recriwtio, Cadw a Chynllunio y Gweithlu yn ei gyfarfod ar 8 Mehefin 2023 (gweler Atodiad 1 ynghlwm).
- 5.2 Cynhelir cyfarfod nesaf y Grŵp yn ystod prynhawn, 27 Ebrill 2023.

## **6. Cynrychioliaeth y Pwyllgor ar Fyrddau a Grwpiau'r Cyngor**

### **Grwpiau Her ar gyfer Gwasanaethau'r Cyngor**

- 6.1 O bryd i'w gilydd gofynnir i'r Pwyllgor benodi cynrychiolydd i wasanaethu ar amrywiol Fyrddau neu Grwpiau o fewn y Cyngor.
- 6.2 Yn ei gyfarfod diwethaf gofynnwyd i'r Pwyllgor gadarnhau ei benodiadau i wasanaethu ar nifer o Grwpiau Her ar gyfer Gwasanaethau'r Cyngor. Mae rhestr lawn o'r rhai a benodwyd hyd yma i'w gweld yn Atodiad 5. Yn dilyn ailstrwythuriad y Prif Weithredwr o Uwch Dîm Arweinyddiaeth y Cyngor (UDA) mae enwau'r gwasanaethau a ail-strwythurwyd bellach yn hysbys. O ganlyniad,

gofynnir i'r Pwyllgor benodi cynrychiolwyr i wasanaethu ar y tri Grŵp Her Gwasanaeth sydd â seddi gwag i'w llenwi, gweler Atodiad 5.

## **7. Sut mae'r penderfyniad yn cyfrannu at y Cynllun Corfforaethol 2022 i 2027: Y Sir Ddinbych a Garem?**

- 7.1 Bydd craffu effeithiol yn gymorth i'r Cyngor gyflawni ei Gynllun Corfforaethol yn unol ag anghenion cymunedau a dymuniadau trigolion. Bydd datblygu ac adolygu rhaglen waith gydlynol yn barhaus yn cynorthwyo'r Cyngor i ddarparu ei themau corfforaethol, i wella canlyniadau i breswylwyr tra hefyd yn dygymod â phwysau ar adnoddau ac ar y gyllideb.
- 7.2 Er bydd y penderfyniad ar flaenraglen waith y Pwyllgor ei hun yn gyfraniad niwtral tuag at nod y Cyngor o ddod yn Ddi-Garbon Net ac yn Ecolegol Bositif erbyn 2030, gall y Pwyllgor, trwy graffu'n effeithol ar yr holl faterion a ystyrir ganddo gefnogi'r gwaith o gyflawni'r uchelgais hwn.

## **8. Beth fydd y gost a sut y bydd yn effeithio ar wasanaethau eraill?**

- 8.1. Mae'n bosib y bydd yn rhaid i wasanaethau neilltuo amser swyddog i gynorthwyo'r Pwyllgor gyda'r eitemau a nodwyd yn y rhaglen waith a chydag unrhyw gamau gweithredu yn dilyn ystyried yr eitemau hynny.

## **9. Beth yw prif gasgliadau'r Asesiad o Effaith ar Les?**

- 9.1. Nid oes Asesiad o Effaith ar Les wedi ei wneud mewn perthynas â phwrpas neu gynnwys yr adroddiad hwn. Ond bydd y Pwyllgor Craffu, drwy ei waith yn archwilio darpariaeth gwasanaethau, polisïau, gweithdrefnau ac argymhellion, yn ystyried eu heffaith neu eu heffaith posib ar yr egwyddor o ddatblygu cynaliadwy a'r amcanion o ran lles a nodir yn Neddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015.

## **10. Pa ymgynghoriadau a gynhaliwyd gyda Chraffu ac eraill?**

10.1. Does dim angen cynnal ymgynghoriad ar yr adroddiad hwn Fodd bynnag, mae'r adroddiad ei hun a'r ystyriaeth a roir gan y Pwyllgor i'w raglen waith ar gyfer y dyfodol yn gyfystyr ag ymgynghoriad gyda'r Pwyllgor o ran ei raglen waith.

## **11. Datganiad y Prif Swyddog Cyllid**

11.1. Amherthnasol.

## **12. Pa risgiau sydd ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?**

12.1. Nid oes risg wedi ei ganfod o ran y Pwyllgor yn ystyried ei raglen waith. Fodd bynnag, drwy adolygu ei raglen gwaith i'r dyfodol yn rheolaidd, gall y Pwyllgor sicrhau y rhoddir ystyriaeth ac yr archwilir meysydd risg pan eu bod yn cael eu nodi, a gwneir argymhellion gyda'r bwriad o fynd i'r afael â'r risgiau hynny.

## **13. Pŵer i wneud y Penderfyniad**

13.1. Adran 21 o Ddeddf Llywodraeth Leol 2000.

13.2. Mae Adran 7.11 Cyfansoddiad y Cyngor yn datgan y bydd pwyllgorau craffu ac/neu'r Grŵp Cadeiryddion ac Is-gadeiryddion Craffu yn gyfrifol am osod eu rhaglenni gwaith eu hunain, gan ystyried dymuniadau Aelodau o'r Pwyllgor nad ydynt yn aelodau o'r grŵp gwleidyddol mwyaf ar y Cyngor.

**Note:** Any items entered in *italics* have not been approved for inclusion at the meeting shown by the Committee. Such reports are listed here for information, pending formal approval.

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
8 June	<b>Cllr. Gwyneth Ellis</b>	1. Council Performance Self-Assessment Update (Q4 & Annual)	To present an update on the Council's performance against its functions, including Corporate Plan and Strategic Equality objectives	Identification of areas for improvement and potential future scrutiny	Emma Horan/Nicola Kneale	November 2022
<i>TBC – dependent upon WG</i>	<b>Cllr. Gill German</b>	2. <i>Learner Travel Measure (Wales)</i>  <b>[Education]</b>	<i>To outline the conclusions of the recent Welsh Government consultation on the Measure and its implications for Denbighshire schools and pupils</i>	<i>Identification of potential changes to the Council's learner travel policy and any associated costs and budget implications</i>	<i>Geraint Davies/Ian Land</i>	<i>January 2021 (rescheduled Sept 2022 &amp; Jan 2023)</i>
	<b>Cllr. Julie Matthews</b>	3. Recruitment, Retention & Workforce Planning (incl. details of Staff Absences and Turnover in Denbighshire during 2022/23)	To provide details of: (i) the work underway to recruit, develop, support and retain staff (ii) interventions put in place to support and strengthen recruitment, retention & workforce planning across the Council; and (iii) data on staff absences and turnover during 2022/23 (including national data for comparison purposes) and examples of the types of support offered to personnel on sickness absence with a view to supporting their return to work	Identification of potential future workforce pressure areas and the formulation of recommendations with a view to alleviating those pressures and secure the Council's ability to deliver its services in future	Gary Williams/Louise Dougal	<i>By SCVCG March 2023 and at the request of the G&amp;A Committee</i>

Tudalen 145

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
20 July	<b>Cllr. Gill German</b>	1. Curriculum for Wales <b>[Education]</b>	To detail the progress made in relation to the implementation of the new Curriculum for Wales:  (i) in primary schools – what worked well, areas for improvement and lessons learnt by all stakeholders during the initial implementation phase; (ii) in secondary schools – the lessons learnt during the implementation phase at the high school that commenced rolling out the new curriculum from September 2022 and the support provided by the local education authority and GwE to schools, education staff and governing bodies in readiness for the delivery of the new curriculum in secondary schools from September 2023	Assurances that all educational establishments and staff are fully equipped and trained to deliver the new curriculum, identification of any gaps or risks associated with the provision and solutions to address them, ahead of its implementation with a view to ensuring that children and young people in Denbighshire realise their full potential in line with the Council's Corporate Plan	Geraint Davies/James Brown/GwE	July 2022
	<b>Cllr. Gill German</b>	2. Additional Learning Needs (ALN) Transformation <b>[Education]</b>	To examine the Council's compliance with the statutory requirements of the Additional Learning Needs and Education Tribunal (Wales) Act 2018 and the effectiveness of the 'ECLIPSE' system in identifying, tracking, managing and supporting pupils identified as having ALNs	To reduce the risk of the Authority not complying with any of the requirements of the Act and to ensure that every pupil and student in the County is given the required support and opportunities to realise their full potential	Geraint Davies/Mari Gaskell/Joseph Earl	July 2022
	<b>Cllrs. Gill German &amp; Elen Heaton</b>	3. Draft Director of Social Services Annual Report 2022/23	To scrutinise the content of the draft annual report to ensure it provides a fair and clear evaluation of performance and clearly articulates future plans	Identification of any specific performance issues which require further scrutiny by the committee in future	Nicola Stubbins/Ann Lloyd/Rhiain Morelle/David Soley	July 2022

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
28 September	<b>Cllr. Gill German &amp; Cllr. Emrys Wynne</b>  [Education]	1. Delivery of Welsh Medium Curricula and Non-Curricula Provision in the County's Schools	To report on the progress made to date with planning and implementing Welsh medium education in all of the county's schools in line with the Welsh Government's vision for Welsh language provision	To ensure that all schools are appropriately resourced and supported to achieve their statutory targets in relation to the delivery of Welsh-medium provision whilst also supporting all pupils to realise their full potential	Geraint Davies/James Curran/Carwyn Edwards	Sept 2022
<b>GwE representative(s), Catholic Diocese's Education personnel/ Headteacher and Chair of the School's Governing Body to be invited to attend</b>	<b>Cllr. Gill German</b>	2. Christ The Word  [Education]	To consider the progress made in implementing and delivering the Post Inspection Action Plan (PIAP) and the joint local authority & GwE Support Plan following the 2022 Estyn inspection of the school	Regular monitoring of the arrangements in place to address the recommendations contained in the Regulator's report will: (i) secure continual improvement in all aspects of the school's work to ensure that all pupils are supported to achieve their full potential; and (ii) ensure that the lessons learnt by all stakeholders are applied across all schools in Denbighshire as they introduce the new curriculum.	Nicola Stubbins/ Geraint Davies/James Brown/Mari Gaskell	January 2023
	<b>Cllr. Rhys Thomas</b>	3. Denbighshire's Housing and Homelessness Strategy Action Plan	To examine the progress made to date in delivering the revised Strategy and Action Plan approved by County Council in December 2020	The identification of actions that will support and ensure the delivery of the Council's Corporate Theme of quality housing that meets people's needs and ultimately the Corporate Plan.	Emlyn Jones/ Angela Loftus/Jane Abbott	March 2023

Tudalen 147

Tudalen 148

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
30 November	<b>Cllr. Julie Matthews</b>	1. Corporate Risk Register: September 2023 Review	To seek the Committee to review the risks facing the Council and the Council's risk appetite statement.	Determination of whether the Committee is satisfied with risk management within the Council and is assured that all identified risks are appropriately managed.	<i>Iolo McGregor/Heidi Barton-Price</i>	January 2023
	<b>Cllr. Gwyneth Ellis</b>	2. Council Performance Self-Assessment Update (July – Sept)  (Note: appendix not available until 23 November)	To present an update on the Council's performance against its functions, including Corporate Plan and Strategic Equality objectives	Identification of areas for improvement and potential future scrutiny	Emma Horan/Nicola Kneale	November 2022
	<b>Leader</b>	3. <i>Economic &amp; Business Development (TBC)</i>	To:  (i) <i>consult with the Committee on the draft new Denbighshire Economic &amp; Community Ambition Strategy for 2024 onwards;</i>  (ii) <i>detail how the current and proposed new Strategy dovetail with the work of the North Wales Economic Ambition Board, Welsh &amp; UK Governments and other economic development partners to support the delivery of a thriving economy in Denbighshire; and</i>	<i>Formulation of recommendations in relation to the new Strategy that will help secure the delivery, in partnership with all stakeholders, of a more prosperous Denbighshire that provides employment and business opportunities for residents to improve the quality of lives and support thriving, cohesive communities</i>	<i>Emlyn Jones/Tony Ward/Gareth Roberts</i>	<i>By SCVCG November 2022</i>

Tudalen 149

Meeting	Lead Member(s)	Item (description / title)		Purpose of report	Expected Outcomes	Author	Date Entered
				(iii) outline the work being undertaken to mitigate against the potential outcomes identified in Risk 36 of the Council's Corporate Risk Register			
January 2024	Cllr. Emrys Wynne	1.	Library Service Standards 2022-23	To consider the results of the WG's annual evaluation of the Council's Library Service and receive information on how the Service has progressed and adapted its service provision during the year	Identification of any slippages in performance in order to formulate recommendations to redress the situation and ensure that the Service delivers the Council's Corporate Plan and its corporate themes in relation to a learning & growing; healthier, happy and caring; better connected; fairer, safer and more equal Denbighshire; a Denbighshire of vibrant culture and thriving Welsh language as well as a well-run and high performing Council.	Liz Grieve/Bethan Hughes	March 2023
March							
June	Cllr. Elen Heaton	1.	Cefndy Performance Report 2023/24	To consider Cefndy's annual performance report for the 2023/24 financial year, including the delivery of its financial, business and social well-being objectives	To ensure the future financial and business sustainability of Cefndy in order that it effectively supports the delivery of the Council's corporate themes of learning and growing; healthier and	Ann Lloyd/Nick Bowles	January 2023

Meeting	Lead Member(s)	Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
				happier, caring; and prosperous Denbighshire		

Tudalen 150

**Future Issues**

Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
<p><i>Broadband Connectivity Issues within Denbighshire</i></p> <p><i>(representatives of BT Openreach to be invited)</i></p> <p><b>TBC – possibly June 2023</b> <i>(potentially invite relevant officers to briefing session)</i></p>	<p><i>To outline the outstanding issues in relation to securing:</i></p> <p><i>(i) broadband of at least 10Mbps to all premises in Denbighshire; and</i></p> <p><i>(ii) Superfast coverage to as many properties as possible across the county</i></p>	<p><i>To support economic development across the county, better connectivity for all residents who wish to use the internet to access services and for pupils/students to access education and research services in order to support the delivery of the corporate theme of a better connected Denbighshire</i></p>	<p><i>Barry Eaton/Nicola Kneale/Philip Burrows</i></p>	<p><i>By SCVCG November 2022</i></p>
<p>Denbigh Health and Social Care and the Rebalancing of Social Care Agenda <i>(now widened was Dolwen Residential Care Home (now to be considered as part of the future vision for health and social care services in the Denbigh area)</i></p> <p><i>Sometime during 2024/25 (tbc)</i></p>	<p>To review the future provision of services at Dolwen to meet the growing demand and complexity of need, whilst supporting people to live in a home that meets their needs and allows them to live an independent and resilient life.</p>	<p><i>Pre-decision scrutiny of the task and finish group’s findings and the formulation of recommendations for presentation to Cabinet with respect of the future provision of services at Dolwen with a view to ensuring that everyone is supported to live in homes that meet their needs and are able to live independent and resilient lives</i></p>	<p><i>Task and Finish Group/Ann Lloyd/Katie Newe</i></p>	<p><i>July 2018 (delayed due to COVID-19)</i></p>
<p>Post 16 provision at Rhyl College (suggested for scrutiny during service challenge)</p> <p>Date tbc following the easing of COVID-19 restrictions</p>	<p><i>To examine the post 16 provision at Rhyl College</i></p>	<p><i>The development of an effective working relationship between the College and the Council to secure the delivery of courses required to support the delivery of the North Wales Growth Deal and improve the future prospects of the area’s students</i></p>	<p>Rhyl College (and invite Geraint Davies &amp; John Evans – post 16 officer from DCC)</p>	<p><i>By SCVCG July 2020</i></p>

Tudalen 151

Information/Consultation Reports

Date	Item (description / title)	Purpose of report	Author	Date Entered
<p><b>September 2023 &amp; March 2024</b> [Information]</p>	<p>Council Performance Self-Assessment Update Q1 &amp; Q3</p>	<p>To present an update on the Council's performance against its functions, including Corporate Plan and Strategic Equality objectives</p>	<p>Emma Horan/Nicola Kneale</p>	<p>September 2018</p>
<p><b>Feb/May/Sept/November each year</b> [Information]</p>	<p>Quarterly 'Your Voice' complaints performance to include social services complaints</p>	<p>To scrutinise Services' performance in complying with the Council's complaints and identify areas of poor performance with a view to the development of recommendations to address weaknesses. The report to include:</p> <ul style="list-style-type: none"> <li>(i) a comprehensive explanation on why targets have not been met when dealing with specific complaints, reasons for non-compliance, and measures taken to rectify the failures and to ensure that future complaints will be dealt with within the specified timeframe;</li> <li>(ii) how services encourage feedback and use it to redesign or change the way they deliver services; and</li> <li>(iii) details of complaints which have been upheld or partially upheld and the lessons learnt from them.</li> </ul> <p>Report to include example(s) of complaints and compliment(s) received.</p> <p><b><i>Consideration of the information provided will assist the Committee to determine whether any issues merit detailed scrutiny</i></b></p>	<p>Kevin Roberts/Ann Lloyd</p>	<p>November 2018</p>
<p>Information Report <b>(June 2023)</b></p>	<p>Cefndy Performance Report 2022/23</p>	<p>To receive Cefndy's final annual performance report for the 2022/23 financial year, including the delivery of its financial, business and social well-being objectives</p>	<p>Ann Lloyd/Nick Bowles</p>	<p>January 2023</p>

Tudalen 152

Information Report <b>(March 2024)</b>	Management of the Council's Housing Stock	To review the effectiveness of practices in place to manage the Council's Housing stock, incl. dealing with complaints, reports of mould & condensation, provision of personal advice and support to tenants including those who reside in older persons schemes, the new working model for Housing Officers and the development of new ways of working with residents from the lessons learnt through COVID-19	Liz Grieve/Geoff Davies	March 2023
---	---	---	-------------------------	------------

**Note for officers – Committee Report Deadlines**

Meeting	Deadline	Meeting	Deadline	Meeting	Deadline
8 June	<b>24 May (due to B/H)</b>	20 July	<b>6 July</b>	28 September	<b>14 September</b>

Performance Scrutiny Work Programme.doc

Updated 14/03/2023 RhE

Tudalen 153

Mae tudalen hwn yn fwrriadol wag

<b>Ffurflen Gynnig ar gyfer Rhaglen Gwaith i'r Dyfodol Craffu</b>	
<b>ENW'R PWYLLGOR CRAFFU</b>	
<b>AMSERLEN I'W HYSTYRIED</b>	
<b>TESTUN</b>	
<b>Beth sydd angen ei graffu arno (a pham)?</b>	
<b>Ydi'r mater yn un o bwys i drigolion/busnesau lleol?</b>	<b>YDI/NAC YDI</b>
<b>Ydi craffu yn gallu dylanwadu ar bethau a'u newid?</b> (Os 'ydi' nodwch sut rydych chi'n meddwl y gall craffu ddylanwadu neu newid pethau)	<b>YDI/NAC YDI</b>
<b>Ydi'r mater yn ymwneud â gwasanaeth neu faes sy'n tanberfformio?</b>	<b>YDI/NAC YDI</b>
<b>Ydi'r mater yn effeithio ar nifer fawr o drigolion neu ardal fawr o'r Sir?</b> (Os 'ydi', rhowch syniad o faint y grŵp neu'r ardal yr effeithir arni)	<b>YDI/NAC YDI</b>
<b>Ydi'r mater yn gysylltiedig â themau corfforaethol y Cyngor?</b> (Os 'ydi' nodwch pa thema(u))	<b>YDI/NAC YDI</b>
<b>Hyd y gwyddoch, oes yna rywun arall yn edrych ar y mater hwn?</b> (Os 'oes', nodwch pwy sy'n edrych arno)	<b>OES/NAC OES</b>
<b>Os derbynnir y testun ar gyfer craffu, pwy fyddai arnoch chi eisiau eu gwahodd e.e. Aelod Arweiniol, swyddogion, arbenigwyr allanol, defnyddwyr y gwasanaeth?</b>	
<b>Enw'r Cynghorydd/Aelod Cyfetholedig</b>	
<b>Dyddiad</b>	

## Ystyried addasrwydd pwnc ar gyfer craffu

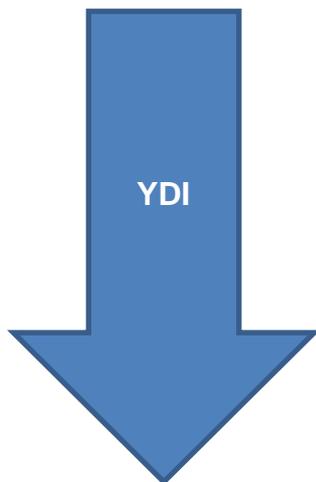
### Ffurflen Gynnig / Cais a dderbyniwyd

(dylid rhoi ystyriaeth ofalus i'r rhesymau dros wneud cais)



### Ydi o'n bodloni'r gofynion canlynol?

- **Diddordeb Cyhoeddus** – ydi'r mater o bwys i drigolion?
- **Effaith**– fedr craffu yn gael effaith ar bethau a'u newid?
- **Perfformiad** – ydi o'n wasanaeth neu faes sy'n tanberfformio?
- **Graddfa** – ydi o'n effeithio ar nifer o drigolion neu ardal ddaearyddol fawr?
- **Ailadrodd** – ydi'r mater yn destun craffu/ymchwiliad gan berson neu gorff arall?



Dim gweithredu pellach gan y Pwyllgor Craffu. Gellir ei gyfeirio at gorff arall neu ofyn am adroddiad er gwybodaeth.

- Penderfynu ar y canlyniadau a ddymunir
- Penderfynu ar gwmpas a swmp y gwaith craffu sydd ei angen a'r dull mwyaf priodol o graffu (h.y. adroddiad pwyllgor, ymchwiliad grŵp tasg a gorffen neu aelod cyswllt ac ati)
- Os penderfynir sefydlu grŵp tasg a gorffen, dylid penderfynu ar amserlen yr ymchwiliad, pwy fydd yn rhan o'r ymchwiliad, beth yw'r gofynion ymchwilio, a oes angen cyngor arbenigol a thystion, a beth yw'r trefniadau adrodd ac ati.

## Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
<b>23 May</b>	1	Phase 2 of Waste Depot in Colomendy, Denbigh	To seek Cabinet approval of the preferred strategy for managing the main contract for Phase 2 of the Colomendy Depot build	Yes	Cllr Barry Mellor Lead Officer – Tony Ward Report Author – Peter Clayton
	2	Shared Prosperity Funding – Investment Proposals	To seek Cabinet approval for spend against the Shared Prosperity Fund	Yes	Cllr Jason McLellan Lead Officer – Liz Grieve Report Author – Nicola Kneale
	3	North Wales Construction Partnership Framework, 3 <sup>rd</sup> iteration	To seek Cabinet approval to develop and progress the 3 <sup>rd</sup> iteration of the North Wales Construction Partnership Framework	Yes	Cllr Julie Matthews Lead Officer – Lisa Jones Report Authors – Karen Bellis / Helen Spafford
	4	Long Term Empty and Second Homes Premium	To provide members with information for their consideration, to review the recommendations and agree a way forward	Tbc	Cllr Gwyneth Ellis Steve Gadd / Paul Barnes / Leah Gray
	5	Agile Working Policy	To approve the Agile Working Policy	Yes	Cllr Julie Matthews Louise Dougal / Andrea Malam

## Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	6	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	7	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator
<b>27 June</b>	1	Rhyl Business Improvement District (BID): ballot for 2 <sup>nd</sup> 5-year term	To request a decision on whether DCC should vote “yes” or “no” in the ballot to determine whether there should be a 2 <sup>nd</sup> 5-year term for the Rhyl BID	Yes	Cllr Jason McLellan Lead Officer – Tony Ward
	2	Shared Prosperity Funding – Investment Proposals	To seek Cabinet approval for spend against the Shared Prosperity Fund	Yes	Cllr Jason McLellan Lead Officer – Liz Grieve Report Author – Nicola Kneale
	3	The Council acting as the Lead Body for the renewal of the Regional North Wales Domiciliary Care Agreement	To seek Cabinet approval for Denbighshire County Council to act as the ‘Lead Body’ on an Invitation to Tender for Domiciliary Care & Support Services for Children / Young People and Adults	Yes	Cllr Gill German/Elen Heaton Lead Officers –Rhiain Morrle / David Soley / Ann Lloyd Report Author –Liana Duffy

## Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	4	Queen's Market: operator contract award	Following the culmination of the procurement process to appoint an operator for the Queen's Market in Rhyl, approval is sought from Cabinet to award a contract to the preferred tenderer	Yes	Cllr Jason McLellan Lead Officer – Emyln Jones Report Author – Russell Vaughan
	5	Welsh Language Strategy	To seek Cabinet's approval of a new Welsh Language Strategy 2023-2028	Yes	Cllr Emrys Wynne Lead Officer – Gary Williams Report Author – Manon Celyn
	6	Ysgol Plas Brondyffryn – New Build Project	To seek Cabinet endorsement of the preferred site for the progression of the new build project for Ysgol Plas Brondyffryn	Yes	Cllr Gill German Lead Officer – Geraint Davies Report Author – James Curran / Lisa Walchester
	7	Commissioned Services for Homelessness	To seek Cabinet's authorisation to extend the current contract to allow more time to scope supported housing need in line with the new Rapid Rehousing model	Yes	Cllr Rhys Thomas Lead Officer – Ann Lloyd Report Author – Sharon Whalley / Hayley S Jones / Nigel Jones
	8	North Wales Velodrome Business Case	To consider the business case for the North Wales Velodrome Project	Tbc	Tbc Gary Williams / Jamie Groves

## Cabinet Forward Work Plan

Meeting	Item (description / title)		Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	9	Council Performance Self-Assessment	To present an update on the council's performance against its functions, including Corporate Plan and Strategic Equality objectives	Tbc	Cllr Gwyneth Ellis Lead Officer – Nicola Kneale Report Author – Emma Horan
	10	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	11	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator
<b>18 July</b>	1	Long Term Empty and Second Homes Premium	To provide members with information for their consideration, to review the recommendations and agree a way forward	Tbc	Cllr Gwyneth Ellis Steve Gadd / Paul Barnes / Leah Gray
	2	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator

## Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
<b>19 September</b>	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator
<b>24 October</b>	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator
<b>21 November</b>	1	Finance Report	To update Cabinet on the current financial position of the Council	Tbc	Cllr Gwyneth Ellis Lead Officer/Report Author Steve Gadd
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Lead Officer – Scrutiny Coordinator
	3	Council Performance Self-Assessment Update – July to	To present an update on the council's performance	Tbc	Cllr Gwyneth Ellis Lead Officer – Nicola Kneale

## Cabinet Forward Work Plan

Meeting	Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	September	against its functions, including Corporate Plan and Strategic Equality objectives		Report Author – Emma Horan

Note for officers – Cabinet Report Deadlines

<i>Meeting</i>	<b>Deadline</b>	<i>Meeting</i>	<b>Deadline</b>	<i>Meeting</i>	<b>Deadline</b>
<i>25 April</i>	<b>11 April</b>	<i>23 May</i>	<b>9 May</b>	<i>27 June</i>	<b>13 June</b>

Updated 17/04/2023 – KEJ

Cabinet Forward Work Programme.doc

## Progress with Committee Resolutions

Date of Meeting	Item number and title	Resolution	Progress
16 March 2023	5. Housing and Homelessness Strategy	<p><b><u>Resolved:</u></b> <i>subject to the above observations</i></p> <p>(i) <i>to receive and confirm the progress made to date in delivering the revised Housing and Homelessness Strategy and Action Plan; and</i></p> <p>(ii) <i>agree to continue to monitor the delivery of the Housing and Homelessness Strategy Action Plan on a six-monthly basis.</i></p>	<p>Lead Member and officers informed of the Committee's recommendations. Progress report on the Strategy and Action Plan's delivery scheduled into the Committee's forward work programme for September 2023 (see Appendix 1)</p>
	6. Mould & Condensation in Denbighshire's Council Housing Stock	<p><b><u>Resolved:</u></b></p> <p>(i) <i>to receive and endorse the information provided;</i></p> <p>(ii) <i>subject to the above comments, to confirm that it was satisfied that the Council's systems and processes were robust and appropriate to ensure that damp and mould issues are dealt with promptly and effectively; and</i></p> <p>(iii) <i>requested that an Information Report be circulated to Committee members in 12 months' time detailing the effectiveness of the processes established to deal with damp and mould issues. The report should also focus on the effectiveness of wider Council Housing stock management practices, including responding to service requests, complaints and the provision of advice to all tenants, including those who are harder to engage with or reach.</i></p>	<p>Lead Member and officers advised of the Committee's recommendations. The requested 'Information Report' has been included on the Committee's forward work programme for circulation in March 2024</p>

	<b>7. Library Service Standards and Performance</b>	<p><b><u>Resolved:</u></b> <i>subject to the above observations to –</i></p> <p><i>(i) receive the report and congratulate the county’s Library Service on its performance in delivering against the 6<sup>th</sup> Framework of Welsh Public Library Standards during 2021/22; and</i></p> <p><i>(ii) request that a further report on the Service’s performance against the Standards during 2022/23, and outlining its progress in developing libraries as places of individual and community well-being and resilience in line with the Council’s corporate themes and with a view to supporting the delivery of the Corporate Plan be presented to the Committee at its meeting in January 2024.</i></p>	<p>Lead Member and officers informed of the Committee’s recommendations and the next performance report is scheduled for presentation to the Committee at its meeting in January 2024 (see Appendix 1)</p>
	<b>8. Scrutiny Work Programme</b>	<p><b><u>Resolved:</u></b></p> <p><i>(i) subject to the inclusion of the reports requested during the course of the meeting along with the additions and amendments outlined above, to confirm the Committee’s Forward Work Programme as set out in Appendix 1; and</i></p> <p><i>(ii) to appoint the members named below to serve as the Committee’s representatives on the following Service Challenge Groups:</i></p> <p><i>Highways and Environmental Services – Councillor Terry Mendies;</i></p> <p><i>Planning, Public Protection and Countryside Service – Councillor Gareth Sandilands;</i></p> <p><i>Finance and Audit – Councillor Hugh Irving;</i></p> <p><i>Community Support Services – Councillor Carol Holliday;</i></p> <p><i>and</i></p> <p><i>Education and Children’s Services – Councillor Ellie Chard.</i></p>	<p>Work programme amended as per the Committee’s instructions (see Appendix 1 attached).</p> <p>Officers charged with the coordination of the Service Challenge process notified of the Committee’s appointed representatives</p>

**PERFORMANCE SCRUTINY COMMITTEE SERVICE CHALLENGE  
REPRESENTATIVES**

<b>Service</b>	<b>Councillor</b>
Highways & Environmental Services	Councillor Terry Mendies
Planning, Public Protection & Countryside Services	Councillor Gareth Sandilands
Finance & Audit	Councillor Hugh Irving
Adult Social Care & Homelessness	Councillor Carol Holliday
Education & Children's Services	Cllr. Ellie Chard
Housing & Communities	to be appointed
Corporate Support Service: Performance, Digital & Assets	to be appointed
Corporate Support Service: People	to be appointed

Mae tudalen hwn yn fwriadol wag